

Visibility Counts 2022

LGBTQ+ Board Diversity: What are we waiting for?



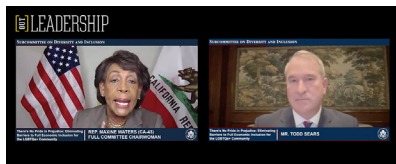
Report Contents

Letter from our Founder & CEO	3
Sponsors	4
Out Leadership Members	5
Letters from our Sponsors	6
Executive Summary	7
OutQUORUM Milestones	9
Findings	11
Methodology	13
Wins	14
Challenges	16
Conclusion	18
Thought Leadership from our Sponsors	19
Notes from our Partners	24
Footnotes	30
About Out Leadership	31
Special Thanks	32
Appendix I - Fortune 1000 Board Diversity Mapping	33
Appendix II - NASDAQ Board Diversity Mapping	59

Todd Sears, Founder & CEO, Out Leadership



Last November, I was honored to testify before the United States House Committee on Financial Services on the importance of economically empowering the LGBTQ+ community. In my opening statement before the Subcommittee on Diversity and Inclusion hearing, *There's No Pride in Prejudice: Eliminating Barriers to Full Economic Inclusion for the LGBTQ+ Community*, I emphasized the importance of LGBTQ+ representation and inclusion at all levels of business, especially the top, highlighting our first-of-its-kind board diversity research, OutQUORUM (*Visibility Counts: The LGBTQ+ Board Leadership Opportunity*):



"Economic empowerment for LGBTQ+ people means seeing a pathway for success at their place of employment. Our research has shown that visible LGBTQ+ people serving in top leadership positions, especially on the board, give diverse talent across the spectrum the confidence to see a pathway to career success. Yet, only 19 Fortune 500 corporations explicitly include LGBTQ+ people in their definition of board diversity, resulting in only 26 of the 5,670 board seats that exist in the Fortune 500 being held by out LGBTQ+ board members. That's less than 1%."

For eight years, OutQUORUM has been making a strong case - the only case - for LGBTQ+ board diversity. Frustratingly, the numbers shared in my testimony, and throughout this report, represent incremental progress, but only at the margins. And

while the margins matter, LGBTQ+ representation in boardrooms across the country and around the world must move closer to the center. Decision makers must consider board diversity the norm, not the exception.

Less than 1% is inexcusable.

If my tone seems agitational, it's because the stakes have never been higher. Just look at the criminalization of parenting trans children in Texas, the legislation in Florida banning discussion about LGBTQ+ issues in the classroom, and the dozens of anti-LGBTQ+ bills that are making their way through state houses right now.

These examples may seem miles away from the decisions made in corporate boardrooms, but in fact they're side by side. Corporate responses to surging bigotry begin in the boardroom and have the power to stop it in its tracks. And while many responsible corporate leaders - including members of Out Leadership - have responded robustly to these recent developments, too many have been silent.

Out Leadership and OutQUORUM believe that LGBTQ+ board diversity can drive a timely, appropriate, and successful response to crisis moments like the one we're in now. It also clears a pathway to success for LGBTQ+ people (including young people) by modeling substantive inclusion in powerful board rooms around the world. But ultimately, as every piece of research for twenty years has always shown, board diversity simply drives bottom line success.

This report, and those we've published in recent months, are proof of these concepts. Like the first iteration of

Visibility Counts: The LGBTQ+ Board Leadership Opportunity report published last year, which included the first-ever mapping of the board diversity policies of the entire Fortune 500. Or, going back seven years, when we published the first LGBTQ+ inclusive board diversity policy guidelines.

Out Leadership's approach to work is pragmatic - we want to enable action. So, for companies who decide that LGBTQ+ board leaders matter, we're even providing the resources, like the world's largest database of more than 1,500 board-ready LGBTQ+ candidates. And we're not stopping there. Next up: OutQUORUM is poised to launch the OutQUORUM Academy, the first program of its kind to prepare LGBTQ+ candidates to join corporate boards all around the world.

To our esteemed colleagues reading this report, many of you have joined and supported us on this journey. You've demonstrated a growing commitment to board diversity. We thank you and look forward to working with you in the months and years ahead.

To those who have not yet made that commitment, the time to do so is now. There is simply no longer a case to be made to ignore the top to bottom benefits of LGBTQ+ board diversity.

The journey to board diversity is complicated and long but worth it. Out Leadership can be your guide along the way. We've given you the data, the reasoning, and the resources. Now it's up to you to take the first step.

**In Solidarity,
Todd G. Sears**



With thanks to Our Sponsors

OutQUORUM Research Sponsors:

EgonZehnder

ROPES & GRAY

Global OutQUORUM Sponsors:



EgonZehnder

Goldman
Sachs



ROPES & GRAY

With thanks to Out Leadership Member Companies

AlixPartners

amazon



AMERICAN
SECURITIES

APOLLO

BainCapital

BAKER
DONELSON

BakerHostetler

Baker
McKenzie

BANK OF AMERICA

BARCLAYS



BlackRock

Blackstone

Bloomberg



Caldwell

CapitalOne

citi

CLIFFORD
CHANCE

Coca-Cola

COMCAST

CORR
CHAMBERS
WESTGARTH

COVINGTON

COWEN

CUSHMAN &
WAKEFIELD

DE Shaw & Co

Diligent

DLA PIPER

Dow

Dropbox

EgonZehnder

EY

FCB



FIRST REPUBLIC
It's a privilege to serve you

Freshfields Bruckhaus Deringer

F T I
CONSULTING

Gaingels



GLG

GIBSON DUNN

Goldman
Sachs

GT GreenbergTraurig

Henkel

Hogan
Lovells

HOGARTH

HSBC

HSBC
Life

IBM



JENNER & BLOCK

Johnson & Johnson

KKR

KPMG

LinkedIn

Littler

LVMH

MACQUARIE



McCANN
WORLDGROUP

MERRYCK & CO

Microsoft

millennium

MIZUHO

MOODY'S

Morgan Lewis

MORRISON
FOERSTER

MSCI



NOMURA



Paul|Weiss

PayPal

Perpetual

PIMCO

PPG

pwc

publicis
sapient



Capital
Markets

ReedSmith

REGENERON

ROPES & GRAY

Shearman
SHEARMAN & STERLING

Simpson
Thacher

Skadden

SULLIVAN & CROMWELL LLP

T.RowePrice



twilio

VISA

WACHTELL
LIPTON
ROSEN &
KATZ

Walmart

zendesk

From some of our OutQUORUM Sponsors:

EgonZehnder

Jill Ader,
Global Chairwoman,
Egon Zehnder

I'm pleased to welcome you to the 2022 OutQUORUM Summit. At Egon Zehnder, we believe that diversity of representation paves the way for greater business outcomes, and there is no organization more committed to advocating for LGBTQ+ board representation than Out Leadership. Our work with OutQUORUM reveals what can be achieved when the future of leadership is reimaged. It also grounds us in how far we have come, truly highlighting the idea of "Progress and Possibility," this year's summit theme.

This is my second year participating in OutQUORUM, and I look forward to gaining a fresh perspective from this year's gathering and continuing to work with Out Leadership to make even greater strides toward equality of opportunity for the LGBTQ+ community in C-suites and boardrooms.

Best wishes,

Jill Ader



Susan Angele,
Senior Advisor, Board
Governance, KPMG

As a founding supporter of OutQUORUM, KPMG Board Leadership Center is proud of our collaboration to raise the bar on corporate governance through opening minds to the importance of board diversity and highlighting the extraordinary value LGBTQ+ directors bring to the boardroom dialogue.

At KPMG, diversity of backgrounds, perspectives, and life experiences is essential to everything we do – serving our clients, attracting and retaining world-class talent, innovating, and having a positive impact in our communities. Data drives action, and we hope and expect that the data in this important report will drive productive discussions and accelerate change.

All the best,

Susan Angele



Executive Summary

Talk is Cheap:

LGBTQ+ board diversity has finally entered the conversation. Yet, after receiving attention from business leaders, one global search firm, the media, politicians, and activists, LGBTQ+ board directors (and other minorities) still remain overwhelmingly underrepresented in the boardroom. This, despite the fact that board representation is essential for fairness, effectiveness, and competitiveness. The boardroom, after all, is where companies make their most important decisions, including the direction of strategic, financial, and labor matters that reach every corner of our economy.

Out Leadership has demonstrated - and continues to demonstrate - that just talking about LGBTQ+ board diversity is no longer enough. Talk must be followed by action. Only with true commitment, investment, and then, most importantly, *by placing qualified LGBTQ+ people in boardrooms and C-suites* will companies achieve the positive impact diversity will have on their bottom line, company culture, consumer satisfaction, and stock price - not to mention society at large.

What Isn't Measured, Doesn't Count:



When Out Leadership launched OutQUORUM eight years ago, just two companies in the Fortune 500 - and no companies outside of the United States - included sexual orientation or gender identity as a metric for board diversity. Since then, Out Leadership developed and published research and LGBTQ+ inclusive policies and guidelines that have been adopted by 23 Fortune 500 companies and

numerous smaller public companies, privately-held companies, and other enterprises. Because of Out Leadership's advocacy and the work of many of our member firms who continue to push their organizations to make concrete policy changes (See Appendix for the complete list), that number nearly doubled during 2021.

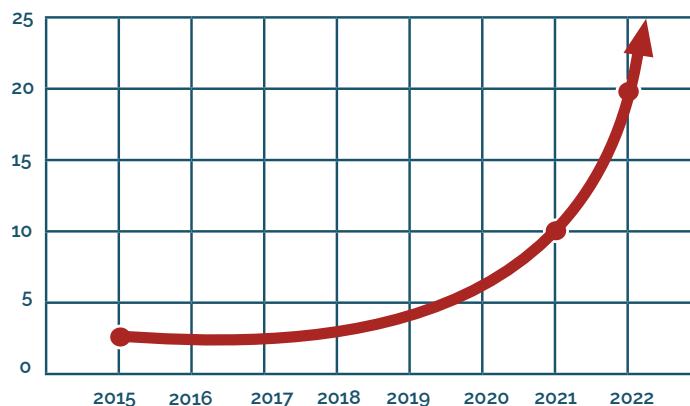
This kind of growth is impressive in the abstract. After all, growing from 2 to 23 companies with LGBTQ+ inclusive policies is a 1250% increase - over eight years. But the reality is that only slightly less than 1% of Fortune 500 companies have inclusive policies, meaning a full 99% of the Fortune 500 completely ignore LGBTQ+ leaders in their boardrooms.

Given the amount of attention these same companies pay to rankings like the HRC Corporate Equality Index (which, thanks to years of pressure from Out

Leadership, finally includes LGBTQ+ board diversity in its criteria), sponsorship of Pride events in June, and even inclusion of LGBTQ self-ID at every other level within the organization, we find this incredibly paradoxical - and problematic.

Surprisingly, this pattern continues despite the fact that new regulations requiring companies to expand board diversity are imminent - regulations that Out Leadership helped win, and is currently defending.

Given the wealth of data proving the value of including sexual orientation diversity in board demographics - see Out Leadership's *Visibility Counts: The LGBTQ+ Board Opportunity* - and the regulatory changes that will penalize companies who don't make even the most minimal commitments to board diversity, we are forced to ask the question: What are these companies waiting for?



Number of Fortune 500 Companies Including Sexual Orientation Diversity in Defining Board Demographics



Battling on Two Fronts

For eight years, OutQUORUM has been on the frontlines in the fight for LGBTQ+ inclusive board diversity. More recently, we've also been forced to play defense at the exact same time. For example, we advocated for and won the inclusion of LGBTQ+ individuals in two significant regulatory policies, California's board diversity law AB979 as well as the new NASDAQ Rule. Yet today, we are an intricate part of defending them against numerous lawsuits, including partnering with the Attorney General of the State of California to defend AB979.

We are extraordinarily proud of the OutQUORUM leaders who have been invited to fill board seats in recent months, but at the same time, we must question why an abundance of qualified LGBTQ+ candidates remains untapped. In a great number of behind-the-scenes conversations we've had, a very uncomfortable truth continues to emerge: white gay men, while successful, qualified, and highly visible (according to recent research, gay men receive college degrees at higher rates than their straight peers) simply don't "count" as diversity in these searches – even when the search claims to be LGBTQ+ inclusive.

Out Leadership and OutQUORUM believe two things can be true at the same time. It is true that more advantages exist for white, male presenting LGBTQ+ people than others because of systemic, pervasive racism and sexism. Also true, it will be catastrophic to hold the diversity movement in stasis until all things are equal. We cannot be parsed or divided while in the middle of the fight, a pernicious strategy used by those who wish to argue that we are too challenging to hire, too problematic, or worse, don't even exist.

That is why while OutQUORUM fights for LGBTQ+ representation in the boardroom, we also provide rigorous training and mentorship to young LGBTQ+ professionals. This will help ensure two things: that they are considered for a directorship, and that when they are, they're ready.

On all fronts of the fight for LGBTQ+ board diversity, visibility matters. But we contend that uniquely, LGBTQ+ board diversity – and the fight to achieve it – allows for more intersectional visibility, not less; models success for a larger universe of diverse professionals; and counters groupthink that minimizes the proven value all diversity brings and maximizes the cynical justifications for tokenism.

The Time is Now

This report is a clear-eyed reflection of the work of OutQUORUM, which helped to achieve the wins described above and those you'll read about in the pages that follow. Included: how we've cemented our role as leader/convener in the LGBTQ+ space, how we've fortified relationships with other diversity leaders, and how we've fought for and won increased visibility for Out Leadership members and allies. Each win represents a meaningful advancement towards equality and diversity, especially when you factor in ongoing and escalating anti-LGBTQ+ sentiment.

But now also it's time for a reckoning. After eight years of powerful advocacy and peerless research we must view our success through the lens of how long it has taken us to achieve them. Should we have achieved full LGBTQ+ inclusive board diversity after eight years of tireless effort? Certainly not. But should we be much closer to that goal than we are today? Unequivocally yes.

Included in this reckoning is an assessment our members, allies, and those not yet invested must make for themselves.

- > Does your investment in LGBTQ+ board diversity end when your CNBC segment on diversity wraps?
- > Does it end after you've collected a set of diverse resumes, but fail to move any candidates forward?
- > Does it end after reading this Executive Summary?

Or, is your investment in diversity evolving, growing, and even strengthening? Is it anticipating, or enjoying, the positive dividends that you believe LGBTQ+ board diversity can bring to your company, your consumers, and your employees?

OutQUORUM has provided, and will continue to provide, cutting edge resources for success (this report included) – from the most recent data points to the most qualified, trained candidates. It's time for corporate leaders to follow words with action.

Out Leadership is incredibly proud that this 2022 report contains, for the very first time in history, the complete board diversity policy mapping of the entire Fortune 1000 and NASDAQ – 6670 companies in total.



OutQUORUM Milestones

Out Leadership **launches OutQUORUM**, the first initiative focused on increasing LGBTQ+ inclusive diverse representation on corporate boards globally.

2015

OutQUORUM creates the world's first and largest **database of board-ready LGBTQ+ candidates**, with a specific focus on intersectionality (35% women and 12% BIPOC representation).

2016

Following the pension-fund win, Out Leadership drafted a **letter signed by California State Controller Betty Yee and New York City Comptroller Scott Stringer, calling for the increase of LGBTQ+ board representation**. The letter was sent to 19 fiduciaries of public pension funds, which collectively oversee \$624 billion in assets.

2016

OutQUORUM publishes **"Guidelines for Corporate Engagement on LGBTQ+ Equality,"** a resource for optimizing companies' public engagement with LGBTQ+ equality based on the internal decision trees of 35 major companies.

2018

Goldman Sachs' CEO David Solomon announces board diversity mandate for their IPO clients, including LGBTQ+ in their definition of board diversity.

2020

The US Securities and Exchange Commission (SEC) **approves the NASDAQ Board Diversity Rule**. NASDAQ cites OutQUORUM's research seven times in their letter to the SEC.

2021

OutQUORUM, sponsored by KPMG, **launches its BoardFit program**, the first program designed to prepare LGBTQ+ candidates to join corporate boards, to add value, and be board-ready from day 1.

2016

OutQUORUM works with CALPers, CALSters, New York City, and New York State pension funds (the four largest in the United States) to expand the diversity definition of their investment mandates to include LGBTQ+, the first pension funds in history to do so.

2016

OutQUORUM and KPMG publish "Out Leadership's LGBTQ+ Board Diversity and Disclosure Guidelines," the **first-ever LGBTQ+ inclusive board diversity guidelines** and board matrix.

2017

Out Leadership rings the opening bell at **NASDAQ** to celebrate the work of OutQUORUM, and all of Out Leadership's advocacy for LGBTQ+ equality.

2018

OutQUORUM joins the **Diverse Corporate Directors Coalition, partnering with Black, Latino, Asian, and Women Corporate Director-led organizations** to push for LGBTQ+ inclusive intersectional board diversity.

2018

OutQUORUM launches its board diversity research, for the first-time **publicly mapping the board diversity policies of the entire Fortune 500**.

2021

In Recent Months:



Out Leadership advised the drafting of two congressional bills that originally excluded LGBTQ+ individuals. The first, H.R. 1277 (*Improving Corporate Governance through Diversity Act*), will require certain US publicly-traded companies to disclose board diversity and plans to improve board diversity in the future. The second, H.R. 1443 (*LGBTQ Business Equal Credit Enforcement and Investment Act*), will require financial institutions to report on credit loans for LGBTQ+ consumers.



Out Leadership worked with NASDAQ on their proposal to the SEC - including the use of Out Leadership research - requiring that all listed companies have (or explain why they do not have) at least two diverse board members, including diversity of sexual orientation.



Out Leadership has also partnered with our founding firm Goldman Sachs over the last three years to support Goldman's commitment to LGBTQ+ inclusive board requirements for its IPOs—including helping provide qualified candidates to Goldman's clients.



In this 2022 OutQUORUM Report, we expanded our ground-breaking analysis to include - for the first time ever in history - data from the entire Fortune 1000 and the NASDAQ exchange, growing to some 4,097 companies. It's important to note that because of the total lack of disclosure requirements, this data had to be gathered manually and included reviewing and reading the SEC and board filings of every single company (a task that took the Out Leadership and Selendy Gay teams four months to complete).

In this year's report, Out Leadership also refined and expanded our criteria for defining board diversity and inclusion.

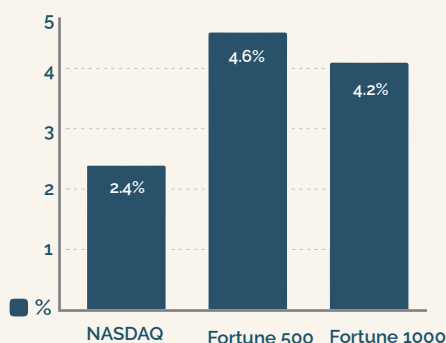
We now measure five metrics of diversity (LGBTQ+ Identity, Gender, Race, Ethnicity, National Origin, and Age) with an even more consistent and transparent methodology. We are hopeful that this approach will be the new standard for progress across diverse communities.



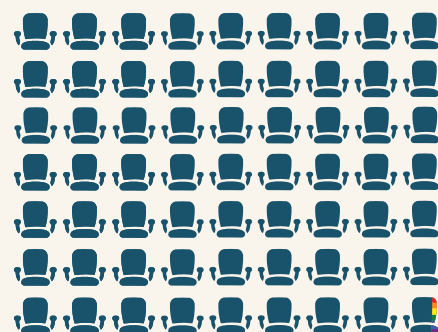
Key Findings

41 Fortune 1000 companies define board diversity as inclusive of LGBTQ+ individuals

113 NASDAQ listed companies define board diversity as inclusive of LGBTQ+ individuals



Only **26** of the **5670** Fortune 500 Board seats are held by out LGBTQ+ individuals (several seats are held by the same person)



23 Fortune 500 companies have LGBTQ+ inclusive board diversity policies, nearly **2x** the number from last year. Thank you to the following companies for updating their board diversity policies:



Of the **18** out LGBTQ+ board directors in the Fortune 500,
9 are Women
4 are Black
2 are Latino/a

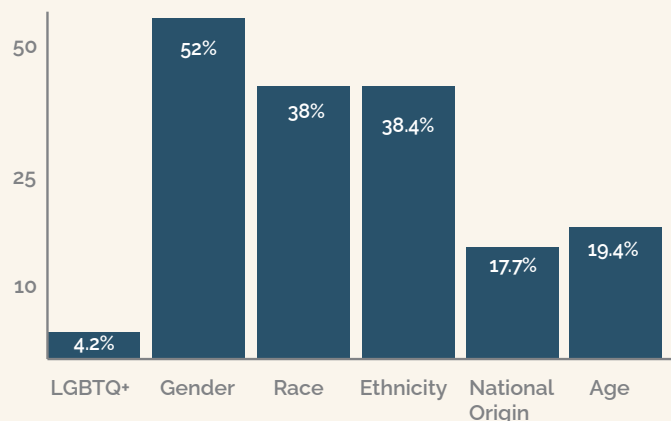


In just a few months, **all NASDAQ listed companies** will be required to:

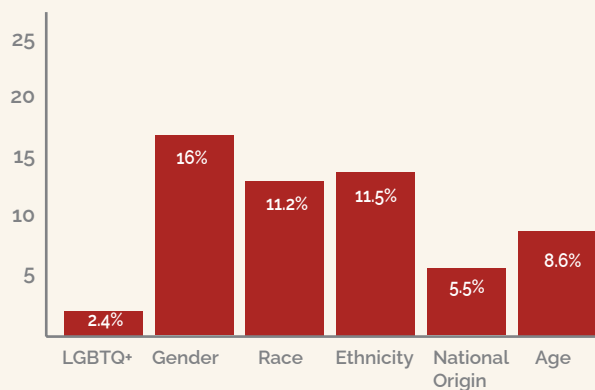
- > Publicly disclose board-level diversity statistics using a standardized template
- > Have or explain why they do not have at least two diverse directors.

Yet just under **half** NASDAQ listed companies have **NO** board diversity statement or reporting mechanism in place.

Board Diversity Definition Breakdown - NASDAQ



Board Diversity Definition Breakdown - Fortune 1000



Of the companies that included LGBTQ+ in their definition of board diversity, only **30** NASDAQ-listed companies and **9** Fortune 1000 companies **explicitly mentioned gender identity**, in addition to sexual orientation.

Methodology

As mentioned above, the Out Leadership team manually reviewed the SEC publicly available proxy filing and/or board diversity statements of all Fortune 1000 and NASDAQ companies, and assessed how diversity is mandated and defined in each company. We applied the following approach to this first-of-its kind data:

We applied a basic but strict methodology - we did not count weak or meaningless diversity statements that negate their commitment by using language like "Though we value diversity, we have no formal policy."

- > Companies without a publicly listed 2020, 2021, or 2022 proxy statement received no credit for any board diversity activity.

We applied the "Rooney Rule" - we sought companies that actively value diversity and seek diverse candidate pools, rather than companies that simply do not discriminate or view candidates from a diversity-blind lens. In short, companies must have both policy AND action to receive credit (see more on the Rooney Rule in the appendix).

- > While we encourage companies to report board diversity metrics, we only gave credit to companies that implemented specific board diversity policies. Thus, some companies that reported board diversity metrics but lacked a board diversity policy did not receive credit in this report.

- > Similarly, companies that stated adherence to NASDAQ's recent board diversity requirements without implementing an official board diversity policy did not receive credit in this report.

We applied the following definitions of social identity categories to our data collection process:

1. For companies to receive credit for LGBTQ+ inclusive board diversity, their proxy and or board diversity statement had to list "sexual orientation" and/or "gender identity."
2. Companies that simply sought board candidates from "minority" backgrounds did not receive credit under any identity category, as we believe that explicitly naming categories such as sexual orientation, gender identity, gender, race, ethnicity, national origin, and age are crucial for accuracy and enacting change.
3. Companies received credit under "national origin" if they listed the phrases "national origin," "nationality," "geographic origin," or "geography." We did not give credit for listing "international experience," as this phrase does not necessarily correlate to national origin.
4. Companies that listed "people of color" as a criteria for board diversity received credit for seeking racial diversity.



Out Leadership and OutQUORUM – Wins

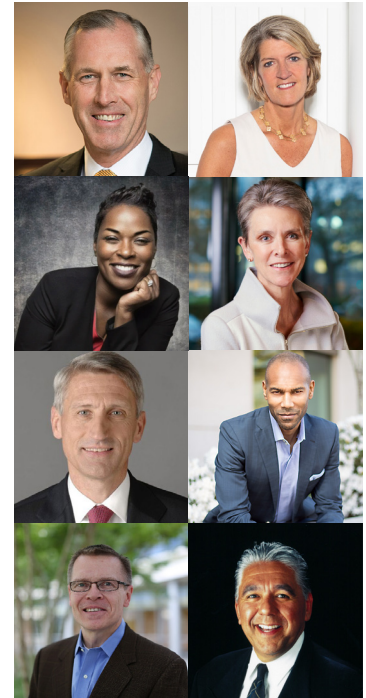
While overall demand for LGBTQ+ board candidates did not increase significantly in the past year (again raising the question, why not?), we were pleased with the publicized placements of several key LGBTQ+ leaders, the additional sexual orientation and gender identity (SOGI) disclosures by mainstream companies in their proxies, and several inclusive developments in the governance world. In the months ahead, we hope to see momentum grow so that these extraordinary leaders are joined by more LGBTQ+ board and C-suite members.

In 2021, more out LGBTQ+ leaders were appointed to Global Fortune 500 companies than ever before.

- > In February, **Jim Fitterling**, Chairman and CEO of Dow, and one of only five openly gay CEOs in the Fortune 500, was elected to 3M's Board of Directors.
- > In September, **Beth Ford**, the openly lesbian CEO of Land O'Lakes, joined the Board of Directors of BlackRock, the world's largest asset management company (the same week BlackRock became an Out Leadership member firm).
- > In October, Accenture executive **Sander van 't Noordende** was named Chief Executive Officer of Randstad in the Netherlands (previously, he had joined the Board of AECOM, also a Fortune 500 company).

This trend was not limited to the Fortune 500.

- > In March, former Vice Chair, Public Policy at EY **Beth Brooke**, joined the Board of Directors of The New York Times Company.
- > In December, **Pamela Stewart**, an accomplished leader at The Coca-Cola Co., was named to the Board of Directors for snack food manufacturer Utz Brands Inc.
- > Also in December, **Tony Prophet**, formerly at Salesforce, was appointed to the Dolby Board of Directors.
- > In smaller companies, board appointments of OutQUORUM members included **James Brady** at Panavance Therapeutics and **Art Torres** at CareDx Inc.



Clockwise from top left: Jim Fitterling, Beth Ford, Beth Brooke, Tony Prophet, Art Torres, James Brady, Sander van 't Noordende, Pamela Stewart.

The diversity of these eight appointments: three women, three people of color, and one Latino are also a reminder of the intersectional nature of LGBTQ+ inclusion in the boardroom. Since its founding, OutQUORUM has reflected this intersectionality, as does Out Leadership's own mission as a convener of all LGBTQ+ community members.

The US House sent unambiguous signals about the importance of board diversity. While the *Improving Corporate Governance Act of 2021*, sponsored by Sen. Robert Menendez (D, N.J.), is now stuck in the Senate, the fact that legislation mandating board members' SOGI disclosure passed the House is an unmistakable sign that LGBTQ+ representation in Governance is a political issue, albeit one that will require significantly more support from voters, electeds, and corporate leaders.



Out Leadership continues to lead the effort we began 8 years ago.

- > The House Financial Services Committee in December invited Out Leadership to testify in front of its Subcommittee on Diversity and Inclusion hearing, *There's No Pride in Prejudice: Eliminating Barriers to Full Economic Inclusion for the LGBTQ+ Community*, chaired by Rep. Joyce Beatty.
- > In October, Out Leadership testified on the implementation of AB979 at the California legislature's Select Committee on Corporate Board and California Workforce Diversity hearing.²
- > Out Leadership held discussions with the SEC on the need to urgently amend their definition of board diversity. Another significant development was the approval of the NASDAQ listing rule by the SEC.³
- > NASDAQ is now the first major exchange to require its 5,000+ companies to meet gender targets on their corporate boards, as well as racial and LGBTQ+ representation. NASDAQ CEO Adena Friedman led this important initiative.

Throughout 2021, Out Leadership worked to strengthen relationships with other board diversity leaders and organizations.

- Equilar
- Diligent
- Egon Zehnder
- The Board Challenge
- The Silicon Valley Leadership Group
- The Latino Corporate Directors Association
- The Society for Corporate Governance
- The Ellig Group
- Bolster
- & many others



LGBTQ+ Board Leaders are more visible than ever before.

- > At the 2021 National Association of Corporate Directors Summit, out LGBTQ+ directors Torrence Boone, Denise Torres, Romy Diaz, and Myrna Soto were featured speakers.
- > Out Leadership's Managing Director, Global Equality Initiatives, Fabrice Houdart, was appointed to the new National Association of Corporate Directors (NACD) Inclusive Governance Center as an advisor. He was also recognized as a 2021 NACD Directorship 100 honoree and he published *LGBTQ+ inclusion in the Boardroom is Simply Good Governance* on their website.
- > TheboardiQ and How Women Invest published lists of top LGBTQ+ board candidates on the theboardiQ Pride Hall of Fame.
- > Long-time Out Leadership supporter and partner Susan Angele, Senior Advisor at KPMG's Board Leadership Center, was included in the 2021 OUTstanding Top 50 Ally Executives. This recognition is well-deserved as Susan has been leading the charge for LGBTQ+ board representation for years.

Out Leadership and OutQUORUM – Challenges

There continues to be resistance to board diversity from groups that have filed lawsuits against AB979 in California and the NASDAQ Rule at the federal level. The legal challenges argue that the initiatives are unconstitutional because they violate the US Constitution's Equal Protection Clause. They also contend the provisions violate various federal anti-discrimination laws.

- > AB979 went into law at the end of December 2021, but faces an onslaught of legal challenges. Viewing the lawsuits on legal merits, it is our belief they will not succeed in repealing AB979. Out Leadership's own Fabrice Houdart will be testifying on behalf of AB979.
- > Out Leadership member firm Skadden published an excellent summary of where the state and federal level legal challenges stand. As Skadden concludes: "the timing is difficult to predict" but Out Leadership expects all legal challenges to continue to impede progress in 2022 and beyond.

Many of our top candidates for board service, some listed on the 2021 Agenda/Equilar Top 100 Diverse Candidates, have not been tapped despite their visibility.⁵

Some organizations dismissed efforts to improve LGBTQ+ representation in 2021.

- > The New York Stock Exchange's (NYSE) Initiative to Advance Board Diversity has a council composed of 21 individuals, and not one is openly LGBTQ+, a clear rebuke of the initiative's purpose.⁶
- > Similarly, the Alliance for Board Diversity's (ABD) 2020 report on board diversity excluded LGBTQ+ people. In a rather tone-deaf move, ABD published its sixth edition of the *Missing Pieces Report: The Board Diversity Census of Women and Minorities on #Fortune500 Boards*, in collaboration with Deloitte, without a single mention of LGBTQ+ board representation. Deloitte Australia similarly published a recent report on board diversity, with no mention of the LGBTQ+ community.
- > In their comments on the Financial Conduct Authority (FCA) Board Diversity Proposal CP21/24,⁷ Women on Boards suggested postponing the inclusion of LGBTQ+ board candidates on the grounds that: "No comprehensive data has been made available on sexual orientation of board members and the groundwork to support suitable candidates and create inclusive environments has not been done. It is also important to note that individuals may wish - for good reason - not to disclose their sexual orientation, and a drive for diversity should not pressurize them into doing so."⁸

Out Leadership has written to each of these parties to offer collaboration and research support around LGBTQ+ board diversity.

The major business media outlets have largely ignored or ridiculed the issue of LGBTQ+ representation.

- > The NASDAQ "woke" agenda was decried by the **Wall Street Journal** several times this year.^{9, 10, 11}
- > In France this summer, **Les Echos** published a double page spread on Tim Cook's 10th anniversary at the helm of Apple without mentioning that he was the first out LGBTQ+ CEO of a Fortune 500 company.¹²

Voluntary disclosure of the demographic makeup of boards remains pitifully low. Self-identification by a board member - with the exception of Myra Soto - is negligible.¹³ Slightly improved are the number of boards that disclose that they *have* LGBTQ+ board members - 32 or 6% of S&P 500 companies - though most don't identify the identity of those members.¹⁴ In comparison, 60% of S&P 500 companies disclose the race of their directors.¹⁵ We celebrate the presence of exceptional LGBTQ+ board members such as Lorrie Norington (Colgate Palmolive) and Adam Stanley (GATX) and will continue to encourage their companies to do the same.



While regulatory and legal developments in the US have given prominence to the question of LGBTQ+ board representation, the conversation - with important exceptions - remains virtually absent internationally.



In the **United Kingdom**: In July 2021, the Financial Conduct Authority (FCA) launched a consultation (CP21/24) on proposals to improve transparency for investors on the diversity of listed company boards and their executive management teams.¹⁷ The proposals, somewhat like the NASDAQ listing rule adopted in August 2021, completely left out any mention of LGBTQ+ board diversity. Out Leadership urged the FCA to reconsider this approach in comments submitted before the October 2021 deadline.¹⁸



In **Australia**: Out Leadership has partnered since 2018 with the Australian Institute of Company Directors (AICD), including hosting OutQUORUM leadership events with AICD in Sydney each year. Despite interest and support from a number of companies, there are still no ASX listed companies who explicitly include LGBTQ+ diversity in their board policies. In context, the slow pace of increasing the presence of women on boards of directors (only a third of the ASX200 companies have a woman on their board) illustrates the difficulties that will have to be overcome for even a marginal change to happen in terms of the representation of LGBTQ+ people.



In **Canada**: Leaders established a Capital Markets Modernization Task Force. The task force presented its final recommendations in January 2021 to various stakeholders, including to the Ontario Minister of Finance. The recommendations include several points that address diversity issues. One such point specifies "that publicly listed issuers set an aggregated target of 50 per cent for women and 30 per cent for BIPOC [Black, Indigenous, and People of Color], persons with disabilities, and LGBTQ+." In their recommendations, the members of the taskforce insisted on the implementation of such targets within a five-year horizon for women, and seven years for the other diversity groups.

Outside of North America and parts of Europe, the conversation on LGBTQ+ board diversity, for the most part, has yet to even begin.



Conclusion

The Time is Now

Eight years later, the next steps that corporate leaders must take to support LGBTQ+ board diversity have never been clearer.

We need corporate decision makers to move from conversations about LGBTQ+ board diversity to taking real action to achieve it. Here's how:

- > Adopt formal board diversity policies and reporting guidelines that are inclusive of LGBTQ+ diversity.
- > Commit to seeking LGBTQ+ board members in every nominee search.
- > Leverage OutQUORUM through sponsorship, joining the database, or seeking board-ready candidates from the OutQUORUM database.
- > Champion the case for board diversity inside and outside of the business world.

We also need executive search firms, institutional investors, private equity funds, venture capital funds, government bodies, and regulatory entities to use the tools that OutQUORUM provides. You're reading one right now. Out Leadership and OutQUORUM are recognized leaders in helping corporate entities navigate the journey to LGBTQ+ board diversity. We understand that a commitment to diversity is a challenge for some. Let us help you define and overcome those challenges and realize the benefits that LGBTQ+ board members can bring to the governance of your company.

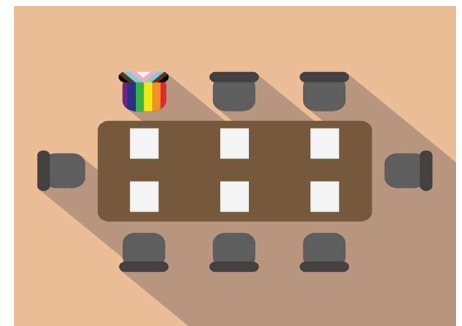
These are the facts: LGBTQ+ board diversity is not only good for your business, your employees, and society at large, eventually it will become the law of the land. Out Leadership and OutQUORUM have been making this case for nearly a decade. It's now up to you to decide if you want to be ahead of the curve that's coming, or behind it.

As you consider your decision, note the company you'll keep, should you choose to include LGBTQ+ leaders in your boardroom. You will be joining a group of leaders whose value-driven business acumen brought them into partnership with Out Leadership. You now have the tools and the support to meet the changing horizon with confidence and success.

We've described with some frustration, how small this group remains. But let's flip the script. Our partners, those that have taken proactive steps to achieving LGBTQ+ inclusive board diversity, are an elite and powerful group. They are leaders who listened, learned, and recognized that top-down value - backed by research, analysis, and evidence - is worth it. They didn't make a leap of faith, they just took a step forward that made good business sense.

It's time for you to take a step forward too. This report is called "LGBTQ+ Board Diversity: What are we waiting for?"

The answer is you.



Thought leadership from Our Sponsors

Bridging the Gap Between LGBTQ+ Leaders and the Boardroom

How leadership advisors can enable more LGBTQ+ representation in board governance by helping organizations develop an inclusive culture where every voice matters.

Diversity of background, thought, and perspective is undoubtedly an asset to corporate governance. However, LGBTQ+ candidates are still disproportionately underrepresented in the boardroom. Is this trend reversing?

Unfortunately, there has not yet been a significant increase in demand for LGBTQ+ board members. More companies are willing to engage in conversations to better understand the role LGBTQ+ talent brings to organizations, but few are proactively recruiting for LGBTQ+ talent, with much of the focus at the board level on increasing gender and ethnic diversity.

However, we are seeing that companies are more open to conversations about the LGBTQ+ perspective. When we mention that a candidate identifies as LGBTQ+, there has been real interest in the benefits that this life experience and perspective can bring to the board. So, while most clients aren't yet necessarily asking for LGBTQ+ representation on the board (or in potential candidates), we are proactively signposting the benefits to clients and seeing increased traction.

You meet a lot of aspiring and sitting board members with different competencies and backgrounds. What level of readiness do LGBTQ+ candidates display, in general, when compared to their peers, and how can boards become more aware of such candidates?

This is less about being "on par" with other candidates, and more about the competencies and executive and life experience LGBTQ+ candidates can add to the board. What we see with many LGBTQ+ candidates are heightened levels of curiosity, engagement, determination and insight that they bring from their life experiences of growing up LGBTQ+. The key is ensuring that these strengths are understood and brought to life, challenging

any unconscious bias from the client, and demonstrating the real benefit that LGBTQ+ candidates can bring to their boardrooms.

More reflection and a mindset shift are also required from current directors—particularly from the board chair and the nominating and governance chair. There is no shortage of board-ready LGBTQ+ candidates; the catch is that they may not fit the traditional board director mold. While boards rightly seek experienced executives with a broad array of expertise to contribute to the board across multiple areas, this may increasingly mean they need to think beyond former CEOs and CFOs and consider creating opportunities for directors to "train," shadowing sitting directors for a period of time before becoming full-fledged board members themselves.

There are several barriers preventing LGBTQ+ candidates from landing a directorship position, including privacy and legal concerns. There is also concern from candidates, who do not want to be selected for diversity purposes as opposed to their qualifications. How can companies and candidates overcome these challenges?

There is an inherent nervousness about asking more personal questions of candidates. People are afraid of not using the right language, of embarrassment, inadvertent offense, or even legal risk. Our advice to boards is to challenge themselves to get past the fear and send a positive signal to the market that they want to bring on executives with different perspectives and life experiences, specifically mentioning LGBTQ+.

On the candidate side, there is also a fear to overcome—the concern of being hired for their diversity only and not their full selves. Because of this, many LGBTQ+ leaders don't share deeply about their experience, if they share anything at all. This is where leadership advisors have an important role and responsibility to play—acting as a bridge between companies and candidates, helping organizations understand how to create inclusive cultures and psychological safety for employees to be their full selves, and encouraging candidates to see their LGBTQ+ experience as an enabler to success and to be more open about it. It is about asking candidates to share what has shaped them, what matters to them, where their strengths come from, with the chair and other interviewers role modeling openness about their own personal lives. Creating a safe space for sharing a personal story is the most powerful way of enabling others to share their own journey.

Looking ahead to this year, are there any trends shaping the future of LGBTQ+ board members? Are AB979 and the NASDAQ listing rule moving the needle?

While we haven't seen a big shift yet in the demand for LGBTQ+ candidates, many of our clients are aware of the AB979 and the NASDAQ listing rules and, as a result, they are willing to engage in more conversations around this important topic. There is still much work to be done, but rules and incentives like these can be great enablers of change. The true shift, however, remains with leaders—not just to seek out diverse perspectives but to create a board culture that enables more points of view to be shared. That's why it's so important to keep sharing the stories of successful LGBTQ+ leaders and recognizing the efforts of the companies that proactively support more diverse and inclusive workplaces.



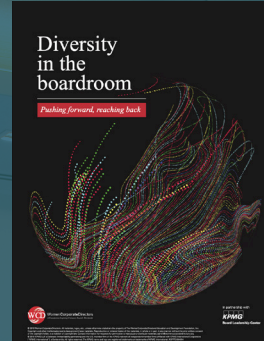
Driving inclusive growth is a key area of focus for our stewardship work. As shareholders we believe that greater boardroom diversity is central to achieving this. We make our expectations clear in our proxy policy and use our voice through voting and engagement. Every year we seek to engage with companies across our portfolios on these issues and we will continue to deploy and expand this approach in 2022.

Jen Sisson
Head of EMEA Stewardship,
Goldman Sachs



While companies have made progress in bringing diverse voices to the boardroom, there is still a long way to go. Society is diverse, and companies need to reflect this. At Goldman Sachs Asset Management, we believe that diversity drives outperformance and we reflect that belief in our proxy voting policy. Our expectation is that companies in the US have at least 10% women on their board and at least one other diverse director, for example a person from an underrepresented racial or ethnic group or a member of the LGBTQ+ community. We believe that this issue is important everywhere – so we hold all companies around the world to high diversity standards. We will continue to advocate for greater diversity in the boardroom and cast our votes accordingly.

Katie Koch
Head of Public Equities,
Goldman Sachs Asset Management



Recent progress—and the continued push—toward greater boardroom diversity comes at a pivotal time for corporate America. The ability to challenge long-held assumptions; understand megatrends; and effectively calibrate strategy, risk, and talent in the context of heightened stakeholder expectations puts a premium on thinking differently.

Thirty-eight percent of directors polled say they are moderately concerned that the lack of diverse views in their boardroom hampers insightful discussions or identification of blind spots or important issues, while 4 percent say they are extremely concerned. Among 67 respondents who self-identified as a member of an underrepresented group, one-third identified challenges, including dominated discussions (22 percent), discounted opinions (12 percent), and lack of an encouraging board culture (10 percent).

Directors cited recruitment from narrow pipelines (among the CEO and board as well as outside search firms) as impediments to recruiting diverse directors. Almost a third of the respondents who identified as part of an underrepresented group cited the CEO/board's inclination to recruit from a narrow social/business circle as the main impediment to recruiting more diverse candidates, followed by the board not having identified a need for greater diversity and a lack of qualified candidates. Yet, 30 percent of all directors surveyed continue to perceive a lack of qualified candidates as an impediment.

*KPMG Board Leadership Center (BLC), Poised for Change? Boardroom Diversity Survey*²³

Notes from Our Partners



The U.S. Asian population grew 72 percent between 2000 and 2015, the fastest growth rate of any major racial or ethnic group.

Asian-American consumers' buying power has also grown faster than all other ethnic groups and is projected to be \$1.3 trillion by 2022. As the most affluent and most educated of any U.S. race or ethnicity, Asian Americans are 12 percent of the professional workforce. According to 2019 U.S. Census data, they make up only 6.1 percent of the U.S. population.

These characteristics contribute to the misconception that Asians are well represented in the corporate boardroom and executive suites and can perpetuate the myth of the model minority.

While there is ample Asian talent that is board-qualified, based on our research examining Asian representation on Fortune 1000 boards, comparatively, we find that corporate America needs to increase the board seats held by Asians. Further, despite the importance that Asian Americans play in the U.S. economy, nearly 72 percent of Fortune 1000 companies do not have the benefit of Asian representation and perspective in the boardroom. Of those companies that do have an Asian board director, just over 6 percent have multiple Asian directors.

In today's climate, companies increasingly are evaluated on social impact and responsibility. As business leaders look at the current and future landscape for their employees, customers, consumers, suppliers, and business partners, we strongly believe it is an imperative that Asian and diverse representation in the boardroom increases to represent the demographics."

Ascend Pinnacle & KPMG, Asian Representation on Fortune 1000 Boards ²⁴



The data show that the opportunity for first-time directors has never been higher. Companies are expanding the board candidate pool and taking more deliberate steps to ensure the candidate slate is not only well qualified, but also refreshed with diverse candidates. Representation on corporate boards for women and ethnic minorities has continued to rise over the years. With a focus on continued diverse data collection and identification, the advancement of underrepresented communities, including LGBTQ+, is top of mind and central to expanding opportunities for all minority groups.

CA-Based Companies' Compliance with SB 826 and AB

% Female Directors	31.5%
# Companies Not in Compliance with SB 826	256
% of Companies Not in Compliance	33.0%
% Racially-Diverse Directors	20.1%
# Companies Not in Compliance with AB 979 - 1st Deadline	143
% of Companies Not in Compliance - 1st Deadline	18.4%
# Companies Not in Compliance with AB 979 - 2nd Deadline	517
% of Companies Not in Compliance - 2nd Deadline	66.6%
% Diverse Directors	42.8%

Gender and Racial Diversity Representation in the Russell 3000

# of Board Appointments	3,061
% First-Time Directors	60.7%
# of Women Appointed (First-Time Directors)	845
% Racially Diverse	34.3
# of Men Appointed (First-Time Directors)	1,013
% Racially Diverse	26.9%



Latinos accounted for 82% of the growth of the U.S. labor-force participation between 2010 and 2017, [and] GDP among Latinos has grown at a faster rate than the overall U.S. economy, growing from \$1.7 billion in 2010 to \$2.3 billion in 2017.

As business leaders plan for long-term growth and sustainability, they must take into consideration the role that Latinos will play as their companies' employees, consumers, and business partners. However, the Latino voice is rarely represented in the corporate boardroom, despite increasingly loud calls for improved diversity on boards.

By all measures, there is a paucity of Latino directors serving on corporate boards in the United States. [...] Nearly one in five (18.3%) residents of the U.S. is Latino. However, among the 10,166 board seats of companies on the Fortune 1000 list, only 2.7% are held by Latinos.

Given the well-publicized fact that men hold the vast majority of board seats in the U.S., it may not be surprising that very few of these Latino directors are women. Among the Fortune 1000 board seats held by Latinos, less than one-third (31.3%) are held by Latina, or female, directors. In other words, less than 1% (0.85%) of the board seats of Fortune 1000 companies are held by Latinas.

The vast majority of U.S. boardrooms do not have the benefit of the Latino perspective. Less than one-quarter (23.2%) of the companies on the Fortune 1000 list have at least one Latino director serving on their board. Very few (0.7%) Fortune 1000 companies have three or more Latino directors.

Latino Corporate Directors Association & KPMG, Latino Representation on Fortune 1000 Boards ²⁵





Guy Primus

In the history of the Fortune 500, there have been 21 Black CEOs. That's less than 4% in its entire history. It's a problem that you can enumerate, and a problem we still have.

The way we think about this is through an individualized approach: How do we use our influence and how do we make connections? It's good business. I love that Out Leadership's mission really starts with the business because that's what we did too.

A diverse board, no matter where they are, is going to make better business decisions. Almost invariably. It's hard to make a poor business decision because you have diversity. The more diverse boards are, the better decisions they can make, the more obstacles they can help overcome, the more pitfalls they can avoid. That's where our mentality starts - a more diverse employee base, C-suite, and boardroom really is good business. That has to represent not only Blacks, Latinx, AAPI, and LGBTQ+, but the entirety of America. Whatever those considerations are in your market, and in our country, have to be represented.

Recruit folks and understand what it takes to get the best out of them. Most CEOs don't have that track record or that experience. So that's what boards do - advise CEOs and represent the shareholders.

Guy Primus, CEO of Valence Communities and Co-Founder of The Board Challenge Out Leadership Q1 Member Council Meeting ²⁶



The Time Has Come To Formalize Board Diversity¹

Selendy Gay Elsberg is proud to have helped Out Leadership with its efforts to study the commitment to board diversity among Fortune 500 companies.¹

Our analysis of the most recent proxy statements or other public disclosures released by the Fortune 500 found a lack of any uniform standards for selecting board directors. One problem with an informal approach to corporate diversity is that it ignores the impact of implicit bias and how certain groups, particularly people of color, suffer disproportionately as a result.

Therein lies the importance of the "Rooney Rule." The Rule stipulates that, for every open board seat, female and minority candidates will be included in the initial pool of candidates. This step operationalizes diversity at the most senior levels of business. The Rule doesn't demand that a diverse candidate get the position, but it does meaningfully improve its likelihood by ensuring that a diverse group of potential directors are being seriously considered.

Our analysis also showed that the vast majority of Fortune 500 businesses do not commit - via their publicly disclosed proxy statements - to including diverse directors on their boards. Some companies go so far as to publicly state that they do NOT have a formal policy. And, disappointingly, most companies do not include LGBTQ+ status as a metric of diversity when seeking candidates for open board seats.

It is clear that we are still in the nascent stages of corporate leadership that reflects a diverse society and workforce. The Rooney Rule and formal board diversity policies are goals to which all companies should aspire, but for now, companies that follow these practices are in the vanguard, not the norm.

At Selendy Gay Elsberg, 50% of our equity is held by women and more than 20% of our attorneys identify as LGBTQ+. Like many firms, we are proud of what we have accomplished, but we know we can and must do better in other areas of diversity. In the process, we stand with Out Leadership and companies around the world that – like us – are working to build a more diverse, inclusive, and equitable workplace.

Notes

¹<https://www.selendygay.com/>

²https://www.assembly.ca.gov/sites/assembly.ca.gov/files/10-1-21_sc_diversity_agenda_final_pdf.pdf

³https://www.linkedin.com/pulse/sec-approves-NASDAQs-board-diversity-proposal-turning-todd-sears?lipi=urn%3Ali%3Apage%3Ad_flagship3_profile_view_base_recent_activity_details_all%3BjaBiyWkqQcW%2FVVS2Ffd8Q%3D%3D

⁴<https://www.forbes.com/sites/toddgsears/2021/07/01/dispelling-myths-on-the-barriers-to-minority-representation-on-corporate-boards/?sh=171e2ad3232>

⁵<https://www.agendaweek.com/c/3197354/403024/>

⁶<https://www.nyse.com/boardadvisory/about-the-council>

⁷<https://www.fca.org.uk/publications/consultation-papers/cp21-24-diversity-inclusion-company-boards-executive-committees#:~:text=CP21%2F24%3A%20Diversity%20and%20inclusion%20on%20company%20boards%20and%20executive%20committees,-Previous&text=We%20are%20proposing%20to%20change,their%20boards%20and%20executive%20management>

⁸<https://www.womenonboards.net/womenonboards-AU/media/UK-PDFs-Miscellaneous/WOBUK-FCAResponse-Sept21.pdf>

⁹<https://www.wsj.com/articles/the-woke-NASDAQ-11606865986>

¹⁰<https://www.wsj.com/articles/a-NASDAQ-chiefs-diversity-stunt-11607469679>

¹¹<https://www.wsj.com/articles/NASDAQ-and-groupthink-11607556598>

¹²<https://www.lesechos.fr/tech-medias/hightech/six-choses-a-savoir-sur-tim-cook-a-la-tete-dapple-depuis-10-ans-1340581>

¹³https://www.sec.gov/Archives/edgar/data/201533/000114036121009995/nc10019849x3_def14a.htm

¹⁴<https://www.spencerstuart.com/research-and-insight/us-board-index>

¹⁵<https://OutLeadership.com/insights/public-companies-lgbtq-board-members-2021/>

¹⁶https://leginfo.ca.gov/faces/billCompareClient.xhtml?bill_id=201920200ABg79&showamends=false

¹⁷<https://www.fca.org.uk/publications/consultation-papers/cp21-24-diversity-inclusion-company-boards-executive-committees#:~:text=CP21%2F24%3A%20Diversity%20and%20inclusion%20on%20company%20boards%20and%20executive%20committees,-Previous&text=We%20are%20proposing%20to%20change,their%20boards%20and%20executive%20management>

¹⁸https://OutLeadership.com/wp-content/uploads/2021/10/Out-Leaderships-comments-to-FCA-on-CP21_24.pdf

²³<https://boardleadership.kpmg.us/relevant-topics/articles/2022/poised-for-change-boardroom-diversity-survey.html>

²⁴<https://static1.squarespace.com/static/5e8bce29f730fc7358d4bc35/t/5f4e84c49fb7c07164836391/1598981324087/asian-representation-fortune-1000-boards.pdf>

²⁵https://www.latinocorporatedirectors.org/docs/Latino_Represenation_on_Fortune_1000_Boards_Report__from_LCDA_and_KPMG.pdf

²⁶<https://vimeo.com/675994995>

About Out Leadership

Out Leadership is the world's premier global LGBTQ+ business advisory that harnesses the power of business to drive LGBTQ+ equality through talent development, advocacy, research and networking, working closely with many of the world's greatest companies.

**Because equality drives business
and business drives equality.**

For more information, please contact
info@outleadership.com

Special Thanks

Special thanks to these individuals and organizations for their thought leadership, advocacy for LGBTQ+ equality, and contributions to the writing, design, and editing of this report.

Out Leadership

Todd Sears, Founder & CEO
Marco Martinot, COO & CFO
Jane Barry-Moran, Director of Research & Program Strategy
Fabrice Houdart, Managing Director of Global Advocacy
Michael Paterson, Executive Creative Director
Stephen Smith, Head of Marketing
Chris Frederick, Managing Director of Global Events
Kenya Simon, Director of Member Experience
Matt Fust, Senior Advisor
Matthew Montelongo, Senior Advisor
Leony Cora, Executive Assistant & Office Manager
Leo Scheck, Social Media Manager
Kelly Ver Haeghe, Events Associate
Cameron Wu, Junior Associate
Natalie Krill, Junior Associate
Peri Lund, Intern

2021 Global Advisory Board

Dr. Allan Zeman, Chairman, Lan Kwai Fong Group
Beth Brooke-Marciniak, Global Vice Chair, Public Policy (retired), EY
Brian L. Duffy, Chief Executive Officer Greenberg Traurig, LLP
Gigi Chao, Executive Vice Chairman, Cheuk Nang (Holdings) Ltd.
Jim Fitterling, Chief Executive Officer, The Dow Chemical Company
Kathleen Sebelius, Chief Executive Officer, Sebelius Resources LLC
Ken Mehlman, Global Head of Public Affairs, KKR
Lee Schreter, Chairperson of the BOA Littler Mendelson
Lou Aversano, SVP, Global Chief Brand Officer, Cigna
Noel Quinn, Group Chief Executive Officer HSBC Holdings, Plc
Richard Jeanneret, Americas Vice Chair, East Region Managing Partner EY

Non Profit and Data Partners

Equilar
Selendy Gay Elsberg
The Board Challenge
Ascend Pinnacle
Latino Corporate Directors Association

Other Supporters

Sydney Reyes
Maggie Barry-Moran



Appendix I - Complete Board Diversity Mapping of the Fortune 1000

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
3M	103	Chemicals	Yes	Yes	Yes	Yes	Yes	No
A-mark Precious Metals	565	Metals Trading Company	No	No	No	No	No	No
A.o. Smith	785	Manufacturing Company	No	Yes	Yes	No	No	No
Aar	956	Aviation; Aerospace & Defense	No	Yes	Yes	Yes	No	No
Aaron's	649	Furniture Company	No	No	No	No	No	No
Abbott Laboratories	104	Medical Products and Equipment	No	Yes	No	Yes	Yes	No
AbbVie	99	Pharmaceuticals	No	Yes	Yes	Yes	No	Yes
Abercrombie & Fitch	678	Retail Company	No	Yes	Yes	Yes	No	Yes
ABM Industries	462	Diversified Outsourcing Services	No	Yes	Yes	Yes	Yes	Yes
Acadia Healthcare	760	Healthcare	No	No	No	No	No	No
Activision Blizzard	463	Entertainment	No	Yes	Yes	Yes	No	No
Acuity Brands	671	Industrial Products	No	Yes	Yes	Yes	Yes	Yes
Adobe	285	Computer Software	No	No	No	No	No	No
Adt	541	Security Company	Yes	Yes	Yes	No	Yes	Yes
Advance Auto Parts	330	Specialty Retailers: Other	No	No	No	No	No	No
Advanced Micro Devices	448	Semiconductors	No	Yes	Yes	Yes	No	No
AECOM	163	Engineering / Construction	No	Yes	Yes	Yes	No	Yes
AES	310	Utilities: Gas and Electric	No	Yes	Yes	Yes	No	No
Affiliated Managers Group	933	Financial Services	No	No	No	No	No	No
Aflac	146	Insurance: Life, Health (Stock)	No	No	No	No	No	No
AGCO	350	Construction / Farm Machinery	No	No	No	No	No	No
Agilent Technologies	537	Analytical Laboratory Instrument Manufacturing Company	No	No	No	No	No	Yes
Agnc Investment	798	Real Estate Investment Trust Company	No	Yes	Yes	Yes	No	Yes
AIG	66	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Air Lease	992	Aircraft Leasing	No	No	No	No	No	No
Air Products & Chemicals	355	Chemicals	No	No	No	No	No	No
AK Steel Holding	467	Metals	No	No	No	No	No	No
Akamai Technologies	806	Internet Company	No	Yes	Yes	Yes	No	No
Alaska Air Group	360	Airlines	No	Yes	Yes	No	Yes	No
Albemarle	683	Chemical Manufacturing Company	No	Yes	Yes	Yes	No	No
Albertsons	55	Food & Drug Stores	No	Yes	Yes	Yes	No	No
Alcoa	302	Metals	No	Yes	Yes	Yes	No	No
Aleris	715	Mining & Metals	No	No	No	No	No	No
Alexion Pharmaceuticals	547	Pharmaceutical Company	No	Yes	No	Yes	Yes	No
Align Technology	888	Orthodontics Company	No	No	No	No	No	No
Alleghany	351	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Allegheny Technologies	633	Steel	No	No	No	No	No	No
Alliance Data Systems	456	Financial Data Services	No	Yes	No	No	No	No
Alliant Energy	675	Utilities	No	Yes	No	Yes	No	Yes
Allison Transmission Holdings	839	Automotive	No	Yes	Yes	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Allstate	72	Insurance: Property and Casualty (Stock)	No	Yes	No	Yes	No	No
Ally Financial	273	Diversified Financials	No	No	No	No	No	No
Alphabet	11	Internet Services and Retailing	No	Yes	Yes	Yes	Yes	Yes
Altice USA	327	Telecommunications	No	No	No	No	No	No
Altria Group	167	Tobacco	No	Yes	Yes	No	No	No
Amazon.com	2	Internet Services and Retailing	No	Yes	Yes	Yes	No	No
Amc Networks	771	Media Company	No	No	No	No	No	No
Amerco	663	Insurance Company	No	No	No	No	No	No
Ameren	488	Utilities: Gas and Electric	No	Yes	Yes	Yes	No	Yes
American Airlines Group	70	Airlines	No	Yes	Yes	Yes	No	Yes
American Axle & Manufacturing	460	Motor Vehicles and Parts	No	Yes	Yes	No	No	No
American Eagle Outfitters	616	Clothing Company	No	Yes	No	No	No	No
American Electric Power	204	Energy	No	Yes	Yes	No	No	No
American Equity Investment Life Holding	702	Life Insurance Company	No	No	No	No	No	No
American Express	67	Diversified Financials	No	Yes	Yes	No	No	No
American Family Insurance Group	254	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
American Financial Group	383	Insurance: Property and Casualty (Stock)	No	Yes	No	No	No	No
American National Insurance	636	Insurance Company	No	No	No	No	No	No
American Tower	414	Real Estate	No	No	No	No	No	No
American Water Works	682	Utilities	No	Yes	Yes	Yes	No	Yes
Ameriprise Financial	245	Diversified Financials	No	Yes	Yes	Yes	No	No
AmerisourceBergen	10	Wholesalers: Health	No	Yes	Yes	Yes	No	No
Ametek	538	Manufacturing Company	No	Yes	Yes	Yes	No	Yes
Amgen	135	Pharmaceuticals	No	No	No	No	No	No
Amica Mutual Insurance	823	Insurance Company	No	No	No	No	No	No
Amkor Technology	639	Semiconductor Manufacturing Company	Yes	Yes	Yes	No	Yes	Yes
Amn Healthcare Services	939	Industrial Services	No	Yes	Yes	Yes	No	No
Amphenol	386	Network / Communication Equipment	No	Yes	No	No	No	No
Analog Devices	487	Semiconductors	No	No	No	No	No	No
Andersons	390	Food Production	No	No	No	No	No	No
Anixter International	359	Wholesalers: Electronics / Office Equipment	No	No	No	No	No	No
Antero Resources	608	Gas	Yes	Yes	Yes	No	Yes	No
Anthem	29	Health: Insurance and Managed Care	No	Yes	No	Yes	No	Yes
Apache	465	Mining, Crude-Oil Production	No	No	No	No	No	No
Apollo Global Management	754	Private Equity Company	No	No	No	No	No	No
Apple	4	Computers - Office Equipment	No	Yes	No	No	No	No
Applied Industrial Technologies	700	Industrial Supplies Company	No	Yes	Yes	Yes	No	No
Applied Materials	218	Semiconductors	No	Yes	No	Yes	No	No
Aptargroup	812	Packaging And Drug Delivery Devices	No	No	No	No	No	No
Aramark	200	Diversified Outsourcing Services	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Arctest	786	Logistics & Supply Chain	No	No	No	No	No	No
Arch Resources	922	Materials	No	Yes	Yes	Yes	No	Yes
Archer Daniels Midland	54	Food Production	No	Yes	Yes	No	Yes	No
Arista Networks	886	Tech Hardware & Semiconductors	No	No	No	No	No	No
Arrow Electronics	110	Wholesalers: Electronics / Office Equipment	No	No	No	No	No	No
Arthur J. Gallagher	429	Diversified Financials	No	Yes	Yes	Yes	No	No
Asbury Automotive Group	428	Automotive Retailing	No	No	No	No	No	Yes
Ascena Retail Group	473	Apparel	No	Yes	No	No	Yes	No
Asgn	652	Staffing & Recruiting	No	Yes	Yes	No	Yes	No
Ashland Global Holdings	694	Manufacturing And Chemical Distribution	No	No	No	No	No	No
Assurant	315	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
AT&T	9	Telecommunications	No	No	No	No	No	No
Atlas Air Worldwide Holdings	833	Airlines	No	Yes	Yes	Yes	No	No
Atmos Energy	802	Natural Gas Distribution Company	No	No	No	No	No	No
Auto-Owners Insurance	320	Insurance: Property and Casualty	No	No	No	No	No	No
Autodesk	737	Software Company	Yes	Yes	No	Yes	No	Yes
Autoliv	369	Motor Vehicles and Parts	No	Yes	Yes	Yes	Yes	Yes
Automatic Data Processing	227	Diversified Outsourcing Services	No	Yes	Yes	Yes	Yes	Yes
AutoNation	154	Automotive Retailing	No	No	No	No	No	No
AutoZone	268	Specialty Retailers: Other	No	No	No	No	No	No
Avalonbay Communities	912	Real Estate	No	Yes	Yes	Yes	No	No
Avantor	484	Scientific, Photographic and Control Equipment	No	Yes	No	Yes	No	Yes
Avaya Holdings	807	Holding Company	No	Yes	Yes	Yes	No	Yes
Avery Dennison	435	Packaging / Containers	No	No	No	No	No	No
Avis Budget Group	345	Automotive Retailing	No	Yes	No	No	No	No
Avnet	169	Wholesalers: Electronics / Office Equipment	No	Yes	No	No	No	No
Baker Hughes	129	Oil and Gas Equipment, Services	No	Yes	No	Yes	No	No
Ball Corp.	279	Packaging / Containers	No	Yes	Yes	No	Yes	No
Bank of America	25	Commercial Banks	Yes	Yes	Yes	Yes	Yes	No
Bank of New York Mellon	159	Commercial Banks	Yes	Yes	Yes	Yes	No	No
Barnes & Noble	685	Retail Outlet Company	No	No	No	No	No	No
Barnes & Noble Education	986	Education	No	No	No	No	No	No
Baxter International	282	Medical Products and Equipment	No	Yes	Yes	Yes	Yes	Yes
Beacon Roofing Supply	434	Wholesalers: Diversified	No	Yes	No	No	No	No
Beazer Homes Usa	965	Home Construction	No	Yes	Yes	Yes	No	Yes
Becton Dickinson	187	Medical Products and Equipment	No	Yes	No	No	No	No
Bed Bath & Beyond	265	Specialty Retailers: Other	No	Yes	Yes	Yes	No	No
Belden	874	Electrical & Electronic Manufacturing	No	No	No	No	No	No
Benchmark Electronics	930	Tech Hardware & Semiconductors	No	Yes	Yes	Yes	No	No
Berkshire Hathaway	6	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Berry Global Group	358	Packaging / Containers	No	Yes	Yes	No	Yes	No
Best Buy	75	Specialty Retailers: Other	No	Yes	No	Yes	Yes	Yes
Bgc Partners	963	Financial Services	No	No	No	No	No	No
Big Lots	526	Retail Company	No	No	No	No	No	Yes
Bio-rad Laboratories	917	Biotechnology	No	Yes	Yes	Yes	No	No
Biogen		Pharmaceuticals	No	Yes	Yes	Yes	Yes	No
BJ's Wholesale Club	243	General Merchandisers	No	Yes	Yes	No	No	Yes
BlackRock	219	Securities	Yes*	Yes	Yes	Yes	No	Yes
Blackstone Group	420	Diversified Financials	No	No	No	No	No	No
Bloomin' Brands	628	Restaurant	No	No	No	No	No	No
Bluelinx Holdings	852	Wholesale Company	No	No	No	No	No	No
Bmc Stock Holdings	677	Consumer Discretionary	No	No	No	No	No	No
Boeing	40	Aerospace & Defense	No	No	No	No	No	No
Boise Cascade	579	Building Materials	No	Yes	Yes	Yes	No	No
Bok Financial	936	Multi-bank Holding	No	No	No	No	No	No
Booking Holdings	210	Internet Services and Retailing	No	Yes	Yes	Yes	Yes	No
Booz Allen Hamilton Holding	450	Information Technology Services	Yes	Yes	Yes	No	Yes	Yes
Borg Warner	312	Motor Vehicles and Parts	No	Yes	No	No	No	No
Boston Properties	789	Real Estate Investment Trust Company	No	Yes	No	Yes	Yes	No
Boston Scientific	296	Medical Products and Equipment	No	Yes	Yes	Yes	No	No
Boyd Gaming	728	Hospitality Company	No	Yes	No	Yes	No	Yes
Bright Horizons Family Solutions	975	Child-care Provider	No	Yes	Yes	Yes	No	No
Brighthouse Financial	458	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Brightview Holdings	891	Commercial Company	No	No	No	No	No	No
Brink's	669	Security Company	No	Yes	Yes	Yes	No	Yes
Brinker International	745	Restaurant Company	No	Yes	Yes	Yes	No	No
Bristol-Myers Squibb	115	Pharmaceuticals	No	Yes	No	Yes	No	No
Broadcom	138	Semiconductors	No	Yes	Yes	Yes	No	Yes
Broadridge Financial Solutions	610	Data Analytics	Yes	Yes	Yes	Yes	Yes	No
Brookdale Senior Living	638	Seniors Residences	No	Yes	Yes	No	No	No
Brown & Brown	893	Insurance Company	No	No	No	No	No	No
Brown-forman	730	Distilled Beverage	No	No	No	No	No	No
Bruker	968	Scientific Instruments Manufacturer	No	No	No	No	No	No
Brunswick	590	Shipbuilding	No	No	No	No	No	No
Builders FirstSource	425	Building Materials / Glass	No	No	No	No	No	No
Burlington Stores	424	Apparel	No	No	No	No	No	No
C.H. Robinson Worldwide	208	Transportation and Logistics	No	No	No	No	No	No
Cabot	726	Chemicals Company	No	Yes	Yes	No	Yes	Yes
Cabot Oil & Gas	974	Oil And Gas	No	No	No	No	No	Yes
Caci International	549	Information Technology Company	No	Yes	Yes	Yes	No	No

*matrix changed April 2022/language change up for 2022 proxy vote

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Cadence Design Systems	910	software & Tech Services	No	Yes	Yes	Yes	No	No
Caesars Entertainment	363	Hotels, Casinos, Resorts	Yes	Yes	Yes	Yes	No	No
Caleres	797	Footwear Company	No	No	No	No	No	No
California Resources	853	Petroleum Industry	No	No	No	No	No	No
Calpine	319	Energy	No	No	No	No	No	No
Calumet Specialty Products Partners	705	Manufacturing Company	No	No	No	No	No	No
Campbell Soup	323	Food Consumer Products	No	No	No	No	No	No
Camping World Holdings	555	Retail Company	No	No	No	No	No	No
Capital One Financial	97	Commercial Banks	No	Yes	Yes	No	No	No
Cardinal Health	16	Wholesalers: Health	No	Yes	Yes	Yes	No	No
Carlisle	563	Construction	No	Yes	Yes	Yes	No	No
Carlyle Group	713	Private Equity Company	No	No	No	No	No	No
CarMax	173	Automotive Retailing	No	Yes	No	Yes	No	No
Carpenter Technology	897	Steel Industry Company	No	No	No	No	No	No
Carter's	689	Apparel Company	No	Yes	Yes	No	No	No
Carvana	651	Internet	No	Yes	Yes	No	Yes	Yes
Casey's General Stores	378	Specialty Retailers: Other	No	No	No	No	No	No
Catalent	869	Pharmaceutical Company	No	No	No	No	No	No
Caterpillar	62	Construction / Farm Machinery	No	No	No	No	No	No
Cboe Global Markets	872	Security & Commodity Exchanges	No	No	No	No	No	No
CBRE Group	128	Real Estate	No	Yes	No	Yes	No	No
Cdk Global	911	software & Tech Services	No	Yes	No	Yes	No	Yes
CDW	178	Information Technology Services	No	Yes	Yes	Yes	No	No
Celanese	470	Chemicals	No	Yes	Yes	Yes	Yes	Yes
Centene	42	Health: Insurance and Managed Care	No	Yes	No	Yes	Yes	No
CenterPoint Energy	260	Utilities: Gas and Electric	No	Yes	No	Yes	Yes	Yes
Central Garden & Pet	896	Consumer Staple Products	No	No	No	No	No	No
Centric Brands	957	Apparel Industry	No	No	No	No	No	No
Century Communities	867	Construction Company	No	Yes	Yes	Yes	No	Yes
CenturyLink	143	Telecommunications	No	Yes	No	No	No	No
Cerner	498	Health: Pharmacy and Other Services	No	Yes	Yes	Yes	No	No
Cf Industries Holdings	587	Manufacturing Company	No	Yes	Yes	No	No	Yes
Charles River Laboratories International	855	Pharmaceutical Company	No	Yes	Yes	Yes	Yes	Yes
Charles Schwab	271	Securities	No	Yes	Yes	Yes	No	No
Charter Communications	71	Telecommunications	No	Yes	Yes	Yes	No	No
Cheesecake Factory	876	Restaurant Company	No	Yes	No	Yes	Yes	Yes
Chemours	511	Chemicals Company	No	Yes	No	Yes	No	No
Cheniere Energy	329	Energy	No	Yes	No	Yes	No	Yes
Chesapeake Energy	373	Mining, Crude-Oil Production	No	Yes	No	Yes	Yes	Yes
Chevron	15	Petroleum Refining	No	Yes	No	Yes	No	Yes

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Chewy	559	E-commerce Company	No	Yes	No	Yes	Yes	Yes
Chico's Fas	985	Clothing	No	No	No	No	No	No
Chipotle Mexican Grill	506	Restaurant Company	No	Yes	No	No	No	No
CHS	105	Food Production	No	No	No	No	No	No
Church & Dwight	611	Manufacturing Company	No	Yes	Yes	Yes	Yes	Yes
Ciena	684	Telecommunications Company	No	Yes	Yes	Yes	Yes	Yes
Cigna	13	Health Care: Pharmacy and Other Services	No	Yes	Yes	Yes	No	No
Cimarex Energy	902	Energy	No	Yes	No	Yes	No	No
Cincinnati Financial	400	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Cinemark Holdings	736	Entertainment	No	No	No	No	No	No
Cintas	441	Diversified Outsourcing Services	No	Yes	Yes	Yes	No	No
Cisco Systems	63	Network / Communication Equipment	Yes	Yes	Yes	Yes	Yes	Yes
Cit Group	732	Bank Holding Company	No	No	No	No	No	No
Citigroup	31	Commercial Banks	No	Yes	Yes	Yes	Yes	No
Citizens Financial Group	395	Commercial Banks	No	No	No	No	No	No
Citrix Systems	779	Software Company	No	Yes	Yes	Yes	Yes	No
Clean Harbors	709	Waste Management Company	No	No	No	No	No	No
Clear Channel Outdoor Holdings	842	Out-of-home Advertising Company	No	No	No	No	No	No
Clorox	474	Household and Personal Products	Yes	Yes	Yes	Yes	Yes	Yes
Cme Group	558	Financial Services	No	Yes	Yes	Yes	No	Yes
CMS Energy	443	Utilities: Gas and Electric	No	No	No	No	No	No
Cno Financial Group	643	Financial Services Company	No	Yes	Yes	Yes	Yes	Yes
Coca-Cola	88	Beverages	No	No	No	No	No	No
Coca-cola Consolidated	562	Beverage Company	No	No	No	No	No	No
Cognizant Technology Solutions	194	Information Technology Services	No	Yes	Yes	Yes	No	No
Colfax	614	Manufacturing	No	Yes	No	Yes	Yes	No
Colgate-Palmolive	203	Household and Personal Products	Yes	Yes	Yes	Yes	Yes	No
Colony Capital	840	Private Equity Company	No	No	No	No	No	Yes
Columbia Sportswear	774	Outerwear Company	No	No	No	No	No	No
Comcast	28	Telecommunications	No	Yes	Yes	Yes	No	No
Comerica	659	Financial Services Company	No	No	No	No	No	No
Comfort Systems Usa	856	Heating, Ventilation, And Air Conditioning Company	No	Yes	Yes	Yes	Yes	Yes
Commercial Metals	491	Metals	No	No	No	No	No	No
CommScope Holding	381	Network / Communication Equipment	No	No	No	No	No	No
Community Health Systems	241	Health: Medical Facilities	No	Yes	Yes	Yes	Yes	No
Conagra Brands	334	Food Consumer Products	No	Yes	Yes	Yes	No	Yes
Concho Resources	585	Oil & Gas	No	Yes	Yes	No	No	No
Conduent	599	Business Process Services	No	No	No	No	No	No
ConocoPhillips	93	Mining, Crude-Oil Production	No	Yes	Yes	Yes	Yes	No
Consolidated Edison	256	Energy	No	Yes	Yes	Yes	Yes	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Constellation Brands	392	Beverages	No	No	No	No	No	No
Continental Resources	581	Production Company	No	No	No	No	No	No
Contura Energy	925	Mining Company	No	Yes	No	Yes	Yes	No
Cooper	848	Entertainment	No	Yes	Yes	No	No	No
Cooper Tire & Rubber	830	Manufacturing Company	No	No	No	No	No	No
Cooper-standard Holdings	759	Automotive	No	Yes	Yes	Yes	Yes	No
Copart	984	Used Car Dealers	No	Yes	Yes	No	No	No
Core-Mark Holding	240	Wholesalers: Food and Grocery	No	No	No	No	No	No
Cornerstone Building Brands	556	Building Materials	No	Yes	Yes	No	No	Yes
Corning	277	Electronics / Electrical Equipment	No	Yes	No	No	No	No
Corteva Agriscience	234	Food Production	No	Yes	Yes	Yes	Yes	Yes
Costco Wholesale	14	General Merchandisers	No	Yes	No	Yes	No	No
Coty	366	Household and Personal Products	No	Yes	No	Yes	Yes	Yes
Country Financial	656	Insurance	No	No	No	No	No	No
Cracker Barrel Old Country Store	767	Restaurant Company	No	Yes	Yes	Yes	No	Yes
Crane	735	Machine	No	No	No	No	No	No
Crestwood Equity Partners	748	Logistic	No	No	No	No	No	No
Crossamerica Partners	970	Oil And Gas ; Real Estate	No	No	No	No	No	No
Crown Castle International	496	Real Estate	No	No	No	No	No	No
Crown Holdings	272	Packaging / Containers	No	Yes	Yes	Yes	Yes	No
CSX	267	Railroads	No	No	No	No	No	No
Cummins	132	Industrial Machinery	No	No	No	No	No	No
Cuna Mutual Group	632	Insurance	No	No	No	No	No	No
Curtiss-wright	875	Arms Industry Company	No	Yes	No	Yes	No	No
CVS Health	5	Health Care: Pharmacy and Other Services	No	Yes	Yes	Yes	No	No
Cypress Semiconductor	945	Semiconductor Manufacturing Company	No	Yes	Yes	Yes	No	Yes
D.R. Horton	183	Homebuilders	No	Yes	Yes	Yes	No	No
Dana	367	Motor Vehicles and Parts	No	No	No	No	No	No
Danaher	161	Medical Products and Equipment	No	No	No	No	No	No
Darden Restaurants	372	Food Services	No	Yes	Yes	Yes	No	No
Darling Ingredients	720	Renewables & Environment	No	No	No	No	No	No
DaVita	230	Health: Medical Facilities	No	No	No	No	No	No
DCP Midstream	413	Pipelines	No	No	No	No	No	No
Dean Foods	421	Food Consumer Products	No	No	No	No	No	No
Deckers Outdoor	989	Footwear	No	No	No	No	No	No
Deere & Co.	84	Construction / Farm Machinery	No	Yes	No	Yes	No	No
Delek US Holdings	342	Petroleum Refining	No	No	No	No	No	No
Dell Technologies	34	Computers - Office Equipment	No	No	No	No	No	No
Delta Air Lines	68	Airlines	No	Yes	Yes	Yes	No	No
Deluxe	995	Content Creators	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Dentsply Sirona	642	Dental Equipment And Supplies Manufacturing Company	No	Yes	Yes	Yes	No	No
Designer Brands	698	Shoe Stores Company	No	No	No	No	No	No
Devon Energy	419	Mining , Crude-Oil Production	No	Yes	Yes	Yes	Yes	No
Diamondback Energy	647	Oil & Gas	No	Yes	Yes	Yes	No	Yes
Dick's Sporting Goods	362	Specialty Retailers: Other	No	No	No	No	No	No
Diebold Nixdorf	607	Technology Company	No	No	No	No	No	No
Digital Realty Trust	746	Real Estate Investment Trust Company	No	Yes	Yes	Yes	No	No
Dillard's	468	General Merchandisers	No	No	No	No	No	No
Discover Financial Services	231	Commercial Banks	No	No	No	No	No	No
Discovery	287	Entertainment	No	No	No	No	No	No
DISH Network	251	Telecommunications	No	No	No	No	No	No
Dollar General	112	Specialty Retailers: Other	No	Yes	Yes	No	No	Yes
Dollar Tree	131	Specialty Retailers: Other	No	Yes	No	No	No	No
Dominion Energy	197	Energy	No	Yes	No	No	No	No
Domino's Pizza	679	Restaurant Company	No	No	No	No	No	No
Domtar	532	Paper Mill Company	No	Yes	No	Yes	No	Yes
Donaldson	816	Filtration Company	No	Yes	Yes	No	Yes	No
Dover	433	Industrial Machinery	No	Yes	Yes	Yes	No	No
Dow	78	Chemicals	No	Yes	No	Yes	No	No
DTE Energy	253	Energy	No	Yes	Yes	Yes	No	Yes
Duke Energy	123	Energy	No	Yes	Yes	Yes	No	No
DuPont	152	Chemicals	No	No	No	No	No	No
DXC Technology	155	Information Technology Services	No	Yes	No	No	No	No
Dycom Industries	725	Engineering Services Company	No	No	No	No	No	No
E*trade Financial	755	Financial Services Company	No	No	No	No	No	Yes
Eastman Chemical	343	Chemicals	No	Yes	No	Yes	Yes	No
eBay	295	Internet Services and Retailing	No	Yes	No	No	No	No
Echo Global Logistics	951	Logistics & Supply Chain	No	No	No	No	No	No
Echostar	964	Satellite Communication	No	No	No	No	No	No
Ecolab	213	Chemicals	No	Yes	Yes	No	No	No
Edgewell Personal Care	958	Consumer Staple Products	No	No	No	No	No	No
Edison International	259	Utilities: Gas and Electric	Yes	Yes	Yes	Yes	No	No
Edwards Lifesciences	613	Medical Technology	No	Yes	Yes	Yes	Yes	Yes
Elanco Animal Health	768	Pharmaceutical Company	No	Yes	No	Yes	Yes	No
Eldorado Resorts	868	Hospitality	No	No	No	No	No	No
Electronic Arts	550	Video Game Company	No	Yes	No	Yes	Yes	No
Eli Lilly	145	Pharmaceuticals	No	No	No	No	No	No
EMCOR Group	344	Engineering / Construction	No	No	No	No	No	No
Emerson Electric	176	Industrial Machinery	Yes	Yes	Yes	Yes	Yes	Yes
Enable Midstream Partners	790	Oil & Energy	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Encompass Health	583	Health Care	No	Yes	Yes	Yes	Yes	No
Energizer Holdings	834	Consumer Goods	No	No	No	No	No	No
Energy Transfer	59	Pipelines	No	No	No	No	No	No
Enersys	824	Storage Battery Manufacturing Company	No	No	No	No	No	No
EnLink Midstream	483	Pipelines	No	No	No	No	No	No
Ensign Group	926	Home Health Care Services Company	No	Yes	Yes	Yes	No	No
Entergy	293	Utilities: Gas and Electric	No	Yes	Yes	No	Yes	Yes
Enterprise Products Partners	101	Pipelines	No	No	No	No	No	No
EOG Resources	186	Mining, Crude-Oil Production	No	No	No	No	No	No
Epam Systems	923	Software Company	No	No	No	No	No	No
Eq3	605	Investment	No	No	No	No	No	No
Equifax	692	Consumer Reporting Agency Company	No	No	No	No	No	No
Equinix	509	Internet	No	Yes	No	No	No	No
Equitable Holdings	333	Insurance: Health, Life (Stock)	No	Yes	Yes	Yes	No	No
Equity Residential	838	Real Estate Investment Trust Company	No	Yes	No	Yes	Yes	No
Erie Insurance Group	376	Insurance: Property and Casualty (Mutual)	No	No	No	No	No	No
Estée Lauder	215	Household and Personal Products	No	No	No	No	No	No
Euronet Worldwide	831	Credit Card Service Company	No	No	No	No	No	No
Evercore	988	Investment Banking	No	No	No	No	No	No
Evergy	539	Energy Company	No	Yes	No	Yes	Yes	Yes
Eversource Energy	371	Utilities: Gas and Electric	No	Yes	No	Yes	No	No
Exelon	95	Energy	No	Yes	Yes	Yes	Yes	Yes
Expedia Group	263	Internet Services and Retailing	No	Yes	Yes	No	Yes	No
Expeditors Intl. of Washington	389	Transportation and Logistics	No	Yes	Yes	Yes	No	No
Express	990	Retail	No	No	No	No	No	Yes
Exxon Mobil	3	Petroleum Refining	No	No	No	No	No	No
F5 Networks	932	Technology Company	No	No	No	No	No	No
Facebook	46	Internet Services and Retailing	No	Yes	Yes	Yes	No	No
Fannie Mae	24	Diversified Financials	No	No	No	No	No	No
Farmers Insurance Exchange	255	Insurance: Property and Casualty (Mutual)	No	No	No	No	No	No
Fastenal	525	Industrial Supplies Company	No	No	No	No	No	No
Federated Mutual Insurance	959	Insurance Company	No	No	No	No	No	No
FedEx	47	Mail, Package and Freight Delivery	No	Yes	Yes	Yes	No	Yes
Fidelity National Financial	375	Insurance: Property and Casualty (Stock)	Yes	Yes	Yes	Yes	Yes	Yes
Fidelity National Information Services	303	Financial Data Services	No	Yes	Yes	Yes	No	No
Fifth Third Bancorp	325	Commercial Banks	No	Yes	Yes	Yes	No	Yes
First American Financial	476	Insurance: Property and Casualty (Stock)	Yes	Yes	Yes	No	Yes	No
First Horizon National	927	Bank Holding Company	No	No	No	No	No	No
First Republic Bank	627	Investment Company	No	Yes	No	No	No	No
First Solar	770	Solar Company	Yes	Yes	Yes	Yes	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
FirstEnergy	294	Utilities: Gas and Electric	No	Yes	No	No	No	No
Fiserv	311	Financial Data Services	No	Yes	Yes	Yes	No	No
Fleetcor Technologies	849	Commercial Company	Yes	Yes	Yes	Yes	Yes	Yes
Floor & Decor Holdings	983	Specialty Retail	No	Yes	Yes	Yes	Yes	Yes
Flowers Foods	631	Bakery Company	No	No	No	No	No	No
Flowserve	650	Machinery Industry Company	No	Yes	Yes	No	Yes	Yes
Fluor	181	Engineering / Construction	No	Yes	No	No	No	No
FM Global	447	Insurance: Property and Casualty (Stock)	No	Yes	Yes	No	No	Yes
Fmc	578	Chemical Manufacturing Company	No	Yes	Yes	Yes	No	No
Foot Locker	397	Apparel	No	No	No	No	No	No
Ford Motor	12	Motor Vehicles and Parts	No	No	No	No	No	No
Fortinet	954	Cybersecurity Company	No	Yes	Yes	No	No	No
Fortive	422	Industrial Machinery	No	No	No	No	No	No
Fortune Brands Home & Security	494	Home Equipment / Furnishings	No	Yes	Yes	No	Yes	No
Fossil Group	942	Consumer Discretionary Products	No	Yes	No	No	No	Yes
Foundation Building Materials	955	Building Materials	No	No	No	No	No	No
Fox	280	Entertainment	No	No	No	No	No	No
Franklin Resources	493	Securities	No	No	No	No	No	No
Freddie Mac	41	Diversified Financials	No	No	No	No	No	No
Freeport-McMoRan	221	Mining, Crude-Oil Production	No	Yes	Yes	Yes	No	No
Frontier Communications	393	Telecommunications	No	No	No	No	No	No
Fti Consulting	906	Management Consulting Company	No	Yes	No	No	No	No
G-iii Apparel Group	752	Clothing Company	No	No	No	No	No	No
GameStop	464	Specialty Retailers: Other	No	Yes	No	Yes	No	No
Gap	199	Apparel	No	No	No	No	No	No
Garrett Motion	739	Automotive	No	No	No	No	No	No
Gartner	621	IT Service Management	No	Yes	Yes	No	Yes	Yes
Generac Holdings	946	Manufacturing Company	No	Yes	Yes	Yes	No	No
General Dynamics	83	Aerospace & Defense	No	No	No	No	No	No
General Electric	33	Industrial Machinery	No	Yes	No	Yes	No	No
General Mills	192	Food Consumer Products	No	Yes	No	Yes	No	No
General Motors	18	Motor Vehicles and Parts	No	No	No	No	No	No
Genesco	948	Retail & Wholesale - Discretionary	No	Yes	Yes	Yes	No	No
Genesis Energy	877	Electric Utilities	No	No	No	No	No	No
Genesis Healthcare	589	Healthcare Company	No	Yes	No	Yes	No	No
Genuine Parts	171	Wholesalers: Diversified	No	Yes	Yes	Yes	No	No
Genworth Financial	364	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Geo Group	878	Real Estate Investment Trust	No	Yes	Yes	Yes	No	No
Gilead Sciences	140	Pharmaceuticals	No	Yes	Yes	No	Yes	No
Global Partners	246	Wholesalers: Diversified	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Global Payments	553	Financial Service	No	No	No	No	No	No
Globe Life	592	Insurance	No	Yes	Yes	No	Yes	Yes
Gms	758	wholesalers: Diversified	No	Yes	Yes	Yes	No	No
Gnc Holdings	973	Nutrition Supplements	No	No	No	No	No	No
Godaddy	787	Domain Registrar	No	No	No	No	No	No
Goldman Sachs Group	60	Commercial Banks	Yes	Yes	Yes	Yes	Yes	No
Goodyear Tire & Rubber	216	Motor Vehicles and Parts	No	No	No	No	No	No
Graham Holdings	795	Conglomerate Company	No	No	No	No	No	No
Granite Construction	712	Construction Company	No	No	No	No	No	No
Graphic Packaging Holding	477	Manufacturing: Packaging	No	Yes	Yes	Yes	No	No
Gray Television	962	Television Broadcasting Company	No	No	No	No	No	No
Graybar Electric	415	Wholesalers: Diversified	No	No	No	No	No	No
Green Plains	773	Ethanol: Commodity	No	Yes	Yes	No	No	No
Greenbrier	777	Marine Engineering / Railways	No	No	No	No	No	No
Greif	584	Packaging Company	No	Yes	Yes	Yes	Yes	No
Griffon Corporation	944	Multinational Conglomerate Company	No	No	No	No	No	No
Grocery Outlet Holding	865	Supermarket Company	No	Yes	Yes	Yes	No	No
Group 1 Automotive	264	Automotive Retailing	No	Yes	No	Yes	Yes	No
Groupon	940	Internet	No	No	No	No	No	No
Guardian Life Ins. Co. of America	238	Insurance: Life, Health (Mutual)	No	No	No	No	No	No
Guess	843	Clothing Company	No	No	No	No	No	No
H.b. Fuller	805	Adhesive Manufacturing Company	No	Yes	No	Yes	Yes	No
H&R Block	764	Tax Preparation Company	No	No	No	No	No	No
Hain Celestial Group	836	Foodstuffs	No	No	No	No	No	No
Halliburton	142	Oil and Gas Equipment, Services	No	Yes	Yes	No	Yes	Yes
Hanesbrands	436	Apparel	No	No	No	No	No	No
Hanover Insurance Group	554	Insurance Company	No	Yes	Yes	Yes	No	Yes
Harley-davidson	519	Motorcycle Manufacturers	No	Yes	Yes	Yes	No	No
Hartford Financial Services Group	160	Insurance: Property and Casualty (Stock)	No	Yes	Yes	Yes	No	No
Hasbro	574	Toy Company	No	Yes	No	No	No	No
Hawaiian Electric Industries	810	Company	No	Yes	Yes	Yes	Yes	Yes
Hawaiian Holdings	819	Scheduled Air Transportation Company	No	Yes	No	Yes	No	No
HCA Healthcare	65	Health: Medical Facilities	No	Yes	Yes	Yes	No	No
HD Supply Holdings	479	Wholesalers: Diversified	No	Yes	Yes	No	No	No
Healthpeak Properties	998	Real Estate Investment	No	Yes	Yes	Yes	Yes	Yes
Heico	977	Aerospace	No	No	No	No	No	No
Helmerich & Payne	825	Drilling Oil And Gas Wells Company	No	Yes	No	Yes	No	Yes
Henry Schein	304	Wholesalers: Health	No	Yes	Yes	Yes	Yes	No
Herc Holdings	997	Equipment Rental	No	Yes	Yes	Yes	No	No
Herman Miller	864	Furniture Company	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Hershey	398	Food Consumer Products	No	Yes	Yes	No	No	Yes
Hertz Global Holdings	326	Automotive Retailing	No	Yes	Yes	Yes	No	No
Hess	461	Mining, Crude-Oil Production	No	Yes	Yes	Yes	Yes	Yes
Hewlett Packard Enterprise	109	Computers - Office Equipment	No	Yes	No	Yes	Yes	No
Hexcel	905	Industrial Product	No	Yes	Yes	No	Yes	No
Hexion	717	Chemicals Company	No	No	No	No	No	No
Hill-rom Holdings	799	Medical Device Manufacturer	No	Yes	Yes	Yes	Yes	No
Hilton Worldwide Holdings	338	Hotels, Casinos, Resorts	No	Yes	Yes	Yes	No	Yes
Hni	931	Wood Office Furniture Manufacturing Company	No	Yes	No	Yes	No	Yes
HollyFrontier	184	Petroleum Refining	Yes	Yes	Yes	Yes	Yes	Yes
Hologic	718	Medical Device Company	No	No	No	No	No	No
Home Depot	26	Specialty Retailers: Other	No	Yes	Yes	Yes	No	Yes
Honeywell International	92	Electronics / Electrical Equipment	No	No	No	No	No	No
Hormel Foods	337	Food Consumer Products	No	No	No	No	No	No
Host Hotels & Resorts	514	Real Estate	No	Yes	No	Yes	No	Yes
Hovnanian Enterprises	991	Construction	No	No	No	No	No	No
Howmet Aerospace	226	Aerospace & Defense	Yes	Yes	Yes	Yes	No	No
HP	58	Computers - Office Equipment	No	Yes	No	Yes	No	No
Hub Group	672	Supply Chain Company	No	Yes	Yes	Yes	No	Yes
Hubbell	586	Electrical And Electronic Products	No	Yes	Yes	Yes	Yes	Yes
Humana	52	Health: Insurance and Managed Care	No	No	No	No	No	No
Huntington Bancshares	500	Commercial Banks	No	Yes	Yes	Yes	No	Yes
Huntington Ingalls Industries	357	Aerospace & Defense	No	No	No	No	No	Yes
Huntsman	382	Chemicals	Yes	Yes	Yes	Yes	No	No
Hyatt Hotels	546	Hospitality	Yes	No	Yes	No	Yes	No
Hyster-yale Materials Handling	734	Residential Building Construction	No	No	No	No	No	No
Iac/interactivecorp	568	Media Company	No	No	No	No	No	No
IBM	38	Information Technology Services	No	No	No	No	No	No
Icahn Enterprises	352	Diversified Financials	No	No	No	No	No	No
Idex	873	Manufacturing Company	No	No	No	No	No	No
Idexx Laboratories	887	Healthcare Diagnostic Substances	No	Yes	Yes	Yes	No	No
Iheartmedia	668	Steel	No	Yes	No	Yes	No	No
Illinois Tool Works	229	Industrial Machinery	No	No	No	No	No	No
Illumina	687	Biotechnology Company	No	Yes	Yes	No	No	No
Incyte	953	Pharmaceutical Company	No	No	No	No	No	No
Infor	749	Enterprise Software Company	No	No	No	No	No	No
Ingersoll Rand	881	Industrial Manufacturer	No	Yes	No	Yes	No	No
Ingles Markets	623	Supermarket	No	No	No	No	No	No
Ingredion	475	Food Production	No	No	No	No	No	No
Insight Enterprises	409	Information Technology Services	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Insperty	615	Hr	No	Yes	Yes	Yes	No	Yes
Intel	45	Information Technology Services	No	Yes	Yes	Yes	Yes	Yes
Interactive Brokers Group	862	Foreign Exchange Company	No	No	No	No	No	No
Intercontinental Exchange	459	Securities	No	Yes	Yes	Yes	Yes	No
International Flavors & Fragrances	540	Corporation	No	Yes	Yes	Yes	Yes	No
International Paper	144	Packaging / Containers	No	No	No	No	No	No
Interpublic Group	308	Advertising / Marketing	No	Yes	No	Yes	No	No
INTL FCStone	100	Diversified Financials	No	No	No	No	No	No
Intuit	445	Computer Software	No	No	No	No	No	No
Intuitive Surgical	597	Medical	No	Yes	No	Yes	No	Yes
IQVIA Holdings	290	Health: Pharmacy and Other Services	No	Yes	Yes	Yes	No	No
Iron Mountain	619	Enterprise Information Management Company	No	No	No	No	No	No
Ittron	870	Technology Company	No	No	No	No	No	No
Itt	815	Manufacturing Company	No	Yes	No	Yes	Yes	No
J.B. Hunt Transport Services	346	Trucking / Truck Leasing	No	Yes	No	Yes	No	No
J.C. Penney	286	General Merchandisers	No	No	No	No	No	No
J.crew Group	866	Specialty Retail Company	No	No	No	No	No	No
J.M. Smucker	407	Food Consumer Products	No	No	No	No	No	No
Jabil	121	Manufacturing	No	No	No	No	No	No
Jacobs Engineering Group	206	Engineering / Construction	No	No	No	No	No	No
Jefferies Financial Group	520	Investment Banking Company	No	Yes	No	No	No	No
Jeld-wen Holding	618	Wood Window And Door Manufacturing Company	No	Yes	Yes	Yes	Yes	Yes
JetBlue Airways	394	Airlines	No	Yes	Yes	Yes	No	No
Johnson & Johnson	35	Pharmaceuticals	No	Yes	No	No	No	No
Jones Financial (Edward Jones)	336	Securities	No	No	No	No	No	No
Jones Lang LaSalle	179	Real Estate	No	No	No	No	No	No
JPMorgan Chase	17	Commercial Banks	No	Yes	Yes	Yes	Yes	No
Juniper Networks	601	Networking & Cyber Security	No	No	No	No	No	No
Kansas City Southern	811	Public Company	No	No	No	No	No	No
Kar Auction Services	693	Automotive	No	No	No	No	No	No
Kb Home	591	Homebuilding	No	Yes	Yes	Yes	Yes	No
Kbr	501	Engineering	No	Yes	Yes	No	Yes	Yes
Kellogg	237	Food Consumer Products	No	No	No	No	No	No
Kelly Services	521	Management Consulting Company	Yes	Yes	Yes	Yes	No	Yes
Kemper	545	Insurance Company	No	No	No	No	No	No
Kennametal	899	Metals	No	No	No	No	No	No
Keurig Dr Pepper	288	Beverages	No	Yes	Yes	No	Yes	Yes
KeyCorp	411	Commercial Banks	No	No	No	No	No	No
Keysight Technologies	617	Electronics Company	No	Yes	Yes	Yes	No	Yes
Kimberly-Clark	175	Household and Personal Products	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Kinder Morgan	242	Pipelines	No	Yes	Yes	No	Yes	No
Kirby	818	Video Game Series	No	Yes	Yes	Yes	No	No
KKR	349	Securities	No	No	No	No	No	No
Kla	588	Semiconductor Manufacturing Company	No	Yes	Yes	Yes	No	No
Knight-swift Transportation Holdings	560	Transportation Holdings	No	Yes	No	No	No	No
Knights Of Columbus	895	Financial Services	No	No	No	No	No	No
Kohl's	165	General Merchandisers	No	No	No	No	No	No
Kraft Heinz	122	Food Consumer Products	No	No	No	No	No	No
Kroger	23	Food & Drug Stores	No	Yes	Yes	Yes	No	No
L Brands	248	Apparel	No	No	No	No	No	No
L3 Harris Technologies	250	Aerospace & Defense	No	No	No	No	No	No
Laboratory Corp. of America	274	Health: Pharmacy and Other Services	No	No	No	No	No	No
Lam Research	331	Semiconductors	Yes	Yes	Yes	Yes	No	No
Lamb Weston Holdings	665	Food Processing Company	Yes	Yes	Yes	No	Yes	No
Land O'Lakes	232	Food Consumer Products	No	No	No	No	No	No
Landstar System	635	Transport Company	No	No	No	No	No	No
Las Vegas Sands	235	Hotels, Casinos, Resorts	No	No	No	No	No	No
Laureate Education	666	Higher Education	No	No	No	No	No	No
Lci Industries	900	Retail	No	Yes	Yes	Yes	No	No
Lear	166	Motor Vehicles and Parts	No	Yes	Yes	Yes	No	No
Legg Mason	801	Investment Management Company	No	No	No	No	No	No
Leggett & Platt	569	Residential Bedding And Furniture	Yes	Yes	Yes	Yes	Yes	Yes
Leidos Holdings	289	Information Technology Services	No	Yes	Yes	Yes	No	No
Lennar	147	Homebuilders	No	No	No	No	No	No
Lennox International	660	General Building Materials	No	No	No	No	No	No
Levi Strauss	495	Apparel	No	No	No	No	No	Yes
Lhc Group	966	Healthcare Services	No	Yes	No	Yes	No	No
Liberty Media	306	Entertainment	No	No	No	No	No	No
Liberty Mutual Insurance Group	77	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Liberty Oilfield Services	1000	Oil And Gas	No	No	No	No	No	No
Lincoln Electric Holdings	783	Manufacturing Company	No	Yes	Yes	No	Yes	No
Lincoln National	188	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Lithia Motors	252	Automotive Retailing	No	Yes	Yes	Yes	No	No
Live Nation Entertainment	275	Entertainment	No	Yes	No	Yes	No	No
LKO	257	Wholesalers: Diversified	No	No	No	No	No	No
Lockheed Martin	57	Aerospace & Defense	No	No	No	No	No	No
Loews	212	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Louisiana-pacific	919	Materials	No	No	No	No	No	No
Lowe's	44	Specialty Retailers: Other	No	Yes	Yes	No	No	No
Lpl Financial Holdings	503	Broker-dealer	No	Yes	Yes	Yes	No	Yes

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Lsc Communications	729	Printing Company	No	No	No	No	No	No
Lyft	680	Ridesharing Company	No	No	No	No	No	No
M/i Homes	871	Construction Company	No	Yes	Yes	Yes	No	No
M&T Bank	438	Commercial Banks	Yes	Yes	Yes	Yes	Yes	No
Macy's	120	General Merchandisers	No	No	No	No	No	No
Magellan Health	432	Health: Insurance and Managed Care	No	No	No	No	No	No
Magellan Midstream Partners	835	Publicly Traded Company	No	No	No	No	No	No
ManpowerGroup	158	Temporary Help	No	Yes	Yes	Yes	No	Yes
Mantech International	937	Information Technology & Services	No	No	No	No	No	No
Marathon Oil	534	Oil Industry Company	No	Yes	No	Yes	No	Yes
Marathon Petroleum	22	Petroleum Refining	No	Yes	Yes	Yes	No	No
Markel	335	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Marriott International	157	Hotels, Casinos, Resorts	No	Yes	Yes	Yes	No	No
Marriott Vacations Worldwide	612	Hotels	No	No	No	No	No	No
Marsh & McLennan	195	Diversified Financials	No	Yes	Yes	Yes	Yes	No
Martin Marietta Materials	572	Building Material Company	No	Yes	No	Yes	Yes	Yes
Masco	384	Home Equipment / Furnishings	No	No	No	No	No	No
Massachusetts Mutual Life Insurance	89	Insurance: Life, Health (Mutual)	No	No	No	No	No	No
MasTec	430	Engineering / Construction	No	No	No	No	No	No
Mastercard	191	Financial Data Services	No	No	No	No	No	No
Matson	947	Transportation/trucking/railroad	No	No	No	No	No	No
Mattel	593	Toy Company	No	No	No	No	No	No
Maxar Technologies	987	Space Technology	No	Yes	Yes	Yes	Yes	Yes
Maxim Integrated Products	915	Publicly Traded Company	No	Yes	Yes	Yes	No	No
Maximus	808	Health Care Company	Yes	Yes	Yes	Yes	Yes	Yes
Mccormick	523	Food Company	No	Yes	Yes	Yes	Yes	No
McDonald's	156	Food Services	No	Yes	Yes	Yes	Yes	No
McKesson	8	Wholesalers: Health	No	No	No	No	No	No
Mdc Holdings	733	Financial Services	No	No	No	No	No	No
Mdu Resources Group	524	Construction Company	No	No	No	No	No	No
Medical Mutual Of Ohio	695	Health Insurance Company	No	No	No	No	No	No
Mednax	691	Health Solutions	No	No	No	No	No	No
Merck	69	Pharmaceuticals	Yes	Yes	Yes	Yes	Yes	Yes
Mercury General	646	Insurance Comapny	No	No	No	No	No	No
Meredith	681	Media Company	No	No	No	No	No	Yes
Meritage Homes	673	Consumer Discretionary	No	No	No	No	No	No
Meritor	609	Automobile Components	No	Yes	Yes	No	No	No
MetLife	48	Insurance: Life, Health (Stock)	No	No	No	No	No	No
Mettler-toledo International	780	Analytical Laboratory Instrument Manufacturing Company	No	Yes	Yes	Yes	Yes	Yes
MGM Resorts International	249	Hotels, Casinos, Resorts	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Michaels	544	Specialty Retail Company	No	No	No	No	No	Yes
Microchip Technology	522	Semiconductors	No	Yes	No	No	No	No
Micron Technology	134	Computer Software / Data Storage	No	No	No	No	No	No
Microsoft	21	Computer Software	No	Yes	No	No	No	No
Middleby	791	Commercial Company	No	Yes	Yes	No	No	No
Modine Manufacturing	943	Consumer Discretionary Products	No	No	No	No	No	No
Mohawk Industries	321	Home Equipment / Furnishings	No	Yes	Yes	Yes	No	No
Molina Healthcare	193	Health: Insurance and Managed Care	No	Yes	No	No	No	No
Molson Coors Beverage	298	Beverages	No	Yes	Yes	Yes	Yes	Yes
Mondelez International	117	Food Consumer Products	No	Yes	Yes	No	Yes	No
Monster Beverage	624	Energy Drink	Yes	Yes	Yes	Yes	No	No
Moody's	561	Bond Credit Rating Company	No	Yes	Yes	Yes	Yes	Yes
Moog	800	Aerospace And Defense	No	No	No	No	No	No
Morgan Stanley	61	Commercial Banks	No	No	No	No	No	No
Mosaic	356	Chemicals	No	Yes	No	Yes	Yes	No
Motorola Solutions	403	Network / Communication Equipment	No	No	No	No	No	No
Mr. Cooper Group	996	Home Loan Servicers	No	No	No	No	No	No
Mrc Global	674	Industrial Supplies Company	No	No	No	No	No	No
Msc Industrial Direct	721	Industrial Supplies Company	No	No	No	No	No	Yes
Mueller Industries	883	Metals Company	No	No	No	No	No	No
Murphy Oil	625	Hydrocarbon Exploration	No	No	No	No	No	No
Murphy USA	262	Specialty Retailers: Other	No	No	No	No	No	No
Mutual Of America Life Insurance	782	Financial Services	No	No	No	No	No	No
Mutual of Omaha Insurance	300	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Myr Group	971	Electrical Construction Services	No	Yes	Yes	Yes	Yes	No
Nasdaq	620	Financial Services Company	Yes	Yes	Yes	Yes	Yes	No
National General Holdings	535	Insurance Company	No	No	No	No	No	No
National Oilwell Varco	374	Oil and Gas Equipment, Services	No	Yes	Yes	No	No	No
Nationwide	74	Insurance: Property and Casualty (Mutual)	No	No	No	No	No	No
Navient	510	Financial Services	No	Yes	Yes	Yes	No	Yes
Navistar International	284	Construction / Farm Machinery	No	No	No	No	No	No
NCR	439	Computers - Office Equipment	No	Yes	Yes	No	No	No
NetApp	478	Computers - Office Equipment	No	No	No	No	No	No
Netflix	164	Entertainment	No	Yes	Yes	No	Yes	No
New Jersey Resources	860	Holding Company	No	Yes	Yes	Yes	No	No
New Residential Investment	861	Real Estate	No	No	No	No	No	No
New York Life Insurance	73	Insurance: Life, Health (Mutual)	No	No	No	No	No	No
Newell Brands	316	Home Equipment / Furnishings	No	Yes	Yes	Yes	No	No
Newmark Group	941	Real Estate	No	Yes	Yes	Yes	No	No
Newmarket	950	Materials	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Newmont	328	Mining, Crude-Oil Production	No	Yes	Yes	Yes	No	Yes
News Corp.	318	Publishing / Printing	No	No	No	No	No	No
Nexstar Media Group	775	Telecommunications Company	No	No	No	No	No	No
NextEra Energy	172	Energy	No	Yes	Yes	Yes	No	Yes
NGL Energy Partners	127	Pipelines	No	No	No	No	No	No
Nike	85	Apparel	No	No	No	No	No	No
Nisource	533	Energy Holding Company	No	Yes	Yes	Yes	No	Yes
Nlv Financial	826	Insurance Company	No	No	No	No	No	No
Noble Energy	602	Hydrocarbon Exploration	No	No	No	No	No	No
Nordson	949	Machinery	No	Yes	Yes	Yes	No	No
Nordstrom	205	General Merchandisers	No	No	No	No	No	No
Norfolk Southern	283	Railroads	No	No	No	No	No	No
Northern Trust	440	Commercial Banks	No	Yes	Yes	Yes	No	No
Northrop Grumman	96	Aerospace & Defense	No	No	No	No	No	No
Northwestern Mutual	102	Insurance: Life, Health (Mutual)	No	No	No	No	No	No
Nortonlifelock	573	Software Company	No	No	No	No	No	No
Now	792	Oil And Gas	No	No	No	No	No	No
NRG Energy	324	Energy	No	Yes	No	Yes	No	Yes
Nu Skin Enterprises	884	Consumer Staple Products	No	No	No	No	No	No
Nucor	139	Metals	No	Yes	Yes	No	No	No
Nvidia	292	Graphic Processing Design	No	No	No	No	No	No
NVR	417	Homebuilders	No	No	No	No	No	No
O'Reilly Automotive	314	Specialty Retailers: Other	No	No	No	No	No	No
Oasis Petroleum	969	Oil And Gas	No	Yes	Yes	No	No	No
Occidental Petroleum	148	Mining, Crude-Oil Production	No	No	No	No	No	No
Oceaneering International	982	Engineering Services	No	Yes	No	Yes	No	No
Office Depot	297	Specialty Retailers: Other	No	No	No	No	No	No
Oge Energy	934	Utilities	No	No	No	No	No	No
Ohio National Mutual	908	Insurance And Financial	No	No	No	No	No	No
Old Dominion Freight Line	634	Transport Company	No	Yes	Yes	Yes	Yes	No
Old Republic International	427	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Olin	481	Chemicals	No	Yes	Yes	Yes	No	No
Omnicom Group	211	Advertising / Marketing	No	Yes	No	Yes	No	Yes
On Semiconductor	512	Semiconductor Manufacturing Company	No	Yes	Yes	Yes	No	No
Onemain Holdings	570	Financial Services Company	Yes	Yes	Yes	Yes	Yes	Yes
Oneok	313	Pipelines	No	Yes	Yes	No	Yes	Yes
Option Care Health	918	Health Care	No	No	No	No	No	No
Oracle	82	Computer Software	No	Yes	No	No	No	No
Oshkosh	377	Construction / Farm Machinery	No	Yes	Yes	Yes	No	No
Ovintiv	449	Mining, Crude-Oil Production	No	Yes	Yes	Yes	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Owens & Minor	332	Wholesalers: Health	No	No	No	No	No	No
Owens Corning	431	Building Materials / Glass	No	No	No	No	No	No
Owens-Illinois Glass	453	Packaging / Containers	No	Yes	Yes	Yes	Yes	Yes
Paccar	118	Construction / Farm Machinery	No	Yes	Yes	Yes	No	No
Pacific Life	269	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Packaging Corp. of America	437	Packaging / Containers	No	No	No	No	No	No
Pae	828	International Trade & Development	No	Yes	Yes	Yes	No	No
Palo Alto Networks	804	Cybersecurity Company	No	Yes	Yes	Yes	Yes	No
Par Pacific Holdings	516	Petroleum Refineries Company	No	Yes	Yes	No	Yes	No
Park Hotels & Resorts	817	Real Estate	No	Yes	No	Yes	No	Yes
Parker-Hannifin	224	Industrial Machinery	No	Yes	Yes	Yes	No	No
Parsons	648	Information Technology & Services	No	No	No	No	No	No
Party City Holdco	907	Retail Chain Company	No	No	No	No	No	No
Patrick Industries	909	Consumer Discretionary Products	No	Yes	Yes	Yes	No	No
Patterson	507	Dental Equipment	No	No	No	No	No	No
Patterson-uti Energy	879	Well Drilling	No	Yes	No	Yes	No	Yes
Paychex	662	Payroll Services Company	No	Yes	Yes	Yes	No	No
PayPal Holdings	182	Financial Data Services	No	Yes	No	No	No	No
PBF Energy	125	Petroleum Refining	No	Yes	No	Yes	No	No
Pc Connection	822	Information Technology	No	No	No	No	No	No
Peabody Energy	582	Coal Mining	No	No	No	No	No	No
Penn Mutual Life Insurance	667	Life Insurance Company	No	No	No	No	No	No
Penn National Gaming	527	Casino Company	No	Yes	No	No	No	No
Penske Automotive Group	136	Automotive Retailing	No	Yes	No	Yes	Yes	Yes
People's United Financial	916	Banking	No	No	No	No	No	No
PepsiCo	51	Food Consumer Products	No	Yes	Yes	Yes	Yes	Yes
Performance Food Group	168	Wholesalers: Food and Grocery	No	No	No	No	No	No
Perkinelmer	809	Corporation	No	No	No	No	No	No
Peter Kiewit Sons' Inc.	307	Engineering / Construction	No	No	No	No	No	No
Pfizer	64	Pharmaceuticals	No	No	No	No	No	No
PG&E	189	Energy	No	No	No	No	No	No
Philip Morris International	107	Tobacco	No	Yes	No	No	Yes	No
Phillips 66	27	Petroleum Refining	No	Yes	No	No	No	No
Pinnacle West Capital	701	Utilities	No	Yes	Yes	Yes	No	No
Pioneer Natural Resources	341	Mining, Crude-Oil Production	No	Yes	Yes	Yes	No	No
Pitney Bowes	699	Business Services	No	Yes	Yes	Yes	Yes	Yes
Plains GP Holdings	98	Pipelines	No	Yes	Yes	Yes	No	No
Plexus	750	Manufacturing	No	No	No	No	No	No
PNC Financial Services Group	151	Commercial Banks	No	No	No	No	No	No
Polaris	442	Manufacturer: Motorcycles, Snowmobiles, ATVs, and electric vehicles	No	Yes	Yes	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Polyone (Avient)	724	Plastics Material And Resin Manufacturing Company	Yes	Yes	Yes	Yes	Yes	No
Pool Corp	747	Wholesalers	No	No	No	No	No	No
Popular	820	Banking	No	No	No	No	No	No
Portland General Electric	961	Public Utility	No	Yes	Yes	No	No	Yes
Post Holdings	499	Food Consumer Products	No	No	No	No	No	No
Ppd	641	Contract Research Organization	No	Yes	Yes	Yes	No	Yes
PPG Industries	209	Chemicals	No	No	No	Yes	Yes	Yes
PPL	408	Utilities: Gas and Electric	No	Yes	Yes	Yes	No	No
Pra Health Sciences	769	Research Company	No	No	No	No	No	No
Presidio	778	Information Technology	No	Yes	Yes	Yes	Yes	Yes
Pricesmart	744	Consumer Staples	No	Yes	Yes	Yes	No	No
Primerica	980	Insurance	No	Yes	Yes	Yes	Yes	No
Primoris Services	762	Construction	No	Yes	Yes	Yes	No	Yes
Principal Financial	201	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Procter & Gamble	50	Household and Personal Products	No	Yes	Yes	No	No	Yes
Progressive	86	Insurance: Property and Casualty (Stock)	Yes	Yes	Yes	Yes	No	Yes
Prologis	727	Real Estate Investment Trust Company	No	Yes	No	Yes	Yes	No
Propetro Holding	981	Oil And Gas	No	Yes	Yes	Yes	No	No
Prudential Financial	53	Insurance: Life, Health (Stock)	No	No	No	No	No	No
Public Service Enterprise Group	317	Utilities: Gas and Electric	No	Yes	Yes	Yes	No	No
Public Storage	813	Self Storage Company	No	Yes	No	No	No	Yes
Publix Super Markets	87	Food & Drug Stores	No	No	No	No	No	No
Puget Energy	710	Energy Company	No	No	No	No	No	No
PulteGroup	309	Homebuilders	No	Yes	Yes	Yes	No	Yes
PVH	322	Apparel	No	No	No	No	No	No
Qorvo	765	Wireless Handsets	No	Yes	Yes	Yes	No	No
Quad/graphics	630	Printing Company	No	No	No	No	No	No
Qualcomm	126	Semiconductors	No	No	No	No	No	No
Quanta Services	261	Engineering / Construction	No	Yes	No	Yes	Yes	No
Quest Diagnostics	410	Health: Pharmacy and Other Services	Yes	Yes	Yes	Yes	No	Yes
Qurate Retail	239	Internet Services and Retailing	No	Yes	No	Yes	No	Yes
R.R. Donnelley & Sons	471	Publishing / Printing	No	No	No	No	No	No
Ralph Lauren	469	Apparel	No	No	No	No	No	No
Range Resources	821	Production Company	No	Yes	No	Yes	No	Yes
Raymond James Financial	396	Securities	No	No	No	No	No	No
Raytheon	108	Aerospace & Defense	No	No	No	No	No	No
Raytheon Technologies	39	Aerospace & Defense	No	No	No	No	No	No
Realogy Holdings	490	Real Estate	No	Yes	No	No	No	No
Red Hat	722	Software Company	No	No	No	No	No	No
Regal Beloit	741	Electric Motors & Controls	No	Yes	Yes	Yes	Yes	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Regeneron Pharmaceuticals	405	Pharmaceuticals	No	Yes	Yes	No	No	Yes
Regions Financial	446	Commercial Banks	No	Yes	Yes	Yes	No	No
Reinsurance Group of America	225	Insurance: Health, Life (Stock)	No	Yes	Yes	Yes	Yes	No
Reliance Steel & Aluminum	291	Metals	No	No	No	No	No	No
Renewable Energy Group	851	Renewable Energy	No	No	No	No	No	No
Rent-a-center	844	Furniture Company	No	Yes	No	Yes	No	No
Republic Services	305	Waste Management	No	No	No	No	No	No
Resideo Technologies	548	Technology	No	Yes	Yes	Yes	Yes	Yes
Resmed	858	Medication Company	No	Yes	Yes	Yes	No	No
Resolute Forest Products	796	Pulp And Paper	No	Yes	No	No	No	No
Rev Group	892	Emergency And Recreational	Yes	Yes	Yes	Yes	No	Yes
Revlon	885	Cosmetics Company	No	Yes	Yes	No	No	No
Rexnord	952	Machinery	No	No	No	No	No	No
Rh	850	Retail-store Company	No	Yes	Yes	Yes	No	No
Rite Aid	150	Food & Drug Stores	No	No	No	No	No	No
Robert Half International	482	Temporary Help	No	Yes	Yes	Yes	No	No
Rockwell Automation	452	Electronics / Electrical Equipment	No	No	No	No	No	Yes
Rollins	993	Pest Control	No	No	No	No	No	No
Roper Technologies	518	Conglomerate	No	No	No	No	No	No
Ross Stores	202	Apparel	No	Yes	Yes	Yes	No	No
Rpm International	508	Chemicals	No	Yes	Yes	Yes	Yes	Yes
Rush Enterprises	492	Automotive Retailing	No	Yes	Yes	Yes	No	No
Ryder System	354	Trucking / Truck Leasing	No	Yes	Yes	Yes	No	No
Ryerson Holding	594	Mining & Metals	No	No	No	No	No	No
S&P Global	451	Financial Data Services	No	No	No	No	No	No
Sabre	645	Travel Technology	No	No	No	No	No	No
salesforce.com	190	Computer Software	No	No	No	No	No	No
Sally Beauty Holdings	653	Beauty	No	No	No	No	No	No
Sanderson Farms	707	Poultry Farming Company	No	No	No	No	No	No
Sanmina	385	Computer Hardware	No	No	No	No	No	No
Sba Communications	994	Real Estate Investment	No	Yes	Yes	No	Yes	No
Scansource	654	Retail & Whse - Discretionary	No	Yes	Yes	No	Yes	Yes
Schneider National	571	Logistics Company	No	Yes	Yes	No	No	No
Schnitzer Steel Industries	960	Metal Industry	No	Yes	No	Yes	Yes	Yes
Science Applications International	466	Information Technology Services	No	Yes	Yes	Yes	No	Yes
Scientific Games	711	Gambling Company	No	Yes	No	Yes	No	Yes
Scotts Miracle-gro	753	Manufacturing Company	No	Yes	Yes	No	No	Yes
Seaboard	444	Food Production	No	No	No	No	No	No
Sealed Air	564	Conglomerate	No	Yes	Yes	Yes	No	Yes
Securian Financial Group	455	Insurance: Health, Life (Stock)	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Select Medical Holdings	515	Medical	No	Yes	Yes	Yes	No	No
Selective Insurance Group	814	Insurance Company	No	Yes	Yes	No	Yes	No
Sempra Energy	258	Utilities: Gas and Electric	No	No	No	No	No	No
Sentry Insurance Group	670	Insurance Company	No	No	No	No	No	No
Service Corp. International	742	Death Care	No	Yes	No	Yes	No	Yes
Service Properties Trust	914	Real Estate Investment Trust Company	No	No	No	No	No	No
Servicemaster Global Holdings	967	Essential Home And Commercial Service	Yes	Yes	Yes	No	Yes	Yes
Servicenow	703	Software Company	No	No	No	No	No	No
Sherwin-Williams	180	Chemicals	No	Yes	Yes	Yes	No	No
Silgan Holdings	595	Packaging Manufacturing	No	No	No	No	No	No
Simon Property Group	497	Real Estate	No	Yes	Yes	No	No	No
Sinclair Broadcast Group	622	Telecommunications	No	Yes	Yes	No	Yes	Yes
Siteone Landscape Supply	904	Industrial Service	No	No	No	No	No	Yes
Skechers U.s.a.	531	Footwear Company	No	Yes	No	Yes	No	Yes
Skywest	788	Regional Airline	No	Yes	Yes	No	Yes	No
Skyworks Solutions	714	Semiconductor Manufacturing Company	No	Yes	Yes	Yes	No	Yes
Slm Corp	898	Financial Services	No	Yes	Yes	Yes	No	No
Snap-on	637	Manufacturing Company	No	No	No	No	No	No
Sonic Automotive	301	Automotive Retailing	No	No	No	No	No	No
Sonoco Products	517	Packaging And Labeling	No	Yes	Yes	Yes	Yes	No
Southern	153	Gas & Electrical Utility	No	No	No	No	No	No
Southwest Airlines	141	Airlines	No	No	No	No	No	No
Southwest Gas Holdings	757	Energy Holding Company	No	No	No	No	No	No
Southwestern Energy	776	Natural Gas Liquids Company	No	Yes	No	Yes	No	No
SpartanNash	370	Wholesalers: Food and Grocery	No	Yes	Yes	Yes	No	No
Spectrum Brands Holdings	629	Company	No	Yes	Yes	No	Yes	Yes
Spirit AeroSystems Holdings	406	Aerospace & Defense	No	No	No	No	No	No
Spirit Airlines	658	Airlines	No	No	No	No	No	No
Splunk	903	Software Company	No	Yes	Yes	Yes	No	No
Sprague Resources	696	Energy	No	No	No	No	No	No
Sprouts Farmers Market	502	Supermarket	No	Yes	Yes	No	No	No
Spx Flow	999	Pumps And Pumping Equipment	No	No	No	No	No	No
Square	575	Internet	No	Yes	Yes	Yes	No	No
Ss&c Technologies Holdings	580	Financial Technology Company	No	No	No	No	No	No
Stanley Black & Decker	220	Home Equipment / Furnishings	No	Yes	Yes	Yes	Yes	Yes
Starbucks	114	Food Services	Yes	Yes	Yes	Yes	Yes	No
State Farm Insurance	36	Insurance: Property and Casualty	No	No	No	No	No	No
State Street	244	Commercial Banks	No	Yes	Yes	Yes	Yes	No
Steel Dynamics	299	Metals	No	Yes	Yes	Yes	No	No
Steelcase	706	Furniture Company	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Stericycle	731	Waste Management Company	No	Yes	No	Yes	No	No
Stifel Financial	690	Investment Banking Company	No	Yes	No	Yes	No	No
Stryker	214	Medical Products and Equipment	No	Yes	No	No	No	No
Summit Materials	938	Materials	No	No	No	No	No	No
Super Micro Computer	697	Information Technology	No	No	No	No	No	No
Svb Financial Group	688	Commercial Banking Company	No	Yes	Yes	Yes	No	Yes
Synchrony Financial	170	Diversified Financials	No	No	No	No	No	No
Syneos Health	577	Contract Research Organization	No	Yes	Yes	Yes	No	Yes
Synnex	130	Wholesalers: Electronics / Office Equipment	No	No	No	No	No	No
Synopsys	723	Software Company	No	Yes	No	Yes	No	No
Synovus Financial	890	Financial Services	No	Yes	Yes	Yes	No	Yes
Sysco	56	Wholesalers: Food and Grocery	No	Yes	Yes	Yes	Yes	Yes
T. Rowe Price	504	Investment Management	No	No	No	No	No	No
Tailored Brands	784	Retail Company	No	No	No	No	No	No
Take-two Interactive Software	845	Video Game Company	No	Yes	No	Yes	No	No
Tapestry	485	Apparel	No	No	No	No	No	No
Targa Resources	365	Pipelines	No	No	No	No	No	No
Target	37	General Merchandisers	No	No	No	No	No	No
Taylor Morrison Home	567	Real Estate	No	No	No	No	No	No
Tcf Financial	979	Financial Holding	No	Yes	Yes	No	No	No
TD Ameritrade Holding	486	Securities	No	No	No	No	No	No
Tech Data	90	Wholesalers: Electronics / Office Equipment	No	No	No	No	No	No
Tegna	920	Media	No	Yes	Yes	Yes	No	Yes
Teledyne Technologies	751	Industrial Conglomerate Company	No	Yes	Yes	No	Yes	Yes
Teleflex	859	Medical Device Manufacturing	No	Yes	Yes	Yes	Yes	No
Telephone & Data Systems	536	Communications Services	Yes	Yes	Yes	No	Yes	Yes
Tempur Sealy International	763	Furnishings	No	No	No	No	No	No
Tenet Healthcare	174	Health: Medical Facilities	No	No	No	No	No	No
Tenneco	185	Motor Vehicles and Parts	No	Yes	Yes	Yes	Yes	No
Teradyne	921	Tech Hardware & Semiconductors	No	Yes	Yes	Yes	Yes	No
Terex	576	Manufacturing Company	No	No	No	No	No	No
Tesla	124	Motor Vehicles and Parts	No	No	No	No	No	No
Tetra Tech	761	Engineering Services Company	No	No	No	No	No	No
Texas Instruments	222	Semiconductors	No	Yes	No	Yes	No	No
Texas Roadhouse	829	Restaurants	No	Yes	Yes	No	No	Yes
Textron	236	Aerospace & Defense	No	No	No	No	No	No
Thermo Fisher Scientific	119	Scientific, Photographic and Control Equipment	No	Yes	No	No	No	No
Thor Industries	404	Motor Vehicles and Parts	No	Yes	Yes	Yes	No	No
Thrivent Financial for Lutherans	368	Insurance: Life, Health (Mutual)	No	No	No	No	No	No
TIAA	81	Insurance: Life, Health (Mutual)	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Tiffany	604	Specialty Retail	No	No	No	No	No	No
Timken	661	Manufacturing Company	No	Yes	Yes	No	Yes	No
TJX	80	Apparel	No	Yes	Yes	Yes	Yes	No
Toll Brothers	426	Homebuilders	No	No	No	No	No	No
Topbuild	854	Construction Industry.	No	No	No	No	No	No
Toro	756	Garden Company	No	Yes	Yes	Yes	No	No
Tractor Supply	380	Specialty Retailers: Other	No	Yes	Yes	No	Yes	Yes
Transdigm Group	513	Aerospace Components	No	No	No	No	No	Yes
Transunion	847	Information Technology	No	No	No	No	No	No
TravelCenters of America	480	Specialty Retailers: Other	No	No	No	No	No	No
Travelers	106	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Treehouse Foods	552	Food Processing	No	Yes	No	Yes	Yes	No
Tri Pointe Group	766	Real Estate	No	No	No	No	No	No
Trimble	738	Agriculture Company	No	Yes	No	Yes	No	No
Trinet Group	657	Payroll Services Company	No	No	No	No	No	Yes
Trinity Industries	781	Corporate Group Company	No	No	No	No	No	No
Triple-s Management	716	Insurance Company	No	No	No	No	No	No
Triumph Group	719	Aerospace Company	No	Yes	Yes	Yes	No	No
Trueblue	901	Staffing & Recruiting	No	Yes	Yes	Yes	No	No
Truist Financial	217	Commercial Banks	No	Yes	Yes	No	No	No
Ttm Technologies	841	Electrical & Electronic Manufacturing	No	No	No	No	No	No
Tutor Perini	600	Construction	No	Yes	No	Yes	No	Yes
Twitter	704	Social Network Company	No	No	No	No	No	No
Tyson Foods	79	Food Production	No	No	No	No	No	No
U.S. Bancorp	113	Commercial Banks	No	Yes	Yes	No	No	No
Uber Technologies	228	Internet Services and Retailing	No	No	No	No	No	No
Ufp Industries	606	Lumber	No	No	No	No	No	No
UGI	423	Energy	No	No	No	No	No	No
Ulta Beauty	418	Specialty Retailers: Other	Yes	Yes	Yes	Yes	Yes	Yes
Under Armour	529	Sports Equipment Company	No	Yes	Yes	Yes	Yes	No
Union Pacific	149	Railroads	No	Yes	No	No	No	No
Unisys	793	Information Technology Company	No	No	No	No	No	Yes
United Airlines Holdings	76	Airlines	No	No	No	No	No	No
United Natural Foods	133	Wholesalers: Food and Grocery	No	Yes	Yes	Yes	No	No
United Parcel Service	43	Mail, Package and Freight Delivery	No	No	No	No	No	No
United Rentals	340	Equipment Leasing	No	No	No	No	No	No
United States Steel	247	Metals	No	No	No	No	No	No
UnitedHealth Group	7	Health: Insurance and Managed Care	No	No	No	No	No	No
Univar Solutions	339	Wholesalers: Diversified	No	Yes	No	Yes	No	Yes
Universal Corp	935	Tobacco	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
Universal Health Services	281	Health: Medical Facilities	No	No	No	No	No	No
Univision Communications	837	Media Company	No	No	No	No	No	No
Unum Group	266	Insurance: Health, Life (Stock)	No	No	No	No	No	No
Urban Outfitters	644	Retail Company	No	No	No	No	No	No
US Foods Holding	116	Wholesalers: Food and Grocery	No	Yes	Yes	Yes	No	No
USAA	94	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
Vail Resorts	929	Consumer Discretionary Services	No	No	No	No	No	No
Valero Energy	32	Petroleum Refining	No	Yes	Yes	Yes	Yes	Yes
Valmont Industries	827	Manufacturing Company	No	Yes	Yes	No	Yes	No
Valvoline	894	Chemical Manufacturing	No	Yes	Yes	Yes	No	Yes
Varian Medical Systems	743	Medical Technology	No	Yes	No	Yes	No	Yes
Ventas	655	Real Estate Investment Trust Company	No	Yes	Yes	Yes	No	Yes
Verisk Analytics	857	Data Analytics	No	Yes	Yes	Yes	Yes	No
Veritiv	412	Wholesalers: Diversified	No	Yes	Yes	Yes	No	No
Verizon Communications	20	Telecommunications	No	Yes	Yes	Yes	No	No
Verso	882	Paper Mill Company	No	No	No	No	No	No
Vertex Pharmaceuticals	626	Biopharmaceutical	No	Yes	Yes	No	No	No
Vertiv Holdings	603	IT Infrastructure Solutions	No	No	No	No	No	No
VF	233	Apparel	No	Yes	Yes	Yes	No	No
ViacomCBS	111	Entertainment	No	Yes	No	Yes	No	No
Viasat	972	Communications Company	No	No	No	No	No	No
Visa	137	Financial Data Services	No	No	No	No	No	No
Vishay Intertechnology	846	Semiconductor Manufacturing Company	No	No	No	No	No	No
Vista Outdoor	976	Sporting Goods	No	No	No	No	No	No
Visteon	794	Automotive Company	No	No	No	No	No	No
Vistra Energy	270	Energy	No	No	No	No	No	No
Voya Financial	353	Diversified Financials	No	Yes	Yes	Yes	Yes	No
Vulcan Materials	551	Construction Company	No	Yes	Yes	Yes	No	Yes
W.R. Berkley	402	Insurance: Property and Casualty (Stock)	No	No	No	No	No	No
W.W. Grainger	278	Wholesalers: Diversified	No	Yes	Yes	No	No	Yes
Wabash National	913	Industrial Product	No	Yes	Yes	Yes	Yes	Yes
Wabco Holdings	708	Commercial Company	No	Yes	Yes	Yes	Yes	Yes
Walgreens Boots Alliance	19	Food & Drug Stores	No	Yes	No	No	No	No
Walmart	1	General Merchandisers	No	Yes	Yes	Yes	No	No
Walt Disney	49	Entertainment	No	No	No	No	No	No
Warner Music Group	598	Music: Entertainment	No	Yes	No	Yes	No	No
Waste Management	207	Waste Management	No	Yes	Yes	Yes	No	No
Waters	889	Lab Equipment	No	No	No	No	No	No
Watsco	566	Building Materials	No	No	No	No	No	No
Wayfair	348	Internet Services and Retailing	No	No	No	No	No	No

Company Name	Fortune 1000 Rank	Industry	LGBTQ	Gender	Race	Ethnicity	National Origin	Age
WEC Energy Group	416	Utilities: Gas and Electric	No	No	No	No	No	No
Weis Markets	686	Food Retailer	No	No	No	No	No	No
Wells Fargo	30	Commercial Banks	No	Yes	No	Yes	No	No
Welltower	542	Real Estate Investment Trust Company	No	Yes	Yes	No	Yes	Yes
Werner Enterprises	880	Transportation Company	No	Yes	Yes	Yes	No	No
WESCO International	379	Wholesalers: Diversified	No	Yes	No	Yes	Yes	Yes
Western & Southern Financial Group	401	Insurance: Life, Health (Mutual)	No	No	No	No	No	No
Western Digital	198	Computers - Office Equipment	No	Yes	No	No	No	No
Western Union	528	Financial Services Company	No	No	No	No	No	No
Westinghouse Air Brake	388	Industrial Machinery	No	No	No	No	No	No
Westlake Chemical	391	Chemicals	No	Yes	No	Yes	Yes	No
WestRock	177	Packaging / Containers	No	Yes	Yes	Yes	No	No
Weyerhaeuser	457	Forest and Paper Products	No	Yes	Yes	Yes	Yes	No
Whirlpool	162	Electronics / Electrical Equipment	No	Yes	Yes	Yes	Yes	Yes
Williams Companies, Inc.	387	Pipelines	No	Yes	Yes	Yes	No	No
Williams-Sonoma	489	Specialty Retailers: Other	No	No	No	No	No	No
Windstream Holdings	543	Telephone Service Company	No	No	No	No	No	No
Wolverine World Wide	928	Consumer Discretionary Products	No	No	No	No	No	Yes
Woodward	803	Manufacturing Company	No	No	No	No	No	No
Workday	676	Software Company	No	No	No	No	No	No
World Fuel Services	91	Energy	No	Yes	No	No	No	No
Worthington Industries	664	Manufacturing Company	No	No	No	No	No	No
Wpx Energy	924	Energy Sector	No	No	No	No	No	No
Wyndham Destinations	640	Hospitality	No	No	No	No	No	No
Wyndham Hotels & Resorts	978	Hotel	No	No	No	No	No	No
Wynn Resorts	454	Hotels, Casinos, Resorts	No	Yes	Yes	Yes	No	No
Xcel Energy	276	Utilities: Gas and Electric	No	Yes	Yes	No	No	No
Xerox Holdings	347	Computers - Office Equipment	No	Yes	No	No	No	No
Xilinx	772	Semiconductor Manufacturing Company	No	Yes	Yes	Yes	No	No
XPO Logistics	196	Transportation and Logistics	No	Yes	No	No	No	No
Xylem	530	Commercial Company	No	Yes	Yes	Yes	Yes	No
Yrc Worldwide	557	Holding Company	No	No	No	No	No	Yes
Yum Brands	505	Fast Food Company	No	No	No	No	No	No
Yum China Holdings	361	Food Services	No	No	No	No	No	No
Zayo Group Holdings	863	Privately Held Company	No	No	No	No	No	No
Zebra Technologies	596	Computer Hardware	No	Yes	Yes	Yes	Yes	Yes
Zillow Group	832	Real Estate	No	No	No	No	No	No
Zimmer Biomet Holdings	399	Medical Products and Equipment	No	No	No	No	No	No
Zions Bancorp.	740	Financial	No	No	No	No	No	No
Zoetis	472	Animal: Medicines and Vaccinations	No	Yes	Yes	Yes	Yes	No

Appendix II - Complete Board Diversity Mapping of the NASDAQ

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
1-800-FLOWERS.COM Inc. Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
10X Capital Venture Acquisition Corp. II Class A Ordinary Share		No	No	No	No	No	No
10X Capital Venture Acquisition Corp. II Unit		No	No	No	No	No	No
10X Capital Venture Acquisition Corp. II Warrant		No	No	No	No	No	No
10x Genomics Inc. Class A Common Stock	Managed Health Care	No	No	No	No	No	No
111 Inc. American Depositary Shares	Medical/Nursing Services	No	No	No	No	No	No
17 Education & Technology Group Inc. American Depositary Shares	Other Consumer Services	No	No	No	No	No	No
180 Degree Capital Corp. Common Stock	Finance/Investors Services	No	No	No	No	No	No
180 Life Sciences Corp. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
180 Life Sciences Corp. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
1895 Bancorp of Wisconsin Inc. (MD) Common Stock	Finance: Consumer Services	No	No	No	No	No	No
1Life Healthcare Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
1st Constitution Bancorp (NJ) Common Stock	Savings Institutions	No	No	No	No	No	No
1st Source Corporation Common Stock	Major Banks	No	Yes	No	Yes	No	No
1stdibs.com Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
22nd Century Group Inc. Common Stock		No	No	No	No	No	No
23andMe Holding Co. Class A Common Stock	Precision Instruments	No	No	No	No	No	No
23andMe Holding Co. Warrant	Precision Instruments	No	No	No	No	No	No
26 Capital Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
26 Capital Acquisition Corp. Unit		No	No	No	No	No	No
26 Capital Acquisition Corp. Warrant		No	No	No	No	No	No
2seventy bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
2U Inc. Common Stock	Service to the Health Industry	No	No	No	No	No	No
360 DigiTech Inc. American Depositary Shares	Finance: Consumer Services	No	No	No	No	No	No
36Kr Holdings Inc. American Depositary Shares	Business Services	No	No	No	No	No	No
4D Molecular Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
4D pharma plc American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
4D pharma plc Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
5:01 Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
51job Inc. American Depositary Shares	Diversified Commercial Services	No	No	No	No	No	No
7 Acquisition Corporation Unit		No	No	No	No	No	No
7GC & Co. Holdings Inc. Class A common stock		No	No	No	No	No	No
7GC & Co. Holdings Inc. Warrant		No	No	No	No	No	No
890 5th Avenue Partners Inc. Class A Common Stock		No	No	No	No	No	No
890 5th Avenue Partners Inc. Unit		No	No	No	No	No	No
890 5th Avenue Partners Inc. Warrant		No	No	No	No	No	No
89bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
g Meters Biopharma Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
g08 Devices Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
gF Inc. American Depositary Shares	Finance: Consumer Services	No	No	No	No	No	No
A-Mark Precious Metals Inc. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Aadi Bioscience Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	Yes
AAON Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Abcam plc American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
AbCellera Biologics Inc. Common Shares		No	No	No	No	No	No
Abeona Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ABG Acquisition Corp. I Class A Ordinary Shares	Business Services	No	No	No	No	No	No
ABIOMED Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
ABRI SPAC I INC. Common Stock		No	No	No	No	No	No
Abri SPAC I Inc. Unit		No	No	No	No	No	No
ABRI SPAC I INC. Warrant		No	No	No	No	No	No
Absci Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Absolute Software Corporation Common Stock		No	No	No	No	No	No
ABVC BioPharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AC Immune SA Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Acacia Research Corporation (Acacia Tech) Common Stock	Multi-Sector Companies	No	Yes	No	No	No	No
Academy Sports and Outdoors Inc. Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Acadia Healthcare Company Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
ACADIA Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Acasti Pharma Inc. Class A Common Stock		No	No	No	No	No	No
Accelerate Diagnostics Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	Yes	No	Yes	No	Yes
Acceleron Pharma Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Accolade Inc. Common Stock		No	No	No	No	No	No
Accretion Acquisition Corp. Unit		No	No	No	No	No	No
Accuray Incorporated Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
ACE Convergence Acquisition Corp. Class A Ordinary Shares	Semiconductors	No	No	No	No	No	No
ACE Convergence Acquisition Corp. Unit	Semiconductors	No	No	No	No	No	No
ACE Convergence Acquisition Corp. Warrant	Semiconductors	No	No	No	No	No	No
Ace Global Business Acquisition Limited Ordinary Shares	Business Services	No	No	No	No	No	No
Ace Global Business Acquisition Limited Warrant	Business Services	No	No	No	No	No	No
AcelRx Pharmaceuticals Inc. Common Stock	Specialty Chemicals	Yes	Yes	Yes	Yes	Yes	Yes
Acer Therapeutics Inc. Common Stock (DE)	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Achari Ventures Holdings Corp. I Unit		No	No	No	No	No	No
Achieve Life Sciences Inc. Common Shares	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Achilles Therapeutics plc American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
ACI Worldwide Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	No	No
Ackrell SPAC Partners I Co. Subunits		No	No	No	No	No	No
Ackrell SPAC Partners I Co. Units		No	No	No	No	No	No
Ackrell SPAC Partners I Co. Warrants		No	No	No	No	No	No
Aclaris Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	Yes
ACM Research Inc. Class A Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
ACNB Corporation Common Stock	Major Banks	No	No	No	No	No	No
Acorda Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	Yes
Activision Blizzard Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	No	No
AcuityAds Holdings Inc. Common Shares		No	No	No	No	No	No
Acumen Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Acurx Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Acutus Medical Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
ACV Auctions Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Adagene Inc. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Adagio Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Adamas Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Adamis Pharmaceuticals Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AdaptHealth Corp. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Adaptimmune Therapeutics plc American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Adaptive Biotechnologies Corporation Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Addex Therapeutics Ltd American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Addus HomeCare Corporation Common Stock	Medical/Nursing Services	No	No	No	No	No	No
ADDvantage Technologies Group Inc. Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Adial Pharmaceuticals Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Adial Pharmaceuticals Inc Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Adicet Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aditxt Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
ADMA Biologics Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	No	No	No
Adobe Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
ADTRAN Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Advanced Emissions Solutions Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Advanced Energy Industries Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Advanced Micro Devices Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	No	No
Advantage Solutions Inc. Class A Common Stock	Advertising	No	No	No	No	No	No
Advantage Solutions Inc. Warrant	Advertising	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Advaxis Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Advent Technologies Holdings Inc. Class A Common Stock		No	No	No	No	No	No
Advent Technologies Holdings Inc. Warrant		No	No	No	No	No	No
Adverum Biotechnologies Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	Yes	Yes
Aeglea BioTherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aehr Test Systems Common Stock	Electrical Products	No	No	No	No	No	No
Aemetis Inc. Common Stock	Oilfield Services/Equipment	No	No	No	No	No	No
Aequi Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Aequi Acquisition Corp. Unit		No	No	No	No	No	No
Aequi Acquisition Corp. warrants		No	No	No	No	No	No
Aerie Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Aerovate Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AeroVironment Inc. Common Stock	Aerospace	No	No	No	No	No	No
AerSale Corporation Common Stock	Aerospace	No	Yes	Yes	Yes	No	Yes
AerSale Corporation Warrants	Aerospace	No	Yes	Yes	Yes	Yes	Yes
Aesther Healthcare Acquisition Corp Class A Common Stock	Business Services	No	No	No	No	No	No
Aesther Healthcare Acquisition Corp Units		No	No	No	No	No	No
Aesther Healthcare Acquisition Corp Warrants	Business Services	No	No	No	No	No	No
Aesthetic Medical International Holdings Group Ltd. American Depositary Shares	Medical Specialties	No	No	No	No	No	No
Aeterna Zentaris Inc. Common Stock		No	No	No	No	No	No
Aethlon Medical Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
AEye Inc. Class A Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
AEye Inc. Warrant	Auto Parts:O.E.M.	No	No	No	No	No	Na
AF Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
AF Acquisition Corp. Units		No	No	No	No	No	No
AF Acquisition Corp. Warrants		No	No	No	No	No	No
AFC Gamma Inc. Common Stock	Real Estate Investment Trusts	No	Yes	Yes	No	No	No
Affimed N.V.	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Affinity Bancshares Inc. Common Stock (MD)	Major Banks	No	No	No	No	No	No
Affirm Holdings Inc. Class A Common Stock	Business Services	No	No	No	No	No	No
Afya Limited Class A Common Shares	Other Consumer Services	No	No	No	No	No	No
AGBA Acquisition Limited Ordinary Share	Business Services	No	No	No	No	No	No
AGBA Acquisition Limited Right	Business Services	No	No	No	No	No	No
AGBA Acquisition Limited Unit	Business Services	No	No	No	No	No	No
AGBA Acquisition Limited Warrant	Business Services	No	No	No	No	No	No
Agenus Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Agile Growth Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Agile Growth Corp. Units	Business Services	No	No	No	No	No	No
Agile Growth Corp. Warrant	Business Services	No	No	No	No	No	No
Agile Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AgileThought Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
AgileThought Inc. Warrant	EDP Services	No	No	No	No	No	No
Agilysys Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Agios Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AGM Group Holdings Inc. Class A Ordinary Shares	EDP Services	No	No	No	No	No	No
AGNC Investment Corp. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
AGNC Investment Corp. Depositary Shares Each Representing a 1/1000th Interest in a Share of 6.125% Series F	Real Estate Investment Trusts	No	No	No	No	No	No
AGNC Investment Corp. Depositary Shares each representing a 1/1000th interest in a share of 6.50% Series E	Real Estate Investment Trusts	No	No	No	No	No	No
AGNC Investment Corp. Depositary Shares Each Representing a 1/1000th Interest in a Share of 7.00% Series C	Real Estate Investment Trusts	No	No	No	No	No	No
AGNC Investment Corp. Depositary Shares rep 6.875% Series D	Real Estate Investment Trusts	No	Yes	Yes	Yes	No	Yes
Agora Inc. American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Agrico Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
Agrico Acquisition Corp. Warrant		No	No	No	No	No	No
AgriFORCE Growing Systems Ltd. Common Shares		No	No	No	No	No	No
AgriFORCE Growing Systems Ltd. Warrant		No	No	No	No	No	No
Agrify Corporation Common Stock	Military/Government/Technical	No	No	No	No	No	No
AgroFresh Solutions Inc. Common Stock		No	No	No	No	No	No
Alkido Pharma Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Aileron Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Air T Inc. Air T Funding Alpha Income Trust Preferred Securities	Air Freight/Delivery Services	No	No	No	No	No	No
Air T Inc. Common Stock	Air Freight/Delivery Services	No	No	No	No	No	No
Air Transport Services Group Inc		No	No	No	No	No	No
Airbnb Inc. Class A Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Airgain Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
AirNet Technology Inc. American Depositary Shares	Advertising	No	No	No	No	No	No
AirSculpt Technologies Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Akamai Technologies Inc. Common Stock	Business Services	No	Yes	Yes	Yes	No	No
Akari Therapeutics plc ADR (0.01 USD)	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Akebia Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Akerna Corp Warrant	Managed Health Care	No	No	No	No	No	No
Akerna Corp. Common Stock	Managed Health Care	No	No	No	No	No	No
Akero Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Akouos Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Akoustis Technologies Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Akoya BioSciences Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Akumin Inc. Common Shares		No	No	No	No	No	No
Alarm.com Holdings Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Alberton Acquisition Corporation Ordinary Shares	Engineering & Construction	No	No	No	No	No	No
Alberton Acquisition Corporation Rights exp April 26 2021	Engineering & Construction	No	No	No	No	No	No
Alberton Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Albireo Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aldeyra Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alector Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alerus Financial Corporation Common Stock	Commercial Banks	No	No	No	No	No	No
ALFI Inc. Common Stock	EDP Services	No	No	No	No	No	No
ALFI Inc. Warrant	EDP Services	No	No	No	No	No	No
Algoma Steel Group Inc. Common Shares		No	No	No	No	No	No
Algoma Steel Group Inc. Warrant		No	No	No	No	No	No
Alico Inc. Common Stock		No	No	No	No	No	No
Align Technology Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Alignment Healthcare Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Aligos Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alimera Sciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alithya Group inc. Class A Subordinate Voting Shares		No	No	No	No	No	No
ALJ Regional Holdings Inc. Common Stock	Business Services	No	No	No	No	No	No
Alkami Technology Inc. Common Stock	EDP Services	No	No	No	No	No	No
Alkermes plc Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Allakos Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Allbirds Inc. Class A Common Stock	Shoe Manufacturing	No	No	No	No	No	No
Allegiance Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Allegiant Travel Company Common Stock	Air Freight/Delivery Services	No	No	No	No	No	No
Allegro MicroSystems Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Allena Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alliance Resource Partners L.P. Common Units representing Limited Partners Interests	Coal Mining	No	No	No	No	No	No
Alliant Energy Corporation Common Stock	Power Generation	No	Yes	No	Yes	No	Yes
Allied Esports Entertainment Inc. Common Stock	Television Services	No	No	No	No	No	No
Allied Healthcare Products Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Allied Motion Technologies Inc.	Electrical Products	No	No	No	No	No	No
Allogene Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	No	Yes	Yes	Yes
Allot Ltd. Ordinary Shares	Telecommunications Equipment	No	No	No	No	No	No
AlloVir Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Allscripts Healthcare Solutions Inc. Common Stock	EDP Services	No	Yes	Yes	No	No	No
Alnylam Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alpha and Omega Semiconductor Limited Common Shares	Semiconductors	No	No	No	No	No	No
Alpha Capital Acquisition Company One Class A Ordinary Share	Business Services	No	No	No	No	No	No
Alpha Capital Acquisition Company Unit	Business Services	No	No	No	No	No	No
Alpha Capital Acquisition Company Warrant	Business Services	No	No	No	No	No	No
Alpha Healthcare Acquisition Corp. III Class A Common Stock		No	No	No	No	No	No
Alpha Healthcare Acquisition Corp. III Units		No	No	No	No	No	No
Alpha Healthcare Acquisition Corp. III Warrant		No	No	No	No	No	No
Alpha Partners Technology Merger Corp. Class A Ordinary Shares		No	No	No	No	No	No
Alpha Partners Technology Merger Corp. Unit		No	No	No	No	No	No
Alpha Partners Technology Merger Corp. Warrant		No	No	No	No	No	No
Alpha Teknova Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Alphabet Inc. Class A Common Stock	Internet and Information Services	No	Yes	Yes	Yes	Yes	Yes
Alphabet Inc. Class C Capital Stock	Internet and Information Services	No	Yes	Yes	Yes	Yes	Yes
Alphatec Holdings Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Alpine 4 Holdings Inc. Class A Common Stock	Metal Fabrications	No	No	No	No	No	No
Alpine Acquisition Corporation Common Stock		No	No	No	No	No	No
Alpine Acquisition Corporation Unit		No	No	No	No	No	No
Alpine Acquisition Corporation Warrant		No	No	No	No	No	No
Alpine Immune Sciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alset EHome International Inc. Common Stock	Building operators	No	No	No	No	No	No
Altair Engineering Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Altamira Therapeutics Ltd. Common Shares 0.01 SF (Bermuda)	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AltEnergy Acquisition Corp. Unit		No	No	No	No	No	No
Alterity Therapeutics Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Altimeter Growth Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Altimeter Growth Corp. Unit	Business Services	No	No	No	No	No	No
Altimeter Growth Corp. Warrant	Business Services	No	No	No	No	No	No
Altimmune Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Altisource Portfolio Solutions S.A. Common Stock	Finance: Consumer Services	No	Yes	Yes	Yes	Yes	Yes
Altitude Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Altitude Acquisition Corp. Unit		No	No	No	No	No	No
Altitude Acquisition Corp. Warrant		No	No	No	No	No	No
Alto Ingredients Inc. Common Stock	Industrial Specialties	No	Yes	Yes	Yes	No	No
Altra Industrial Motion Corp. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Altus Midstream Company Class A Common Stock	Oil & Gas Production	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
ALX Oncology Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Alzamend Neuro Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Amalgamated Financial Corp. Common Stock (DE)	Commercial Banks	Yes	Yes	No	Yes	No	Yes
Amarin Corporation plc	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Amazon.com Inc. Common Stock	Catalog/Specialty Distribution	No	Yes	Yes	Yes	No	No
Ambarella Inc. Ordinary Shares	Semiconductors	No	No	No	No	No	No
AMC Networks Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
AMCI Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
AMCI Acquisition Corp. II Units		No	No	No	No	No	No
AMCI Acquisition Corp. II Warrant		No	No	No	No	No	No
Amdocs Limited Ordinary Shares	EDP Services	No	No	No	No	No	No
Amedisys Inc Common Stock	Medical/Nursing Services	No	Yes	Yes	No	Yes	Yes
Amerant Bancorp Inc. Class A Common Stock	Major Banks	No	No	No	No	No	No
Amerant Bancorp Inc. Class B Common Stock	Major Banks	No	No	No	No	No	No
Amerco Common Stock	Rental/Leasing Companies	No	No	No	No	No	No
America First Multifamily Investors L.P. Beneficial Unit Certificates (BUCs) representing Limited Partnership Interests	Finance: Consumer Services	No	No	No	No	No	No
America's Car-Mart Inc Common Stock	Other Specialty Stores	No	No	No	No	No	No
American Acquisition Opportunity Inc. Class A Common Stock		No	No	No	No	No	No
American Acquisition Opportunity Inc. Units		No	No	No	No	No	No
American Acquisition Opportunity Inc. Warrant	Business Services	No	No	No	No	No	No
American Airlines Group Inc. Common Stock	Air Freight/Delivery Services	No	Yes	Yes	Yes	No	Yes
American Electric Power Company Inc. Common Stock	Electric Utilities: Central	No	Yes	Yes	No	No	No
American Electric Power Company Inc. Corporate Unit	Electric Utilities: Central	No	Yes	Yes	No	No	No
American Electric Power Company Inc. Corporate Units	Electric Utilities: Central	No	Yes	Yes	No	No	No
American Finance Trust Inc. 7.375% Series C Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
American Finance Trust Inc. 7.50% Series A Cumulative Redeemable Perpetual Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
American Finance Trust Inc. Class A Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
American National Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
American National Group Inc. Common Stock	Life Insurance	No	No	No	No	No	No
American Outdoor Brands Inc. Common Stock		No	No	No	No	No	No
American Public Education Inc. Common Stock	Other Consumer Services	No	No	No	No	Yes	Yes
American Resources Corporation Class A Common Stock		No	No	No	No	No	No
American Software Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
American Superconductor Corporation Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	No
American Virtual Cloud Technologies Inc. Common Stock	EDP Services	No	No	No	No	No	No
American Virtual Cloud Technologies Inc. Warrant expiring 4/7/2025	EDP Services	No	No	No	No	No	No
American Woodmark Corporation Common Stock	Building Products	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Ameris Bancorp Common Stock	Major Banks	No	No	No	No	No	No
AMERISAFE Inc. Common Stock	Accident & Health Insurance	No	No	No	No	No	No
AmeriServ Financial Inc. Common Stock	Major Banks	No	No	No	No	No	Yes
Ames National Corporation Common Stock	Major Banks	No	No	No	No	No	No
Amesite Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Amgen Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Amicus Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Amkor Technology Inc. Common Stock	Semiconductors	Yes	Yes	Yes	No	No	Yes
AMMO Inc. 8.75% Series A Cumulative Redeemable Perpetual Preferred Stock	Military/Government/Technical	No	No	No	No	No	No
AMMO Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Amphastar Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Amplitech Group Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Amplitech Group Inc. Warrants	Industrial Machinery/Components	No	No	No	No	No	No
Amplitude Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Amryt Pharma plc American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Amtech Systems Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Amyris Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Analog Devices Inc. Common Stock	Semiconductors	No	No	No	No	No	No
AnaptysBio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Anavex Life Sciences Corp. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Andersons Inc. (The) Common Stock	Tobacco	No	No	No	No	No	No
Anebulo Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Angi Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
AngioDynamics Inc. Common Stock	Medical/Dental Instruments	No	Yes	Yes	Yes	No	No
Angion Biomedica Corp. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ANI Pharmaceuticals Inc.	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	Yes	Yes	No	No
Anika Therapeutics Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Anixa Biosciences Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Annexon Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AnPac Bio-Medical Science Co. Ltd. American Depositary Shares	Medical Specialties	No	No	No	No	No	No
ANSYS Inc. Common Stock	Computer Software: Prepackaged Software	No					
Antares Pharma Inc. Common Stock	Medical/Dental Instruments	No					
Antelope Enterprise Holdings Limited Common Stock (0.024 par)	Building Products	No	No	No	No	No	No
Anterix Inc. Common Stock	Telecommunications Equipment	No	Yes	Yes	Yes	No	No
Anthemis Digital Acquisitions I Corp Unit		No	No	No	No	No	No
Anzu Special Acquisition Corp I Class A Common Stock		No	No	No	No	No	No
Anzu Special Acquisition Corp I Units		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Anzu Special Acquisition Corp I Warrant		No	No	No	No	No	No
APA Corporation Common Stock	Oil & Gas Production	No	No	No	No	No	No
Apellis Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Apogee Enterprises Inc. Common Stock		No	No	No	No	No	No
Apollo Endosurgery Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Apollo Investment Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Apollo Medical Holdings Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
AppFolio Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
AppHarvest Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
AppHarvest Inc. Warrants	Farming/Seeds/Milling	No	No	No	No	No	No
Appian Corporation Class A Common Stock	EDP Services	No	Yes	Yes	Yes	No	No
Apple Inc. Common Stock	Computer Manufacturing	No	Yes	No	No	No	No
Applied DNA Sciences Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Applied Genetic Technologies Corporation Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Applied Materials Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Applied Molecular Transport Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Applied Optoelectronics Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	Yes	No
Applied Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Applied UV Inc. 10.5% Series A Cumulative Perpetual Preferred Stock \$0.0001 par value per share		No	No	No	No	No	No
Applied UV Inc. Common Stock		No	No	No	No	No	No
Applovin Corporation Class A Common Stock	EDP Services	No	No	No	No	No	No
Apra Therapeutics Inc. Common stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	No	Yes	No	No	No
Apria Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Aptevo Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	Yes	No
Aptinyx Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Aptorum Group Limited Class A Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aptose Biosciences Inc. Common Shares		No	No	No	No	No	No
Apyx Medical Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Aqua Metals Inc. Common Stock	Environmental Services	No	No	No	No	No	Yes
AquaBounty Technologies Inc. Common Stock		No	No	No	No	No	No
Aquestive Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aravive Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Arbe Robotics Ltd. Ordinary Shares		No	No	No	No	No	No
Arbe Robotics Ltd. Warrant		No	No	No	No	No	No
Arbor Rapha Capital Bioholdings Corp. I Units		No	No	No	No	No	No
Arbutus Biopharma Corporation Common Stock		No	No	No	No	No	No
ARCA biopharma Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Arcadia Biosciences Inc. Common Stock	Agricultural Chemicals	No	No	No	No	No	No
ArcBest Corporation Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Arch Capital Group Ltd. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Arch Capital Group Ltd. Depositary Shares Series F	Property-Casualty Insurers	No	No	No	No	No	No
Arch Capital Group Ltd. Depositary Shares Series G	Property-Casualty Insurers	No	No	No	No	No	No
Archimedes Tech SPAC Partners Co. Subunit		No	No	No	No	No	No
Archimedes Tech SPAC Partners Co. Unit		No	No	No	No	No	No
Archimedes Tech SPAC Partners Co. Warrant		No	No	No	No	No	No
Arcimoto Inc. Common Stock	Motor Vehicles	No	No	No	No	No	No
ArcLight Clean Transition Corp. II Class A Ordinary Share		No	No	No	No	No	No
ArcLight Clean Transition Corp. II Warrant	Business Services	No	No	No	No	No	No
Arco Platform Limited Class A Common Shares	Service to the Health Industry	No	No	No	No	No	No
Arcturus Therapeutics Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Arcutis Biotherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ardelyx Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	No
Arena Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ares Capital Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
argenx SE American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Argo Blockchain plc American Depositary Shares		No	No	No	No	No	No
Argus Capital Corp. Unit		No	No	No	No	No	No
Arhaus Inc. Class A Common Stock	Wholesale Distributors	No	No	No	No	No	No
Aridis Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aries I Acquisition Corporation Class A Ordinary Share	Business Services	No	No	No	No	No	No
Aries I Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Aries I Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Ark Restaurants Corp. Common Stock	Restaurants	No	No	No	No	No	No
ARKO Corp. Common Stock	Food Chains	No	No	No	No	No	No
ARKO Corp. Warrant	Food Chains	No	No	No	No	No	No
Armada Acquisition Corp. I Unit		No	No	No	No	No	No
Arqit Quantum Inc. Ordinary Shares		No	No	No	No	No	No
Arqit Quantum Inc. Warrants		No	No	No	No	No	No
Array Technologies Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Arrival Ordinary Shares	Auto Manufacturing	No	No	No	No	No	No
Arrow Financial Corporation Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
Arrowhead Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Arrowroot Acquisition Corp. Class A common stock		No	No	No	No	No	No
Arrowroot Acquisition Corp. Unit		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Arrowroot Acquisition Corp. Warrant		No	No	No	No	No	No
Art's-Way Manufacturing Co. Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Artelo Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Artelo Biosciences Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Artemis Strategic Investment Corporation Unit		No	No	No	No	No	No
Arteris Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Artesian Resources Corporation Class A Common Stock	Water Supply	No	No	No	No	No	No
Artisan Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Artisan Acquisition Corp. Units		No	No	No	No	No	No
Artisan Acquisition Corp. Warrants	Business Services	No	No	No	No	No	No
Arvinas Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ARYA Sciences Acquisition Corp IV Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Ascendis Pharma A/S American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Asia Pacific Wire & Cable Corporation Ltd. Ordinary Shares (Bermuda)	Telecommunications Equipment	No	No	No	No	No	No
ASLAN Pharmaceuticals Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ASML Holding N.V. New York Registry Shares	Industrial Machinery/Components	No	No	No	No	No	No
Aspen Group Inc. Common Stock	Other Consumer Services	No	Yes	Yes	No	No	No
Aspen Technology Inc. Common Stock	EDP Services	No	No	No	No	No	Yes
Aspira Women's Health Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Assembly Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Assertio Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Assure Holdings Corp. Common Stock	Assisted Living Services	No	No	No	No	No	No
AST SpaceMobile Inc. Class A Common Stock	Telecommunications Equipment	No	No	No	No	No	No
AST SpaceMobile Inc. Warrant	Telecommunications Equipment	No	No	No	No	No	No
Astec Industries Inc. Common Stock	Construction Equipment/Trucks	No	No	No	No	No	No
Astra Space Inc. Class A Common Stock		No	No	No	No	No	Yes
Astra Space Inc. Warrant		No	No	No	No	No	Yes
AstraZeneca PLC American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	No
Astrea Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Astrea Acquisition Corp. Unit		No	No	No	No	No	No
Astrea Acquisition Corp. Warrant		No	No	No	No	No	No
Astria Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Astronics Corporation Common Stock	Military/Government/Technical	No	Yes	Yes	Yes	No	No
AstroNova Inc. Common Stock	Computer peripheral equipment	No	Yes	Yes	No	Yes	Yes
Astrotech Corporation (DE) Common Stock	Aerospace	No	No	No	No	No	No
Asure Software Inc Common Stock	EDP Services	No	No	No	No	No	No
ATA Creativity Global American Depositary Shares	Service to the Health Industry	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
ATAI Life Sciences N.V. Common Shares		No	No	No	No	No	No
Atara Biotherapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	Yes	Yes	Yes	Yes	No
Atea Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	No	Yes
Aterian Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	No	No	Yes
Athenex Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Athersys Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Athira Pharma Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Athlon Acquisition Corp. Class A Common stock		No	No	No	No	No	No
Athlon Acquisition Corp. Unit		No	No	No	No	No	No
Athlon Acquisition Corp. Warrant		No	No	No	No	No	No
ATIF Holdings Limited Ordinary Shares	Professional Services	No	No	No	No	No	No
Atlantic American Corporation Common Stock	Life Insurance	No	No	No	No	No	No
Atlantic Capital Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Atlantic Coastal Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Atlantic Coastal Acquisition Corp. Unit		No	No	No	No	No	No
Atlantic Coastal Acquisition Corp. Warrant		No	No	No	No	No	No
Atlantic Union Bankshares Corporation Common Stock	Major Banks	No	Yes	No	Yes	Yes	Yes
Atlantic Union Bankshares Corporation Depositary Shares Preferred Stock Series A	Major Banks	No	Yes	No	Yes	Yes	Yes
Atlantica Sustainable Infrastructure plc Ordinary Shares	Electric Utilities: Central	No	No	No	No	No	No
Atlanticus Holdings Corporation 7.625% Series B Cumulative Perpetual Preferred Stock no par value per share	Finance: Consumer Services	No	No	No	No	No	No
Atlanticus Holdings Corporation Common Stock	Finance: Consumer Services	No	No	No	No	No	No
Atlas Air Worldwide Holdings NEW Common Stock	Transportation Services	No	Yes	Yes	Yes	No	No
Atlas Corp. 7.125% Notes due 2027		No	No	No	No	No	No
Atlas Technical Consultants Inc. Class A Common Stock	Military/Government/Technical	No	No	No	No	No	No
Atlassian Corporation Plc Class A Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
ATN International Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Atomera Incorporated Common Stock	Semiconductors	No	No	No	No	No	No
Atossa Therapeutics Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Atreca Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
AtriCure Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Atrion Corporation Common Stock	Medical/Dental Instruments	No	Yes	Yes	No	No	No
aTyr Pharma Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Auburn National Bancorporation Inc. Common Stock	Major Banks	No	No	No	No	No	No
Auddia Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Auddia Inc. Warrants	Computer Software: Prepackaged Software	No	No	No	No	No	No
AudioCodes Ltd. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
AudioEye Inc. Common Stock	EDP Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Augmedix Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Aura Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aurinia Pharmaceuticals Inc Ordinary Shares		No	Yes	No	Yes	No	Yes
Aurora Acquisition Corp. Class A Ordinary Shares	Finance: Consumer Services	No	No	No	No	No	No
Aurora Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Aurora Acquisition Corp. Warrant	Finance: Consumer Services	No	No	No	No	No	No
Aurora Cannabis Inc. Common Shares		No	No	No	No	No	No
Aurora Innovation Inc. Class A Common Stock		No	No	No	No	No	No
Aurora Innovation Inc. Warrant		No	No	No	No	No	No
Aurora Mobile Limited American Depositary Shares	EDP Services	No	No	No	No	No	No
Authentic Equity Acquisition Corp. Class A ordinary share	Business Services	No	No	No	No	No	No
Authentic Equity Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Authentic Equity Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Autodesk Inc. Common Stock	Computer Software: Prepackaged Software	Yes	Yes	No	Yes	No	Yes
Autolus Therapeutics plc American Depositary Share	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Automatic Data Processing Inc. Common Stock	Business Services	No	Yes	Yes	Yes	Yes	Yes
Autoscope Technologies Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
AutoWeb Inc. Common Stock	Business Services	No	No	No	No	No	No
Avadel Pharmaceuticals plc American Depositary Shares	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	Yes
Avalo Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Avalon Acquisition Inc. Units		No	No	No	No	No	No
Avalon GloboCare Corp. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Aveanna Healthcare Holdings Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Avenue Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
AVEO Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
AvePoint Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
AvePoint Inc. Warrant	EDP Services	No	No	No	No	No	No
Aviat Networks Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Avid Bioservices Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	No
Avid Technology Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	No	Yes	Yes
Avidity Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
AvidXchange Holdings Inc. Common Stock	EDP Services	No	No	No	No	No	No
Avinger Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Avis Budget Group Inc. Common Stock	Rental/Leasing Companies	No	Yes	No	No	No	No
Avista Public Acquisition Corp. II Class A Ordinary Shares		No	No	No	No	No	No
Avista Public Acquisition Corp. II Unit		No	No	No	No	No	No
Avista Public Acquisition Corp. II Warrant		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Avita Therapeutics Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Avnet Inc. Common Stock	Electronic Components	No	Yes	No	No	No	No
AVROBIO Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Aware Inc. Common Stock	EDP Services	No	No	No	No	No	No
Axcelis Technologies Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Axcella Health Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Axogen Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Axon Enterprise Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Axonics Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
AxonPrime Infrastructure Acquisition Corporation Class A Common Stock		No	No	No	No	No	No
AxonPrime Infrastructure Acquisition Corporation Unit		No	No	No	No	No	No
AxonPrime Infrastructure Acquisition Corporation Warrants		No	No	No	No	No	No
Axsome Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
AXT Inc Common Stock	Semiconductors	No	No	No	No	No	No
Ayala Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	No	Yes
AYRO Inc. Common Stock		No	No	No	No	No	No
Aytu BioPharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Aziyo Biologics Inc. Class A Common Stock	Medical Specialties	No	Yes	Yes	Yes	No	No
B. Riley Financial Inc. 5.25% Senior Notes due 2028	Business Services	No	No	No	No	No	No
B. Riley Financial Inc. 5.50% Senior Notes Due 2026	Business Services	No	No	No	No	No	No
B. Riley Financial Inc. 6.00% Senior Notes Due 2028	Business Services	No	No	No	No	No	No
B. Riley Financial Inc. 6.375% Senior Notes due 2025	Business Services	No	No	No	No	No	No
B. Riley Financial Inc. 6.50% Senior Notes Due 2026	Business Services	No	No	No	No	No	No
B. Riley Financial Inc. 6.75% Senior Notes due 2024	Business Services	No	No	No	No	No	No
B. Riley Financial Inc. Common Stock	Investment Managers	No	No	No	No	No	No
B. Riley Financial Inc. Depositary Shares each representing 1/1000th in a share of 7.375% Series B	Investment Managers	No	No	No	No	No	No
B. Riley Financial Inc. Depositary Shares each representing a 1/1000th fractional interest in a share of Series A	Investment Managers	No	No	No	No	No	No
B. Riley Principal 150 Merger Corp. Class A Common Stock		No	No	No	No	No	No
B. Riley Principal 150 Merger Corp. Unit		No	No	No	No	No	No
B. Riley Principal 150 Merger Corp. Warrant		No	No	No	No	No	No
B. Riley Principal 250 Merger Corp. Class A common stock		No	No	No	No	No	No
B. Riley Principal 250 Merger Corp. Units		No	No	No	No	No	No
B. Riley Principal 250 Merger Corp. Warrant		No	No	No	No	No	No
B.O.S. Better Online Solutions Common Stock	Computer Communications Equipment	No	No	No	No	No	No
Baidu Inc. ADS	Computer Software: Programming Data Processing	No	No	No	No	No	No
Balchem Corporation Common Stock	Industrial Specialties	No	No	No	No	No	No
Ballard Power Systems Inc. Common Shares		No	Yes	No	Yes	Yes	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
BancFirst Corporation - BFC Capital Trust II Cumulative Trust Preferred Securities	Major Banks	No	Yes	No	Yes	No	No
BancFirst Corporation Common Stock	Major Banks	No	Yes	No	Yes	No	No
Bandwidth Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Bank First Corporation Common Stock	Major Banks	No	Yes	Yes	No	Yes	Yes
Bank of Marin Bancorp Common Stock	Major Banks	No	Yes	No	No	No	No
Bank of South Carolina Corp. Common Stock	Major Banks	No	No	No	No	No	No
Bank of the James Financial Group Inc. Common Stock	Major Banks	No	No	No	No	No	No
Bank OZK 4.625% Series A Non-Cumulative Perpetual Preferred Stock	Major Banks	No	Yes	No	Yes	No	Yes
Bank OZK Common Stock	Major Banks	No	Yes	No	Yes	No	Yes
Bank7 Corp. Common stock	Major Banks	No	No	No	No	No	No
BankFinancial Corporation Common Stock	Major Banks	No	No	No	No	No	No
Bankwell Financial Group Inc. Common Stock	Major Banks	No	No	No	No	No	No
Banner Acquisition Corp. Class A Common Stock		Yes	Yes	Yes	Yes	No	Yes
Banner Acquisition Corp. Units		Yes	Yes	No	No	No	No
Banner Acquisition Corp. Warrants		Yes	No	No	No	No	No
Banner Corporation Common Stock	Major Banks	Yes	No	No	No	No	No
Bannix Acquisition Corp. Common Stock		No	No	No	No	No	No
Bannix Acquisition Corp. Right		No	No	No	No	No	No
Bannix Acquisition Corp. Warrant		No	No	No	No	No	No
Baosheng Media Group Holdings Limited Ordinary shares	Business Services	No	No	No	No	No	No
Baozun Inc. American Depositary Shares	Catalog/Specialty Distribution	No	No	No	No	No	No
Barrett Business Services Inc. Common Stock	Professional Services	No	No	No	No	No	No
Bassett Furniture Industries Incorporated Common Stock	Home Furnishings	No	Yes	Yes	No	Yes	No
Baudax Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
BayCom Corp Common Stock	Major Banks	No	No	No	No	No	No
BBQ Holdings Inc. Common Stock	Restaurants	No	No	No	No	No	No
BCB Bancorp Inc. (NJ) Common Stock	Savings Institutions	No	No	No	No	No	No
BCLS Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Beacon Roofing Supply Inc. Common Stock	Wholesale Distributors	No	Yes	No	No	No	No
Beam Global Common Stock	Semiconductors	No	No	No	No	No	No
Beam Global Warrant	Semiconductors	No	No	No	No	No	No
Beam Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Beasley Broadcast Group Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
Bed Bath & Beyond Inc. Common Stock	Other Specialty Stores	No	Yes	Yes	Yes	No	No
BeiGene Ltd. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bel Fuse Inc. Class A Common Stock	Electrical Products	No	No	No	No	No	No
Bel Fuse Inc. Class B Common Stock	Electrical Products	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Bellerophon Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	Yes	Yes
Bellicum Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	No	Yes	No	Yes
BELLUS Health Inc. Common Shares		No	No	No	No	No	No
Belong Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Belong Acquisition Corp. Units		No	No	No	No	No	No
Belong Acquisition Corp. Warrant		No	No	No	No	No	No
Benefitfocus Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Benessere Capital Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Benessere Capital Acquisition Corp. Right	Business Services	No	No	No	No	No	No
Benessere Capital Acquisition Corp. Unit		No	No	No	No	No	No
Benessere Capital Acquisition Corp. Warrant		No	No	No	No	No	No
Benitec Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bentley Systems Incorporated Class B Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Berkeley Lights Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Berkshire Grey Inc. Class A Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	No
Berkshire Grey Inc. Warrant	Office Equipment/Supplies/Services	No	No	No	No	No	No
Berry Corporation (bry) Common Stock	Oil & Gas Production	Yes	Yes	Yes	Yes	Yes	Yes
Better Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Better World Acquisition Corp. Common Stock		No	No	No	No	No	No
Better World Acquisition Corp. Warrants		No	No	No	No	No	No
Betterware de Mexico S.A.B. de C.V. Ordinary Shares	Catalog/Specialty Distribution	No	No	No	No	No	No
Beyond Air Inc. Common Stock		No	No	No	No	No	No
Beyond Meat Inc. Common Stock	Specialty Foods	No	No	No	No	No	No
BeyondSpring Inc. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
BGC Partners Inc Class A Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Bicycle Therapeutics plc American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Big 5 Sporting Goods Corporation Common Stock	Other Specialty Stores	No	No	No	No	No	No
Big Sky Growth Partners Inc. Class A Common Stock		No	No	No	No	No	No
Big Sky Growth Partners Inc. Unit		No	No	No	No	No	No
Big Sky Growth Partners Inc. Warrant		No	No	No	No	No	No
BigCommerce Holdings Inc. Series 1 Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Bilander Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Bilander Acquisition Corp. Unit		No	No	No	No	No	No
Bilander Acquisition Corp. Warrant		No	No	No	No	No	No
Bilibili Inc. American Depositary Shares	EDP Services	No	No	No	No	No	No
BIMI International Medical Inc. Common Stock	Medical/Nursing Services	No	No	No	No	No	No
BIO-key International Inc. Common Stock	Diversified Manufacture	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Bio-Path Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bio-Techne Corp Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
BioAtla Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
BioCardia Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
BioCardia Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Biocept Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Bioceres Crop Solutions Corp. Ordinary Shares	Agricultural Chemicals	No	No	No	No	No	No
BioCryst Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
BioDelivery Sciences International Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Biodesix Inc. Common Stock	Precision Instruments	No	No	No	No	No	No
Biofrontera AG American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Biofrontera Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Biofrontera Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Biogen Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	No
BioHiTech Global Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Biolase Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
BioLife Solutions Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
BioLineRx Ltd. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
BioMarin Pharmaceutical Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Biomea Fusion Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Biomerica Inc. Common Stock	Medical/Dental Instruments	Yes	Yes	Yes	Yes	Yes	No
Bionano Genomics Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	Yes	Yes	Yes	No	Yes
Bionano Genomics Inc. Warrant	Biotechnology: Laboratory Analytical Instruments	No	Yes	Yes	Yes	No	Yes
BiondVax Pharmaceuticals Ltd. American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
BioNTech SE American Depositary Share	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Biophytis SA American Depositary Share	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
BioRestorative Therapies Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
BioSig Technologies Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Biotech Acquisition Company Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Biotech Acquisition Company Unit	Business Services	No	No	No	No	No	No
Biotech Acquisition Company Warrant	Business Services	No	No	No	No	No	No
Biotricity Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Bioventus Inc. Class A Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
BioVie Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
BioXcel Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bit Brother Limited Ordinary Shares		No	No	No	No	No	No
Bit Digital Inc. Ordinary Shares	Finance: Consumer Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Bitfarms Ltd. Common Stock		No	No	No	No	No	No
BJ's Restaurants Inc. Common Stock	Restaurants	No	Yes	Yes	Yes	Yes	Yes
Black Diamond Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Blackbaud Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
BlackLine Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
BlackRock Capital Investment Corporation Common Stock	Investment Managers	Yes*	Yes	Yes	Yes	No	Yes
BlackRock TCP Capital Corp. Common Stock	Finance/Investors Services	Yes*	Yes	Yes	Yes	No	Yes
Blade Air Mobility Inc. Class A Common Stock	Aerospace	No	No	No	No	No	No
Blade Air Mobility Inc. Warrants	Aerospace	No	No	No	No	No	No
Blink Charging Co. Common Stock	Business Services	No	No	No	No	No	No
Blink Charging Co. Warrant	Business Services	No	No	No	No	No	No
Blockchain Moon Acquisition Corp. Common Stock		No	No	No	No	No	No
Blockchain Moon Acquisition Corp. Rights		No	No	No	No	No	No
Blockchain Moon Acquisition Corp. Units	Business Services	No	No	No	No	No	No
Blockchain Moon Acquisition Corp. Warrants	Business Services	No	No	No	No	No	No
Bloomin' Brands Inc. Common Stock	Restaurants	No	No	No	No	No	No
Blucora Inc. Common Stock	Investment Managers	No	No	No	No	No	No
Blue Bird Corporation Common Stock	Construction Equipment/Trucks	No	No	No	No	No	No
Blue Foundry Bancorp Common Stock	Commercial Banks	No	No	No	No	No	No
Blue Hat Interactive Entertainment Technology Ordinary Shares	Recreational Products/Toys	No	No	No	No	No	No
Blue Safari Group Acquisition Corp. Class A Ordinary Share		No	No	No	No	No	No
Blue Safari Group Acquisition Corp. Right		No	No	No	No	No	No
Blue Safari Group Acquisition Corp. Unit		No	No	No	No	No	No
Blue Star Foods Corp. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Blue Whale Acquisition Corp I Class A Ordinary Shares		No	No	No	No	No	No
Blue Whale Acquisition Corp I Unit		No	No	No	No	No	No
Blue Whale Acquisition Corp I Warrant		No	No	No	No	No	No
bluebird bio Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
BlueCity Holdings Limited American Depositary Shares	EDP Services	No	No	No	No	No	No
Blueknight Energy Partners L.P. Common Units	Oil & Gas Production	No	No	No	No	No	No
Blueknight Energy Partners L.P. Series A Preferred Units	Oil & Gas Production	No	No	No	No	No	No
Blueprint Medicines Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bogota Financial Corp. Common Stock		No	No	No	No	No	No
BOK Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
Bolt Biotherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bon Natural Life Limited Ordinary Shares	Real Estate Investment Trusts	No	No	No	No	No	No
Bone Biologics Corp Common Stock	Industrial Specialties	No	No	No	No	No	No

*matrix changed April 2022/language change up for 2022 proxy vote

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Bone Biologics Corp Warrants	Industrial Specialties	No	No	No	No	No	No
Bonso Electronics International Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Booking Holdings Inc. Common Stock	Other Consumer Services	No	Yes	Yes	Yes	Yes	No
Borqs Technologies Inc. Ordinary Shares	EDP Services	No	No	No	No	No	No
Boston Omaha Corporation Class A Common Stock	Advertising	No	No	No	No	No	No
Bottomline Technologies Inc. Common Stock	EDP Services	No	No	No	No	No	No
Bowman Consulting Group Ltd. Common Stock	Professional Services	No	No	No	No	No	No
Boxlight Corporation Class A Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Bragg Gaming Group Inc. Common Shares		No	No	No	No	No	No
Brainstorm Cell Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
BrainsWay Ltd. American Depositary Shares	Medical/Dental Instruments	No	No	No	No	No	No
Breeze Holdings Acquisition Corp. Common Stock		No	No	No	No	No	No
Breeze Holdings Acquisition Corp. Right	Business Services	No	No	No	No	No	No
Breeze Holdings Acquisition Corp. Warrant		No	No	No	No	No	No
BriaCell Therapeutics Corp. Common Shares		No	No	No	No	No	No
BriaCell Therapeutics Corp. Warrant		No	No	No	No	No	No
Brickell Biotech Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
BridgeBio Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Bridgeline Digital Inc. Common Stock	EDP Services	No	No	No	No	No	No
Bridgetown 2 Holdings Limited Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Bridgetown Holdings Limited Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Bridgetown Holdings Limited Units	Business Services	No	No	No	No	No	No
Bridgetown Holdings Limited Warrants	Business Services	No	No	No	No	No	No
Bridgewater Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Bridgewater Bancshares Inc. Depositary Shares Preferred Stock Series A	Major Banks	No	No	No	No	No	No
Bridgford Foods Corporation Common Stock	Specialty Foods	No	No	No	No	No	No
Bright Lights Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Bright Lights Acquisition Corp. Unit		No	No	No	No	No	No
Bright Lights Acquisition Corp. Warrant		No	No	No	No	No	No
Bright Minds Biosciences Inc. Common Stock		No	No	No	No	No	No
Brightcove Inc. Common Stock	EDP Services	No	No	No	No	No	No
Brighthouse Financial Inc. 6.25% Junior Subordinated Debentures due 2058	Life Insurance	No	No	No	No	No	No
Brighthouse Financial Inc. Common Stock	Life Insurance	No	No	No	No	No	No
Brighthouse Financial Inc. Depositary Shares 6.6% Non-Cumulative Preferred Stock Series A	Life Insurance	No	No	No	No	No	No
Brighthouse Financial Inc. Depositary Shares 6.75% Non-Cumulative Preferred Stock Series B	Life Insurance	No	No	No	No	No	No
Brighthouse Financial Inc. Depositary shares Series C	Life Insurance	No	No	No	No	No	No
Brilliant Acquisition Corporation Ordinary Shares	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Brilliant Acquisition Corporation Rights	Business Services	No	No	No	No	No	No
Brilliant Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Brilliant Acquisition Corporation Warrants	Business Services	No	No	No	No	No	No
Brilliant Earth Group Inc. Class A Common Stock	Consumer Specialties	No	No	No	No	No	No
Broadcom Inc. 8.00% Mandatory Convertible Preferred Stock Series A	Semiconductors	No	Yes	Yes	Yes	No	Yes
Broadcom Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	No	Yes
Broadscale Acquisition Corp. Class A common stock		No	No	No	No	No	No
Broadscale Acquisition Corp. Units		No	No	No	No	No	No
Broadscale Acquisition Corp. Warrant		No	No	No	No	No	No
Broadway Financial Corporation Common Stock	Savings Institutions	No	No	No	No	No	No
Broadwind Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Brooge Energy Limited Ordinary Shares	Integrated oil Companies	No	No	No	No	No	No
Brooge Holdings Limited Warrant expiring 12/20/2024	Integrated oil Companies	No	No	No	No	No	No
Brookfield Property Partners L.P. 5.750% Class A Cumulative Redeemable Perpetual Preferred Units Series 3	Finance/Investors Services	No	No	No	No	No	No
Brookfield Property Partners L.P. 6.25% Class A Cumulative Redeemable Preferred Units Series 1		No	No	No	No	No	No
Brookfield Property Partners L.P. 6.375% Class A Cumulative Redeemable Perpetual Preferred Units Series 2	Finance/Investors Services	No	No	No	No	No	No
Brookfield Property Partners L.P. 6.50% Class A Cumulative Redeemable Perpetual Preferred Units	Real Estate	No	No	No	No	No	No
Brookline Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Brookline Capital Acquisition Corp. Common Stock		No	No	No	No	No	No
Brookline Capital Acquisition Corp. Units		No	No	No	No	No	No
Brookline Capital Acquisition Corp. Warrant		No	No	No	No	No	No
Brooklyn ImmunoTherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Brooks Automation Inc.	Industrial Machinery/Components	No	No	No	No	No	No
BRP Group Inc. (Insurance Company) Class A Common Stock	Specialty Insurers	No	No	No	No	No	No
BRP Inc. (Recreational Products) Common Subordinate Voting Shares		No	No	No	No	No	No
Bruker Corporation Common Stock	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Bryn Mawr Bank Corporation Common Stock	Major Banks	No	No	No	No	No	No
BSQUARE Corporation Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
BTCS Inc. Common Stock	Investment Managers	No	No	No	No	No	No
BTRS Holdings Inc. Class 1 Common Stock	EDP Services	No	No	No	No	No	No
BTRS Holdings Inc. Warrants	EDP Services	No	No	No	No	No	No
Bull Horn Holdings Corp. Ordinary Shares	Business Services	No	No	No	No	No	No
Bull Horn Holdings Corp. Warrants	Business Services	No	No	No	No	No	No
Bumble Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Burcon NutraScience Corp. Common Stock	Precious Metals	No	No	No	No	No	No
BurgerFi International Inc. Common Stock	Restaurants	No	No	No	No	No	No
BurgerFi International Inc. Warrant	Restaurants	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Burgundy Technology Acquisition Corporation Class A Ordinary shares	Business Services	No	No	No	No	No	No
Burgundy Technology Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Burgundy Technology Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Burning Rock Biotech Limited American Depositary Shares	Medical Specialities	No	No	No	No	No	No
Business First Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Byrna Technologies Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
BYTE Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
BYTE Acquisition Corp. Units	Business Services	No	No	No	No	No	No
BYTE Acquisition Corp. Warrants	Business Services	No	No	No	No	No	No
C.H. Robinson Worldwide Inc. Common Stock	Oil Refining/Marketing	No	No	No	No	No	No
C&F Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
C4 Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cabaletta Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cactus Acquisition Corp. 1 Limited Unit		No	No	No	No	No	No
Cadence Design Systems Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	No	No
CADIZ Inc. Common Stock	Water Supply	No	Yes	Yes	Yes	Yes	No
Cadiz Inc. Depositary Shares	Water Supply	No	Yes	Yes	Yes	Yes	No
Caesars Entertainment Inc. Common Stock	Hotels/Resorts	Yes	Yes	Yes	Yes	No	No
Caesarstone Ltd. Ordinary Shares	Multi-Sector Companies	No	No	No	No	No	No
Cal-Maine Foods Inc. Common Stock	Farming/Seeds/Milling	No	Yes	No	No	No	No
Caladrius Biosciences Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Calamos Convertible and High Income Fund Common Stock	Investment Managers	No	No	No	No	No	No
Calamos Convertible Opportunities and Income Fund Common Stock	Investment Managers	No	No	No	No	No	No
Calamos Dynamic Convertible & Income Fund Common Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
Calamos Global Dynamic Income Fund Common Stock	Investment Managers	No	No	No	No	No	No
Calamos Global Total Return Fund Common Stock	Investment Managers	No	No	No	No	No	No
Calamos Long/Short Equity & Dynamic Income Trust Common Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
Calamos Strategic Total Return Common Stock	Finance Companies	No	No	No	No	No	No
CalAmp Corp. Common Stock	Telecommunications Equipment	No	Yes	Yes	Yes	No	Yes
Calavo Growers Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
California BanCorp Common Stock	Major Banks	No	No	No	No	No	No
Calithera Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Calliditas Therapeutics AB American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Calumet Specialty Products Partners L.P. Common Units	Oil & Gas Production	No	No	No	No	No	No
Calyxt Inc. Common Stock	Food Distributors	No	No	No	No	No	No
Cambium Networks Corporation Ordinary Shares	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Cambridge Bancorp Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Camden National Corporation Common Stock	Major Banks	No	No	No	No	No	No
Camtek Ltd. Ordinary Shares	Electronic Components	No	No	No	No	No	No
Canaan Inc. American Depositary Shares	Semiconductors	No	No	No	No	No	No
Canadian Solar Inc. Common Shares (BC)		No	No	No	No	No	No
Candel Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Canoo Inc. Class A Common Stock		No	Yes	Yes	Yes	Yes	Yes
Canoo Inc. Warrant		No	Yes	Yes	Yes	Yes	Yes
Canopy Growth Corporation Common Shares		No	No	No	No	No	No
Cantaloupe Inc. Common Stock	EDP Services	No	No	No	No	No	No
Canterbury Park Holding Corporation 'New' Common Stock	Services-Misc. Amusement & Recreation	No	No	No	No	No	No
Capital Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Capital City Bank Group Common Stock	Major Banks	No	No	No	No	No	No
Capital Product Partners L.P. Common Units	Marine Transportation	No	No	No	No	No	No
Capital Southwest Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Capitala Finance Corp. 5.75% Convertible Notes Due 2022	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
Capitala Finance Corp. 6% Notes Due 2022	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
Capitol Federal Financial Inc. Common Stock	Savings Institutions	No	No	No	No	No	Yes
Capricor Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	Yes	Yes	No	No	No
CapStar Financial Holdings Inc. Common Stock	Major Banks	No	No	No	No	No	Yes
Capstone Green Energy Corporation Common Stock		No	No	No	No	No	No
Cara Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cardiff Oncology Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Cardiol Therapeutics Inc. Class A Common Shares		No	No	No	No	No	No
Cardiovascular Systems Inc. Common Stock	Medical/Dental Instruments	No	Yes	Yes	No	No	Yes
Cardlytics Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	No	Yes
CareCloud Inc. 11% Series A Cumulative Redeemable Perpetual Preferred Stock	Managed Health Care	No	Yes	Yes	Yes	Yes	Yes
CareCloud Inc. Common Stock	Managed Health Care	No	Yes	Yes	Yes	Yes	Yes
CareDx Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
CareMax Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
CareMax Inc. Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
CareTrust REIT Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
CarGurus Inc. Class A Common Stock		No	Yes	No	No	No	No
Caribou Biosciences Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
CarLotz Inc. Class A Common Stock	Other Specialty Stores	No	No	No	No	No	No
CarLotz Inc. Warrant	Other Specialty Stores	No	No	No	No	No	No
Carney Technology Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
Carney Technology Acquisition Corp. II Units		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Carney Technology Acquisition Corp. II Warrant		No	No	No	No	No	No
CarParts.com Inc. Common Stock	Other Specialty Stores	No	No	No	No	No	No
Carrols Restaurant Group Inc. Common Stock	Restaurants	No	No	No	No	No	No
Carter Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Cartesian Growth Corporation Class A Ordinary Share	Business Services	No	No	No	No	No	No
Cartesian Growth Corporation Unit	Business Services	No	No	No	No	No	No
Cartesian Growth Corporation Warrant	Business Services	No	No	No	No	No	No
Carver Bancorp Inc. Common Stock	Savings Institutions	No	Yes	Yes	Yes	No	No
Casa Systems Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Cascadia Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Cascadia Acquisition Corp. Unit		No	No	No	No	No	No
Cascadia Acquisition Corp. Warrant		No	No	No	No	No	No
Casella Waste Systems Inc. Class A Common Stock	Environmental Services	No	Yes	Yes	No	Yes	No
Casey's General Stores Inc. Common Stock	Food Chains	No	No	No	No	No	No
CASI Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Cass Information Systems Inc Common Stock	Business Services	No	No	No	No	No	No
Cassava Sciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Castle Biosciences Inc. Common Stock	Medical Specialties	No	No	No	No	No	Yes
Castor Maritime Inc. Common Shares	Marine Transportation	No	No	No	No	No	No
Catalyst Bancorp Inc. Common Stock		No	No	No	No	No	No
Catalyst Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Catalyst Partners Acquisition Corp. Class A Ordinary Share		No	No	No	No	No	No
Catalyst Partners Acquisition Corp. Unit		No	No	No	No	No	No
Catalyst Partners Acquisition Corp. Warrant		No	No	No	No	No	No
Catalyst Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Cathay General Bancorp Common Stock	Major Banks	No	Yes	Yes	No	Yes	No
Cavco Industries Inc. Common Stock When Issued	Homebuilding	No	No	No	No	No	No
CB Financial Services Inc. Common Stock	Major Banks	No	No	No	No	No	Yes
CBAK Energy Technology Inc. Common Stock	Electrical Products	No	No	No	No	No	No
CBTX Inc. Common Stock	Major Banks	No	No	No	No	No	No
CDK Global Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	No	Yes	No	Yes
CDW Corporation Common Stock	Catalog/Specialty Distribution	No	Yes	Yes	Yes	No	No
CECO Environmental Corp. Common Stock	Pollution Control Equipment	No	Yes	Yes	Yes	No	Yes
Celcuity Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Celldex Therapeutics Inc.	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Cellebrite DI Ltd. Ordinary Shares		No	No	No	No	No	No
Cellebrite DI Ltd. Warrants		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Cellctar Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Collectis S.A. American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Celsion Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Celsius Holdings Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Celularity Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Celularity Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Celyad Oncology SA American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Centrex Inc. Common Stock	EDP Services	No	No	No	No	No	No
Centrex Inc. Series 1 Preferred Stock	EDP Services	No	No	No	No	No	No
Centrex Inc. Series 1 Warrant	EDP Services	No	No	No	No	No	No
CENAQ Energy Corp. Class A Ordinary Shares		No	No	No	No	No	No
CENAQ Energy Corp. Unit		No	No	No	No	No	No
CENAQ Energy Corp. Warrant		No	No	No	No	No	No
Centennial Resource Development Inc. Class A Common Stock	Oil & Gas Production	Yes	Yes	Yes	Yes	No	Yes
Centessa Pharmaceuticals plc American Depositary Shares		No	No	No	No	No	No
Centogene N.V. Common Shares	Medical Specialties	No	No	No	No	No	No
Central Garden & Pet Company Class A Common Stock Nonvoting		No	No	No	No	No	No
Central Garden & Pet Company Common Stock		No	No	No	No	No	No
Central Valley Community Bancorp Common Stock	Major Banks	No	No	No	No	No	No
Century Aluminum Company Common Stock	Aluminum	No	Yes	Yes	Yes	No	No
Century Bancorp Inc. Class A Common Stock	Major Banks	No	No	No	No	No	No
Century Casinos Inc. Common Stock	Hotels/Resorts	No	No	No	No	No	No
Century Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Ceragon Networks Ltd. Ordinary Shares	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Cerence Inc. Common Stock	EDP Services	No	No	No	No	No	No
Cerevel Therapeutics Holdings Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Cerner Corporation Common Stock	Managed Health Care	No	Yes	Yes	Yes	No	No
Certara Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Cerus Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CEVA Inc. Common Stock	Semiconductors	No	No	No	No	No	No
CF Acquisition Corp. IV Class A common stock		No	No	No	No	No	No
CF Acquisition Corp. IV Unit		No	No	No	No	No	No
CF Acquisition Corp. IV Warrant		No	No	No	No	No	No
CF Acquisition Corp. V Class A Common Stock		No	No	No	No	No	No
CF Acquisition Corp. V Unit		No	No	No	No	No	No
CF Acquisition Corp. V Warrant		No	No	No	No	No	No
CF Acquisition Corp. VI Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
CF Acquisition Corp. VI Unit		No	No	No	No	No	No
CF Acquisition Corp. VI Warrant		No	No	No	No	No	No
CF Acquisition Corp. VIII Class A Common Stock		No	No	No	No	No	No
CF Acquisition Corp. VIII Unit		No	No	No	No	No	No
CF Acquisition Corp. VIII Warrant		No	No	No	No	No	No
CF Bankshares Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Champions Oncology Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
ChampionX Corporation Common Stock	Construction Equipment/Trucks	No	Yes	Yes	No	No	Yes
Change Healthcare Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Change Healthcare Inc. Tangible Equity Units	Managed Health Care	No	No	No	No	No	No
Chardan NexTech Acquisition 2 Corp. Class A Common Stock		No	No	No	No	No	No
Chardan NexTech Acquisition 2 Corp. Unit		No	No	No	No	No	No
Chardan NexTech Acquisition 2 Corp. Warrant		No	No	No	No	No	No
Charles & Colvard Ltd Common Stock	Consumer Specialties	No	No	No	No	No	No
Charter Communications Inc. Class A Common Stock New	Television Services	No	Yes	Yes	Yes	No	No
Chavant Capital Acquisition Corp. Ordinary Shares		No	No	No	No	No	No
Chavant Capital Acquisition Corp. Unit		No	No	No	No	No	No
Chavant Capital Acquisition Corp. Warrants		No	No	No	No	No	No
Check Point Software Technologies Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Check-Cap Ltd. Ordinary Share	Medical Electronics	No	No	No	No	No	No
Check-Cap Ltd. Series C Warrant	Medical Electronics	No	No	No	No	No	No
Checkmate Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Checkpoint Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cheesecake Factory Incorporated (The) Common Stock	Restaurants	No	Yes	No	Yes	Yes	Yes
Chembio Diagnostics Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	Yes	Yes	Yes	Yes	Yes	No
ChemoCentryx Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Chemomab Therapeutics Ltd. American Depositary Share	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Chemung Financial Corp Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
Chesapeake Energy Corporation Class A Warrants	Oil & Gas Production	No	No	No	No	No	No
Chesapeake Energy Corporation Class B Warrants	Oil & Gas Production	No	No	No	No	No	No
Chesapeake Energy Corporation Class C Warrants	Oil & Gas Production	No	No	No	No	No	No
Chesapeake Energy Corporation Common Stock	Oil & Gas Production	No	No	No	No	No	No
Chicken Soup for the Soul Entertainment Inc. 9.50% Notes due 2025	Movies/Entertainment	No	No	No	No	No	No
Chicken Soup for the Soul Entertainment Inc. 9.75% Series A Cumulative Redeemable Perpetual Preferred Stock	Broadcasting	No	No	No	No	No	No
Chicken Soup for the Soul Entertainment Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
Children's Place Inc. (The) Common Stock	Clothing/Shoe/Accessory Stores	No	No	No	No	No	No
Chimerix Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
China Automotive Systems Inc. Common Stock	Auto Parts/O.E.M.	No	No	No	No	No	No
China Finance Online Co. Limited American Depositary Shares	Investment Bankers/Brokers/Service	No	No	No	No	No	No
China HGS Real Estate Inc. Common Stock	Building operators	No	No	No	No	No	No
China Index Holdings Limited American Depositary Shares	EDP Services	No	No	No	No	No	No
China Jo-Jo Drugstores Inc. (Cayman Islands) Ordinary Shares	Specialty Chemicals	No	No	No	No	No	No
China Liberal Education Holdings Limited Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
China Natural Resources Inc. Common Stock	Precious Metals	No	No	No	No	No	No
China Recycling Energy Corporation Common Stock		No	No	No	No	No	No
China SXT Pharmaceuticals Inc. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
China Xiangtai Food Co. Ltd. Ordinary Shares	Meat/Poultry/Fish	No	No	No	No	No	No
Chindata Group Holdings Limited American Depositary Shares	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Chinook Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ChipMOS TECHNOLOGIES INC. American Depositary Shares	Semiconductors	No	No	No	No	No	No
ChoiceOne Financial Services Inc. Common Stock	Major Banks	No	No	No	No	No	No
CHP Merger Corp. Class A Common Stock		No	No	No	No	No	No
CHP Merger Corp. Unit		No	No	No	No	No	No
CHP Merger Corp. Warrant		No	No	No	No	No	No
ChromaDex Corporation Common Stock	Specialty Chemicals	No	No	No	No	No	No
CHS Inc Class B Cumulative Redeemable Preferred Stock Series 4	Tobacco	No	No	No	No	No	No
CHS Inc Class B Reset Rate Cumulative Redeemable Preferred Stock Series 3	Tobacco	No	No	No	No	No	No
CHS Inc Preferred Class B Series 2 Reset Rate	Tobacco	No	No	No	No	No	No
CHS Inc. 8% Cumulative Redeemable Preferred Stock	Tobacco	No	No	No	No	No	No
CHS Inc. Class B Cumulative Redeemable Preferred Stock	Tobacco	No	No	No	No	No	No
Churchill Downs Incorporated Common Stock	Services-Misc. Amusement & Recreation	No	No	No	No	No	No
Chuy's Holdings Inc. Common Stock	Restaurants	Yes	Yes	Yes	Yes	Yes	No
CHW Acquisition Corporation Ordinary Share		No	No	No	No	No	No
CHW Acquisition Corporation Warrant		No	No	No	No	No	No
Cidara Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
CIIG Capital Partners II Inc. Class A Common Stock	Business Services	No	No	No	No	No	No
CIIG Capital Partners II Inc. Unit	Business Services	No	No	No	No	No	No
CIIG Capital Partners II Inc. Warrant	Business Services	No	No	No	No	No	No
CIM Commercial Trust Corporation Common stock	Real Estate Investment Trusts	No	Yes	No	Yes	Yes	No
CIM Commercial Trust Corporation Series L Preferred Stock	Real Estate Investment Trusts	No	Yes	No	Yes	Yes	No
Cimpress plc Ordinary Shares (Ireland)	Recreational Products/Toys	No	No	No	No	No	No
Cincinnati Bancorp Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
Cincinnati Financial Corporation Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Cinedigm Corp. Class A Common Stock	Movies/Entertainment	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Cintas Corporation Common Stock	Business Services	No	No	No	No	No	No
Cipher Mining Inc. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Cipher Mining Inc. Warrant	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Cirrus Logic Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	No	Yes
Cisco Systems Inc. Common Stock (DE)	Computer peripheral equipment	Yes	Yes	Yes	Yes	Yes	Yes
Citi Trends Inc. Common Stock		No	No	No	No	No	No
Citius Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Citius Pharmaceuticals Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Citizens & Northern Corp Common Stock	Major Banks	No	Yes	Yes	No	No	No
Citizens Community Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	Yes
Citizens Holding Company Common Stock	Major Banks	No	No	No	No	No	No
Citrix Systems Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	Yes	No
City Holding Company Common Stock	Major Banks	No	No	No	No	No	No
Civista Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Clarim Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Clarim Acquisition Corp. Unit		No	No	No	No	No	No
Clarim Acquisition Corp. Warrant		No	No	No	No	No	No
Clarus Corporation Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Clarus Therapeutics Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Clarus Therapeutics Holdings Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Clean Energy Fuels Corp. Common Stock	Natural Gas Distribution	No	No	No	No	No	No
CleanSpark Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
CleanTech Acquisition Corp. Common stock		No	No	No	No	No	No
CleanTech Acquisition Corp. Rights		No	No	No	No	No	No
CleanTech Acquisition Corp. Units		No	No	No	No	No	No
CleanTech Acquisition Corp. Warrant		No	No	No	No	No	No
Clearfield Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
ClearOne Inc. (DE) Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
ClearPoint Neuro Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Clearside Biomedical Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ClearSign Technologies Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Clene Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Clene Inc. Warrant	Specialty Chemicals	No	No	No	No	No	No
Clever Leaves Holdings Inc. Common Shares		No	Yes	Yes	Yes	Yes	Yes
Clever Leaves Holdings Inc. Warrant		No	Yes	Yes	Yes	Yes	Yes
Clover Health Investments Corp. Class A Common Stock	Medical Specialties	No	No	No	No	No	No
Clover Leaf Capital Corp. Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Clover Leaf Capital Corp. Rights		No	No	No	No	No	No
Clover Leaf Capital Corp. Unit		No	No	No	No	No	No
Clovis Oncology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
CLPS Incorporation Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
CM Life Sciences III Inc. Class A Common Stock		No	No	No	No	No	No
CM Life Sciences III Inc. Unit		No	No	No	No	No	No
CM Life Sciences III Inc. Warrant		No	No	No	No	No	No
CMC Materials Inc. Common Stock	Semiconductors	No	No	No	No	No	No
CME Group Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	No	Yes
CN Energy Group Inc. Ordinary Shares	Major Chemicals	No	No	No	No	No	No
CNB Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
CNB Financial Corporation Depositary Shares each representing a 1/40th ownership interest in a share of 7.125% Series A Fixed-Rate Non-Cumulative Perpetual Preferred Stock	Major Banks	No	No	No	No	No	No
CNS Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Co-Diagnostics Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Coastal Financial Corporation Common Stock	Commercial Banks	No	No	No	No	No	No
Coca-Cola Consolidated Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Coca-Cola Europacific Partners plc Ordinary Shares	Beverages (Production/Distribution)	No	No	No	No	No	No
Cocrystal Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Coda Octopus Group Inc. Common stock	Industrial Machinery/Components	No	No	No	No	No	No
Code Chain New Continent Limited Common Stock		No	No	No	No	No	No
Codex DNA Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Codexis Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Codiak BioSciences Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	No	Yes
Codorus Valley Bancorp Inc Common Stock	Savings Institutions	No	No	No	No	No	Yes
Coffee Holding Co. Inc. Common Stock	Specialty Foods	No	No	No	No	No	No
Cogent Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	No
Cogent Communications Holdings Inc.	Telecommunications Equipment	No	Yes	Yes	No	No	No
Cognex Corporation Common Stock	Industrial Machinery/Components	No	Yes	No	Yes	No	Yes
Cognition Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cognizant Technology Solutions Corporation Class A Common Stock	EDP Services	No	No	Yes	Yes	No	No
Cognyte Software Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
CohBar Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	Yes	Yes	No	Yes	No
Coherent Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Coherus BioSciences Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Cohu Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Coinbase Global Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Colicity Inc. Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Colicity Inc. Units		No	No	No	No	No	No
Colicity Inc. Warrant		No	No	No	No	No	No
Coliseum Acquisition Corp. Class A Ordinary Share		No	No	No	No	No	No
Coliseum Acquisition Corp. Unit		No	No	No	No	No	No
Coliseum Acquisition Corp. Warrant		No	No	No	No	No	No
Collegium Pharmaceutical Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	No
Colliers International Group Inc. Subordinate Voting Shares		No	No	No	No	No	No
CollPlant Biotechnologies Ltd Ordinary Shares	Industrial Specialties	No	No	No	No	No	No
Colony Bankcorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Color Star Technology Co. Ltd. Ordinary Shares		No	No	No	No	No	No
Columbia Banking System Inc. Common Stock	Major Banks	No	No	No	No	No	No
Columbia Financial Inc. Common Stock	Commercial Banks	No	No	No	No	No	Yes
Columbia Sportswear Company Common Stock	Apparel	No	No	No	No	No	No
Columbus McKinnon Corporation Common Stock	Construction Equipment/Trucks	No	Yes	No	No	No	Yes
Comcast Corporation Class A Common Stock	Television Services	No	Yes	Yes	Yes	No	No
Commerce Bancshares Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	No
Commercial Vehicle Group Inc. Common Stock	Auto Parts/O.E.M.	No	No	No	No	No	No
CommScope Holding Company Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	Yes	Yes	Yes	No	Yes
Communications Systems Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Community Bankers Trust Corporation Common Stock (VA)	Major Banks	No	Yes	Yes	No	Yes	No
Community Trust Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Community West Bancshares Common Stock	Major Banks	No	Yes	No	No	No	No
Commvault Systems Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	No	No
Compass Digital Acquisition Corp. Unit		No	No	No	No	No	No
COMPASS Pathways Plc American Depository Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Compass Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Compugen Ltd. Ordinary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Computer Programs and Systems Inc. Common Stock	EDP Services	No	No	No	No	No	Yes
Computer Task Group Inc. Common Stock	EDP Services	No	No	No	No	No	No
comScore Inc. Common Stock	Business Services	Yes	Yes	Yes	Yes	Yes	No
ComSovereign Holding Corp. 9.25% Series A Cumulative Redeemable Perpetual Preferred Stock	Telecommunications Equipment	No	No	No	No	No	No
ComSovereign Holding Corp. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
ComSovereign Holding Corp. Warrants	Telecommunications Equipment	No	No	No	No	No	No
Comstock Holding Companies Inc. Class A Common Stock	Building operators	No	No	No	No	No	No
Comtech Telecommunications Corp. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Concentrix Corporation Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Concert Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Concrete Pumping Holdings Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Conduent Incorporated Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	Yes	No
Confluent Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Conformis Inc. Common Stock	Medical/Dental Instruments	No	Yes	Yes	Yes	Yes	Yes
Conifer Holdings Inc. 6.75% Senior Unsecured Notes due 2023	Property-Casualty Insurers	No	No	No	No	No	No
Conifer Holdings Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Conn's Inc. Common Stock	Consumer Electronics/Video Chains	No	Yes	Yes	Yes	No	No
Connect Biopharma Holdings Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ConnectOne Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
ConnectOne Bancorp Inc. Depositary Shares Preferred Stock Series A	Major Banks	No	No	No	No	No	No
Consensus Cloud Solutions Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Consolidated Communications Holdings Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Consolidated Water Co. Ltd. Ordinary Shares	Water Supply	No	Yes	No	Yes	No	No
Construction Partners Inc. Class A Common Stock	Engineering & Construction	No	Yes	Yes	Yes	No	Yes
Consumer Portfolio Services Inc. Common Stock	Diversified Financial Services	No	No	No	No	No	No
Context Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ContextLogic Inc. Class A Common Stock	Recreational Products/Toys	No	Yes	Yes	Yes	Yes	Yes
ContraFect Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CONX Corp. Class A Common Stock		No	No	No	No	No	No
CONX Corp. Unit		No	No	No	No	No	No
CONX Corp. Warrant		No	No	No	No	No	No
Conyers Park III Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Conyers Park III Acquisition Corp. Warrants		No	No	No	No	No	No
Copart Inc. (DE) Common Stock	Business Services	No	Yes	Yes	No	No	No
Corazon Capital V838 Monoceros Corp Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Corazon Capital V838 Monoceros Corp Unit	Business Services	No	No	No	No	No	No
Corbus Pharmaceuticals Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Corcept Therapeutics Incorporated Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CorMedix Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Corner Growth Acquisition Corp. 2 Class A Ordinary Share	Business Services	No	No	No	No	No	No
Corner Growth Acquisition Corp. 2 Warrants	Business Services	No	No	No	No	No	No
Corner Growth Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Corner Growth Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Corner Growth Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Corsair Gaming Inc. Common Stock	Computer Manufacturing	No	No	No	No	No	No
Cortextyme Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
CorVel Corp. Common Stock	Specialty Insurers	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Corvus Gold Inc. Common Shares		No	No	No	No	No	No
Corvus Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CoStar Group Inc. Common Stock	Real Estate	No	No	No	No	No	No
Costco Wholesale Corporation Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
Couchbase Inc. Common Stock	EDP Services	No	No	No	No	No	No
County Bancorp Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
Coupa Software Incorporated Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
COVA Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
COVA Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
COVA Acquisition Corp. Warrants to purchase Class A ordinary shares	Business Services	No	No	No	No	No	No
Covenant Logistics Group Inc. Class A Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Covetrus Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Cowen Inc. 7.75% Senior Notes due 2033	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	Yes	Yes
Cowen Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	Yes	Yes
CPI Card Group Inc. Common Stock	Finance Companies	No	No	No	No	No	No
CPS Technologies Corp. Common Stock		No	No	No	No	No	No
CRA International Inc. Common Stock	Other Consumer Services	No	No	No	No	No	No
Cracker Barrel Old Country Store Inc Common Stock	Restaurants	No	Yes	Yes	Yes	No	Yes
Creatd Inc. Common Stock	Publishing	No	No	No	No	No	No
Creatd Inc. Warrant	Publishing	No	No	No	No	No	No
Creative Realities Inc. Common Stock	EDP Services	No	No	No	No	No	No
Creative Realities Inc. Warrant	EDP Services	No	No	No	No	No	No
Credit Acceptance Corporation Common Stock	Finance: Consumer Services	No	No	No	No	No	No
Crescent Capital BDC Inc. Common stock		No	No	No	No	No	No
Cresud S.A.C.I.F. y A. American Depositary Shares	Real Estate	No	No	No	No	No	No
Cresud S.A.C.I.F. y A. Warrant	Real Estate	No	No	No	No	No	No
Crexendo Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Cricut Inc. Class A Common Stock	Wholesale Distributors	No	No	No	No	No	No
Crinetix Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CRISPR Therapeutics AG Common Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Criteo S.A. American Depositary Shares	Advertising	No	No	No	No	No	No
Crixus BH3 Acquisition Company Units		No	No	No	No	No	No
Crocs Inc. Common Stock	Shoe Manufacturing	No	No	No	No	No	No
Cronos Group Inc. Common Share		Yes	Yes	Yes	Yes	Yes	Yes
Cross Country Healthcare Inc. Common Stock \$0.0001 Par Value	Diversified Commercial Services	No	No	No	No	No	No
CrossFirst Bankshares Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
CrowdStrike Holdings Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Crown Crafts Inc. Common Stock		No	No	No	No	No	No
Crown Electrokinetics Corp. Common Stock		No	No	No	No	No	No
Cryo-Cell International Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
CryoPort Inc. Common Stock		No	Yes	Yes	Yes	Yes	Yes
CSG Systems International Inc. Common Stock	EDP Services	No	No	No	No	No	No
CSI Compressco LP Common Units	Oilfield Services/Equipment	No	No	No	No	No	No
CSP Inc. Common Stock	EDP Services	No	No	No	No	No	No
CSW Industrials Inc. Common Stock	Home Furnishings	No	Yes	Yes	Yes	No	Yes
CSX Corporation Common Stock	Railroads	No	No	No	No	No	No
CTI BioPharma Corp. (DE) Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
Cue Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cue Health Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Cuentas Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Cuentas Inc. Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
Cullinan Oncology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cullman Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Cumberland Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cumulus Media Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
CureVac N.V. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CuriosityStream Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
CuriosityStream Inc. Warrant	Broadcasting	No	No	No	No	No	No
Curis Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Cutera Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
CVB Financial Corporation Common Stock	Major Banks	No	Yes	No	No	No	No
CVD Equipment Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
CVRx Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Cyanotech Corporation Common Stock	Farming/Seeds/Milling	No	Yes	No	Yes	No	Yes
CyberArk Software Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
CyberOptics Corporation Common Stock	Electronic Components	No	No	No	No	No	No
Cyclacel Pharmaceuticals Inc. 6% Convertible Preferred Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cyclacel Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cyclerion Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Cyclo Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cyclo Therapeutics Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CymaBay Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cyngn Inc. Common Stock	EDP Services	No	No	No	No	No	No
CYREN Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	Yes	Yes	No	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
CyrusOne Inc Common Stock	Real Estate Investment Trusts	No	Yes	Yes	Yes	No	No
Cyteir Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Cytek Biosciences Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Cytokinetics Incorporated Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
CytomX Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Cytosorbents Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Cytxera Technologies Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Cytxera Technologies Inc. Warrant	EDP Services	No	No	No	No	No	No
D-Market Electronic Services & Trading American Depositary Shares		No	No	No	No	No	No
DA32 Life Science Tech Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Dada Nexus Limited American Depositary Shares	Department/Specialty Retail Stores	No	No	No	No	No	No
Daily Journal Corp. (S.C.) Common Stock	Newspapers/Magazines	No	No	No	No	No	No
Daktronics Inc. Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	Yes
DallasNews Corporation Series A Common Stock	Newspapers/Magazines	No	No	No	No	No	No
Dare Bioscience Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
DarioHealth Corp. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Daseke Inc. Common Stock		No	No	No	No	No	No
Daseke Inc. Warrant		No	No	No	No	No	No
Data I/O Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Data Knights Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Data Knights Acquisition Corp. Unit		No	No	No	No	No	No
Data Knights Acquisition Corp. Warrant		No	No	No	No	No	No
Data Storage Corporation Common Stock	EDP Services	No	No	No	No	No	No
Data Storage Corporation Warrant	EDP Services	No	No	No	No	No	No
Datadog Inc. Class A Common Stock	EDP Services	No	Yes	Yes	Yes	Yes	No
Datasea Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
DatChat Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
DatChat Inc. Series A Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
Dave & Buster's Entertainment Inc. Common Stock	Movies/Entertainment	No	No	No	No	No	No
DAVIDsTEA Inc. Common Stock		No	No	No	No	No	No
Dawson Geophysical Company Common Stock	Oil & Gas Production	No	No	No	No	No	No
Day One Biopharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
DBV Technologies S.A. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	No
DD3 Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
DD3 Acquisition Corp. II Unit		No	No	No	No	No	No
DD3 Acquisition Corp. II Warrant		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation II Class A Common stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Decarbonization Plus Acquisition Corporation II Unit		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation II Warrant		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation III Class A Common Stock		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation III Unit		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation III Warrant		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation IV Class A Ordinary Share		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation IV Unit		No	No	No	No	No	No
Decarbonization Plus Acquisition Corporation IV Warrant		No	No	No	No	No	No
Decibel Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Deciphera Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Deep Lake Capital Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Deep Lake Capital Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Deep Lake Capital Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Deep Medicine Acquisition Corp. Unit		No	No	No	No	No	No
Definitive Healthcare Corp. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Del Taco Restaurants Inc. Common Stock	Restaurants	No	No	No	No	No	No
Delcath Systems Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Denali Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Denny's Corporation Common Stock	Restaurants	No	Yes	Yes	No	Yes	No
DENTSPLY SIRONA Inc. Common Stock	Medical/Dental Instruments	No	Yes	Yes	Yes	No	No
Dermata Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Dermata Therapeutics Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
DermTech Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Descartes Systems Group Inc. (The) Common Stock		No	No	No	No	No	No
Design Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Destination XL Group Inc. Common Stock	Clothing/Shoe/Accessory Stores	No	No	No	No	No	No
Deswell Industries Inc. Common Shares	Plastic Products	No	No	No	No	No	No
DexCom Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
DFP Healthcare Acquisitions Corp. Class A Common Stock	Major Banks	No	No	No	No	No	No
DFP Healthcare Acquisitions Corp. Unit	Major Banks	No	No	No	No	No	No
DFP Healthcare Acquisitions Corp. Warrant	Major Banks	No	No	No	No	No	No
DHB Capital Corp. Class A common stock		No	No	No	No	No	No
DHB Capital Corp. Unit		No	No	No	No	No	No
DHB Capital Corp. Warrant		No	No	No	No	No	No
DHC Acquisition Corp. Class A ordinary share	Business Services	No	No	No	No	No	No
DHC Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
DHC Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
DiaMedica Therapeutics Inc. Common Stock		No	No	No	No	No	No
Diamond Hill Investment Group Inc. Class A Common Stock	Investment Managers	No	No	No	No	No	No
Diamondback Energy Inc. Common Stock	Oil & Gas Production	No	Yes	Yes	Yes	No	Yes
DiamondHead Holdings Corp. Class A Common Stock		No	No	No	No	No	No
DiamondHead Holdings Corp. Unit		No	No	No	No	No	No
DiamondHead Holdings Corp. Warrant		No	No	No	No	No	No
DICE Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Dicerna Pharmaceuticals Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	Yes
Diffusion Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Digi International Inc. Common Stock	Computer peripheral equipment	No	No	No	No	No	No
Digimarc Corporation Common Stock	EDP Services	Yes	Yes	Yes	No	Yes	Yes
Digital Ally Inc. Common Stock	Diversified Manufacture	No	No	No	No	No	No
Digital Brands Group Inc. Common Stock	Apparel	No	No	No	No	No	No
Digital Brands Group Inc. Warrant	Apparel	No	No	No	No	No	No
Digital Health Acquisition Corp. Unit		No	No	No	No	No	No
Digital Transformation Opportunities Corp. Class A Common Stock		No	No	No	No	No	No
Digital Transformation Opportunities Corp. Units		No	No	No	No	No	No
Digital Transformation Opportunities Corp. Warrant		No	No	No	No	No	No
Digital Turbine Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Digital World Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Digital World Acquisition Corp. Units		No	No	No	No	No	No
Digital World Acquisition Corp. Warrants		No	No	No	No	No	No
DILA Capital Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
DILA Capital Acquisition Corp. Warrant		No	No	No	No	No	No
Dime Community Bancshares Inc. Common Stock	Savings Institutions	No	Yes	Yes	Yes	No	No
Dime Community Bancshares Inc. Fixed-Rate Non-Cumulative Perpetual Preferred Stock Series A	Savings Institutions	No	Yes	Yes	Yes	No	No
Diodes Incorporated Common Stock	Semiconductors	No	No	No	No	No	No
DIRTT Environmental Solutions Ltd. Common Shares		No	No	No	No	No	No
Discovery Inc. Series A Common Stock	Television Services	No	No	No	No	No	No
Discovery Inc. Series B Common Stock	Television Services	No	No	No	No	No	No
Discovery Inc. Series C Common Stock	Television Services	No	No	No	No	No	No
DISH Network Corporation Class A Common Stock	Television Services	No	No	No	No	No	No
Disruptive Acquisition Corporation I Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Disruptive Acquisition Corporation I Unit	Business Services	No	No	No	No	No	No
Disruptive Acquisition Corporation I Warrant	Business Services	No	No	No	No	No	No
Diversey Holdings Ltd. Ordinary Shares	Specialty Chemicals	No	No	No	No	No	No
Diversified Healthcare Trust 5.625% Senior Notes due 2042	Real Estate Investment Trusts	Yes	Yes	Yes	Yes	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Diversified Healthcare Trust 6.25% Senior Notes Due 2046	Real Estate Investment Trusts	Yes	Yes	Yes	Yes	Yes	No
Diversified Healthcare Trust Common Shares of Beneficial Interest	Real Estate Investment Trusts	Yes	Yes	Yes	Yes	Yes	No
Dixie Group Inc. (The) Common Stock	Home Furnishings	No	No	No	No	No	No
DLH Holdings Corp.	Business Services	No	No	No	No	No	No
DLocal Limited Class A Common Shares		No	No	No	No	No	No
DMC Global Inc. Common Stock		No	No	No	No	No	No
Docebo Inc. Common Shares		No	No	No	No	No	No
DocGo Inc. Common Stock		No	No	No	No	No	No
DocGo Inc. Warrants		No	No	No	No	No	No
DocuSign Inc. Common Stock	EDP Services	No	No	No	No	No	Yes
Dogness (International) Corporation Class A Common Stock	Miscellaneous manufacturing industries	No	No	No	No	No	No
Dollar Tree Inc. Common Stock	Department/Specialty Retail Stores	No	Yes	No	No	No	No
Dolphin Entertainment Inc. Common Stock		No	No	No	No	No	No
Domo Inc. Class B Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Donegal Group Inc. Class A Common Stock	Property-Casualty Insurers	No	Yes	No	Yes	Yes	No
Donegal Group Inc. Class B Common Stock	Property-Casualty Insurers	No	Yes	No	Yes	Yes	No
Dorchester Minerals L.P. Common Units Representing Limited Partnership Interests	Oil & Gas Production	No	No	No	No	No	No
Dorman Products Inc. Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
DoubleDown Interactive Co. Ltd. American Depositary Shares	EDP Services	No	No	No	No	No	No
DouYu International Holdings Limited ADS	Computer Software: Programming Data Processing	No	No	No	No	No	No
DP Cap Acquisition Corp I		No	No	No	No	No	No
DraftKings Inc. Class A Common Stock	Services-Misc. Amusement & Recreation	No	No	No	No	No	No
Draganfly Inc. Common Shares	Electronic Components	No	No	No	No	No	No
Dragon Victory International Limited Ordinary Shares	Finance/Investors Services	No	No	No	No	No	No
Dragoneer Growth Opportunities Corp. II Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Dragoneer Growth Opportunities Corp. III Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Dream Finders Homes Inc. Class A Common Stock		No	No	No	No	No	No
Driven Brands Holdings Inc. Common Stock		No	No	No	No	No	No
Dropbox Inc. Class A Common Stock	Computer Software: Prepackaged Software	Yes	Yes	Yes	Yes	No	No
DSP Group Inc. Common Stock	Semiconductors	No	Yes	No	Yes	Yes	No
DTRT Health Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
DTRT Health Acquisition Corp. Unit		No	No	No	No	No	No
DTRT Health Acquisition Corp. Warrant		No	No	No	No	No	No
Duck Creek Technologies Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Duddell Street Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Duddell Street Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Duddell Street Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Duluth Holdings Inc. Class B Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
Dune Acquisition Corporation Class A Common Stock		No	No	No	No	No	No
Dune Acquisition Corporation Unit		No	No	No	No	No	No
Dune Acquisition Corporation Warrant		No	No	No	No	No	No
Duolingo Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Duos Technologies Group Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
DURECT Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
DXP Enterprises Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Dyadic International Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Dynamics Special Purpose Corp. Class A Common Stock		No	No	No	No	No	No
Dynatronics Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Dynavax Technologies Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Dyne Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
DZS Inc. Common Stock	Telecommunications Equipment	Yes	Yes	Yes	No	Yes	Yes
E-Home Household Service Holdings Limited Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
EMerge Technology Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
EMerge Technology Acquisition Corp. Unit		No	No	No	No	No	No
EMerge Technology Acquisition Corp. Warrant		No	No	No	No	No	No
E.W. Scripps Company (The) Class A Common Stock	Broadcasting	No	Yes	No	No	No	Yes
Eagle Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Eagle Bancorp Montana Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Eagle Bulk Shipping Inc. Common Stock	Marine Transportation	No	No	No	No	No	No
Eagle Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Eargo Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
East Resources Acquisition Company Class A Common Stock		No	No	No	No	No	No
East Resources Acquisition Company Unit		No	No	No	No	No	No
East Resources Acquisition Company Warrant		No	No	No	No	No	No
East Stone Acquisition Corporation Ordinary Shares	Business Services	No	No	No	No	No	No
East Stone Acquisition Corporation Right	Business Services	No	No	No	No	No	No
East Stone Acquisition Corporation Warrant	Computer Software: Programming Data Processing	No	No	No	No	No	No
East West Bancorp Inc. Common Stock	Commercial Banks	No	Yes	Yes	Yes	Yes	No
Eastern Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Eastern Company (The) Common Stock	Industrial Specialties	No	No	No	No	No	No
Eastside Distilling Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Ebang International Holdings Inc. Class A Ordinary Shares	Semiconductors	No	No	No	No	No	No
eBay Inc. Common Stock	Catalog/Specialty Distribution	No	Yes	No	No	No	No
Ebix Inc. Common Stock	EDP Services	No	Yes	Yes	No	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Echo Global Logistics Inc. Common Stock	Oil Refining/Marketing	No	No	No	No	No	No
EchoStar Corporation Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
ECMOHO Limited American Depositary Shares	Catalog/Specialty Distribution	No	No	No	No	No	No
Eco Wave Power Global AB (publ) American Depositary Shares		No	No	No	No	No	No
Ecoark Holdings Inc. Common Stock	Oil & Gas Production	No	No	No	No	No	No
ECP Environmental Growth Opportunities Corp. Class A Common Stock		No	No	No	No	No	No
ECP Environmental Growth Opportunities Corp. Unit		No	No	No	No	No	No
ECP Environmental Growth Opportunities Corp. Warrants		No	No	No	No	No	No
EDAP TMS S.A. American Depositary Shares	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Edesa Biotech Inc. Common Shares		No	No	No	No	No	No
Edgewise Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Edify Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Edify Acquisition Corp. Warrant		No	No	No	No	No	No
Editas Medicine Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Edoc Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Edoc Acquisition Corp. Right	Business Services	No	No	No	No	No	No
Edoc Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
EdtechX Holdings Acquisition Corp. II Class A common stock		No	No	No	No	No	No
EdtechX Holdings Acquisition Corp. II Unit		No	No	No	No	No	No
EdtechX Holdings Acquisition Corp. II Warrant		No	No	No	No	No	No
Educational Development Corporation Common Stock	Books	No	No	No	No	No	No
eFFECTOR Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
eFFECTOR Therapeutics Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
eGain Corporation Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
EHang Holdings Limited ADS	Air Freight/Delivery Services	No	No	No	No	No	No
eHealth Inc. Common Stock	Specialty Insurers	No	Yes	Yes	No	No	No
Eiger BioPharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	Yes
EJF Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
EJF Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
EJF Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Ekso Bionics Holdings Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
El Pollo Loco Holdings Inc. Common Stock	Restaurants	No	No	No	No	No	No
Elbit Systems Ltd. Ordinary Shares	Military/Government/Technical	No	No	No	No	No	No
Electrameccanica Vehicles Corp. Ltd. Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Electrameccanica Vehicles Corp. Ltd. Warrants	Auto Parts:O.E.M.	No	No	No	No	No	No
Electric Last Mile Solutions Inc. Class A Common stock	Auto Manufacturing	No	No	No	No	No	No
Electric Last Mile Solutions Inc. Warrant	Auto Manufacturing	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Electro-Sensors Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
electroCore Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Electronic Arts Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	No	Yes	Yes	No
Eledon Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Elevation Oncology Inc. Common stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Eliem Therapeutics Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Elite Education Group International Ltd. Common Stock	Other Consumer Services	No	No	No	No	No	No
Elmira Savings Bank Elmira NY Common Stock	Major Banks	No	No	No	No	No	No
Eloxx Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Eltek Ltd. Ordinary Shares	Electrical Products	No	No	No	No	No	No
Elys Game Technology Corp. Common Stock	Movies/Entertainment	No	No	No	No	No	No
Emclair Financial Corp Common Stock	Major Banks	No	No	No	No	No	No
EMCORE Corporation Common Stock	Semiconductors	No	No	No	No	No	No
Enact Holdings Inc. Common Stock	Specialty Insurers	No	No	No	No	No	No
Enanta Pharmaceuticals Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Encore Capital Group Inc Common Stock		No	No	No	No	No	No
Encore Wire Corporation Common Stock	Metal Fabrications	No	No	No	No	No	No
Endo International plc Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
ENDRA Life Sciences Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
ENDRA Life Sciences Inc. Warrants	Medical Specialties	No	No	No	No	No	No
Endurance Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
Endurance Acquisition Corp. Unit		No	No	No	No	No	No
Endurance Acquisition Corp. Warrant		No	No	No	No	No	No
Energous Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Energy Focus Inc. Common Stock	Building Products	No	No	No	No	No	No
Energy Recovery Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	No	No	No
Engine Gaming and Media Inc. Common Stock		No	No	No	No	No	No
ENGlobal Corporation Common Stock	Military/Government/Technical	No	No	No	No	No	No
Enjoy Technology Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Enjoy Technology Inc. Warrant	Diversified Commercial Services	No	No	No	No	No	No
Enliven Therapeutics Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Enochian Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Enovix Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Enovix Corporation Warrant	Industrial Machinery/Components	No	No	No	No	No	No
Enphase Energy Inc. Common Stock	Electrical Products	No	Yes	Yes	No	No	Yes
Enstar Group Limited Depositary Shares Each Representing 1/100th of an interest in Preference Shares	Property-Casualty Insurers	Yes	Yes	No	Yes	Yes	Yes
Enstar Group Limited Depositary Shares 7.00% Perpetual Non-Cumulative Preference Shares Series E	Property-Casualty Insurers	Yes	Yes	No	Yes	Yes	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Enstar Group Limited Ordinary Shares	Property-Casualty Insurers	Yes	Yes	No	Yes	Yes	Yes
Ensysce Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Entasis Therapeutics Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Entegris Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	Yes	No
Entera Bio Ltd. Ordinary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Entera Bio Ltd. Warrant	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Enterprise 4.0 Technology Acquisition Corp. Unit		No	No	No	No	No	No
Enterprise Bancorp Inc Common Stock	Major Banks	No	No	No	No	No	No
Enterprise Financial Services Corporation Common Stock	Major Banks	No	No	No	No	No	No
Enthusiast Gaming Holdings Inc. Common Stock		No	No	No	No	No	No
Entrada Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Enveric Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Environmental Impact Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Environmental Impact Acquisition Corp. Unit		No	No	No	No	No	No
Environmental Impact Acquisition Corp. Warrant		No	No	No	No	No	No
enVveno Medical Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
enVveno Medical Corporation Warrants	Medical/Dental Instruments	No	No	No	No	No	No
Eos Energy Enterprises Inc. Class A Common Stock	Electronic Components	No	Yes	Yes	No	No	Yes
Eos Energy Enterprises Inc. Warrant	Electronic Components	No	Yes	Yes	No	No	Yes
Epiphany Technology Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Epiphany Technology Acquisition Corp. Unit		No	No	No	No	No	No
Epiphany Technology Acquisition Corp. Warrant		No	No	No	No	No	No
Epizyme Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ePlus inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Epsilon Energy Ltd. Common Share		No	No	No	No	No	No
EQONEX LIMITED Ordinary Shares	Business Services	No	No	No	No	No	No
Equillum Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Equinix Inc. Common Stock REIT	Real Estate Investment Trusts	No	Yes	No	No	No	No
Equity Bancshares Inc. Class A Common Stock	Finance: Consumer Services	No	Yes	Yes	Yes	No	Yes
Erasca Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ericsson American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Erie Indemnity Company Class A Common Stock	Specialty Insurers	No	No	No	No	No	No
Erytech Pharma S.A. American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Escalade Incorporated Common Stock	Recreational Products/Toys	No	Yes	Yes	Yes	No	Yes
ESGEN Acquisition Corporation Unit		No	No	No	No	No	No
Esperion Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	Yes	No
Esports Entertainment Group Inc. Common Stock	Movies/Entertainment	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Esports Entertainment Group Inc. Warrant	Movies/Entertainment	No	No	No	No	No	No
Esports Technologies Inc. Common Stock	Services-Misc. Amusement & Recreation	No	No	No	No	No	No
Esquire Financial Holdings Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
ESSA Bancorp Inc. Common Stock	Banks	No	No	No	No	No	No
ESSA Pharma Inc. Common Stock		No	Yes	No	Yes	No	No
Establishment Labs Holdings Inc. Common Shares	Industrial Specialties	No	No	No	No	No	No
Eton Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Etsy Inc. Common Stock	Catalog/Specialty Distribution	No	Yes	Yes	Yes	No	Yes
Eucrates Biomedical Acquisition Corp. Ordinary Shares	Business Services	No	No	No	No	No	No
Eucrates Biomedical Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Eucrates Biomedical Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Euro Tech Holdings Company Limited Common Stock	Diversified Electronic Products	No	No	No	No	No	No
EuroDry Ltd. Common Shares	Marine Transportation	No	No	No	No	No	No
Euronet Worldwide Inc. Common Stock	EDP Services	No	No	No	No	No	No
European Biotech Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
European Biotech Acquisition Corp. Units	Business Services	No	No	No	No	No	No
European Biotech Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
European Sustainable Growth Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
European Sustainable Growth Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
European Sustainable Growth Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
European Wax Center Inc. Class A Common Stock	Package Goods/Cosmetics	No	No	No	No	No	No
Euroseas Ltd. Common Stock (Marshall Islands)	Marine Transportation	No	No	No	No	No	No
Evaxion Biotech A/S American Depositary Share	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Evelo Biosciences Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Ever-Glory International Group Inc. Common Stock	Apparel	No	No	No	No	No	No
Everbridge Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	Yes	No	No	Yes
EverCommerce Inc. Common Stock	EDP Services	No	No	No	No	No	No
EverQuote Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Everspin Technologies Inc. Common Stock	Electronic Components	No	No	No	No	No	No
EVgo Inc. Class A Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
EVgo Inc. Warrants	Catalog/Specialty Distribution	No	No	No	No	No	No
Evo Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Evo Acquisition Corp. Unit		No	No	No	No	No	No
Evo Acquisition Corp. Warrant		No	No	No	No	No	No
EVO Payments Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Evoform Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Evogene Ltd Ordinary Shares	Agricultural Chemicals	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Evoke Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Evolus Inc. Common Stock	Specialty Chemicals	No	Yes	No	Yes	No	Yes
Evolv Technologies Holdings Inc. Class A Common Stock	Diversified Manufacture	No	No	No	No	No	No
Evolv Technologies Holdings Inc. Warrant	Diversified Manufacture	No	No	No	No	No	No
Evolving Systems Inc. Common Stock	EDP Services	No	No	No	No	No	No
Evotec SE American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Exact Sciences Corporation Common Stock	Biotechnology: Commercial Physical & Biological Research	No	Yes	Yes	Yes	No	Yes
Exagen Inc. Common Stock	Medical Specialties	No	Yes	Yes	No	No	Yes
ExcelFin Acquisition Corp Unit		No	No	No	No	No	No
Exela Technologies Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Exelixis Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	Yes	Yes	No	No	No
Exelon Corporation Common Stock	Power Generation	No	Yes	Yes	Yes	Yes	Yes
Excicure Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
ExtService Holdings Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	Yes	Yes	No	Yes
eXp World Holdings Inc. Common Stock	Real Estate	No	No	No	No	No	No
Expedia Group Inc. Common Stock	Other Consumer Services	No	Yes	Yes	No	Yes	No
Expeditors International of Washington Inc. Common Stock	Oil Refining/Marketing	No	Yes	Yes	Yes	No	No
Exponent Inc. Common Stock	Professional Services	No	No	No	No	No	No
Exscientia Plc American Depositary Shares		No	No	No	No	No	No
Extreme Networks Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Eyenovia Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
EyePoint Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
EZCORP Inc. Class A Non Voting Common Stock	Diversified Commercial Services	No	No	No	No	No	No
EzFill Holdings Inc. Common Stock	EDP Services	No	No	No	No	No	No
EZGO Technologies Ltd. Ordinary Shares	Motor Vehicles	No	No	No	No	No	No
F-star Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
F5 Networks Inc. Common Stock	EDP Services	No	No	No	No	No	No
Falcon Minerals Corporation Class A Common Stock	Oil & Gas Production	No	No	No	No	No	No
Falcon Minerals Corporation Warrant	Oil & Gas Production	No	No	No	No	No	No
Fangdd Network Group Ltd. American Depositary Shares	Computer Software: Programming Data Processing	No	No	No	No	No	No
Fanhua Inc. American Depositary Shares	Specialty Insurers	No	No	No	No	No	No
Faraday Future Intelligent Electric Inc. Common Stock	Auto Manufacturing	No	No	No	No	No	No
Faraday Future Intelligent Electric Inc. Warrant	Auto Manufacturing	No	No	No	No	No	No
Farmer Brothers Company Common Stock	Specialty Foods	No	No	No	No	No	No
Farmers & Merchants Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Farmers National Banc Corp. Common Stock	Major Banks	No	No	No	No	No	No
Farmmi Inc. Ordinary Shares	Packaged Foods	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
FARO Technologies Inc. Common Stock	Industrial Machinery/Components	No	Yes	No	Yes	No	No
Fastenal Company Common Stock		No	No	No	No	No	No
FAT Brands Inc. 8.25% Series B Cumulative Preferred Stock	Restaurants	No	No	No	No	No	No
FAT Brands Inc. Class A Common Stock	Restaurants	No	No	No	No	No	No
FAT Brands Inc. Class B Common Stock	Restaurants	No	No	No	No	No	No
FAT Brands Inc. Warrant	Restaurants	No	No	No	No	No	No
Fat Projects Acquisition Corp Unit		No	No	No	No	No	No
Fate Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Fathom Holdings Inc. Common Stock	Real Estate	No	No	No	No	No	No
FedNat Holding Company Common Stock	Property-Casualty Insurers	No	Yes	Yes	No	No	No
Femasys Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Fennec Pharmaceuticals Inc. Common Stock		No	No	No	No	No	No
Ferroglobe PLC Ordinary Shares	Precious Metals	No	No	No	No	No	No
FFBW Inc. Common Stock (MD)	Major Banks	No	No	No	No	No	No
FG Financial Group Inc. 8.00% Cumulative Preferred Stock	Property-Casualty Insurers	No	No	No	No	No	No
FG Financial Group Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
FibroGen Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Fidelity D & D Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Fidus Investment Corporation Common Stock	Investment Managers	No	Yes	No	Yes	Yes	Yes
Field Trip Health Ltd. Common Shares		No	No	No	No	No	No
Fiesta Restaurant Group Inc. Common Stock	Restaurants	No	No	No	No	No	No
Fifth Third Bancorp Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
Fifth Third Bancorp Depositary Shares	Major Banks	No	Yes	Yes	Yes	No	Yes
Fifth Third Bancorp Depositary Shares Series A	Major Banks	No	Yes	Yes	Yes	No	Yes
Fifth Third Bancorp Depositary Shares Series K	Major Banks	No	Yes	Yes	Yes	No	Yes
Fifth Wall Acquisition Corp. III Class A Ordinary Shares		No	No	No	No	No	No
Financial Institutions Inc. Common Stock	Major Banks	Yes	Yes	Yes	Yes		Yes
Finch Therapeutics Group Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Finnovate Acquisition Corp. Units		No	No	No	No	No	No
FinServ Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
FinServ Acquisition Corp. II Unit		No	No	No	No	No	No
FinServ Acquisition Corp. II Warrant		No	No	No	No	No	No
FinTech Acquisition Corp. V Class A Common Stock		No	No	No	No	No	No
FinTech Acquisition Corp. V Unit		No	No	No	No	No	No
FinTech Acquisition Corp. V Warrant		No	No	No	No	No	No
FinTech Acquisition Corp. VI Class A Common Stock		No	No	No	No	No	No
FinTech Acquisition Corp. VI Units		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
FinTech Acquisition Corp. VI Warrants		No	No	No	No	No	No
Fintech Ecosystem Development Corp. Units		No	No	No	No	No	No
Finward Bancorp Common Stock	Savings Institutions	No	Yes	Yes	No	Yes	Yes
First Advantage Corporation Common Stock	Business Services	No	No	No	No	No	No
First Bancorp Common Stock	Major Banks	No	No	No	No	No	No
First Bancorp Inc (ME) Common Stock	Major Banks	No	No	No	No	No	No
First Bancshares Inc.	Major Banks	No	No	No	No	No	Yes
First Bank Common Stock	Major Banks	No	No	No	No	No	No
First Busey Corporation Class A Common Stock	Major Banks	No	Yes	Yes	No	No	No
First Business Financial Services Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	Yes
First Capital Inc. Common Stock	Savings Institutions	No	No	No	No	No	Yes
First Citizens BancShares Inc. Class A Common Stock	Major Banks	No	No	No	No	No	No
First Citizens BancShares Inc. Depositary Shares	Major Banks	No	No	No	No	No	No
First Community Bankshares Inc. (VA) Common Stock	Major Banks	No	No	No	No	No	No
First Community Corporation Common Stock	Major Banks	No	No	No	No	No	No
First Eagle Alternative Capital BDC Inc. Common Stock	Finance/Investors Services	No	No	No	No	No	No
First Financial Bancorp. Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	No
First Financial Bankshares Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
First Financial Corporation Indiana Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
First Financial Northwest Inc. Common Stock	Banks	No	No	No	No	No	No
First Foundation Inc. Common Stock	Major Banks	No	No	No	No	No	No
First Guaranty Bancshares Inc. 6.75% Series A	Major Banks	No	No	No	No	No	No
First Guaranty Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
First Hawaiian Inc. Common Stock	Major Banks	No	Yes	Yes	No	No	No
First Internet Bancorp 6.0% Fixed-to-Floating Rate Subordinated Notes Due 2029	Major Banks	No	No	No	No	No	No
First Internet Bancorp Common Stock	Major Banks	No	No	No	No	No	No
First Interstate BancSystem Inc. Class A Common Stock	Major Banks	No	No	No	No	No	No
First Merchants Corporation Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	No
First Mid Bancshares Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
First Midwest Bancorp Inc. Common Stock	Major Banks	No	Yes	No	No	No	No
First Midwest Bancorp Inc. Depositary Shares Preferred Stock Series A	Major Banks	No	Yes	No	No	No	No
First Midwest Bancorp Inc. Depositary Shares Preferred Stock Series C	Major Banks	No	Yes	No	No	No	No
First National Corporation Common Stock	Major Banks	No	No	No	No	No	No
First Northwest Bancorp Common Stock	Banks	No	Yes	No	Yes	No	No
First of Long Island Corporation (The) Common Stock	Major Banks	No	No	No	No	No	No
First Reserve Sustainable Growth Corp. Class A Common Stock		No	No	No	No	No	No
First Reserve Sustainable Growth Corp. Unit		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
First Reserve Sustainable Growth Corp. Warrant		No	No	No	No	No	No
First Savings Financial Group Inc. Common Stock	Major Banks	No	No	No	No	No	No
First Seacoast Bancorp Common Stock	Commercial Banks	No	No	No	No	No	No
First Solar Inc. Common Stock	Semiconductors	Yes	Yes	Yes	Yes	No	No
First United Corporation Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
First US Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
First Watch Restaurant Group Inc. Common Stock	Restaurants	No	No	No	No	No	No
First Wave BioPharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
First Western Financial Inc. Common Stock	Major Banks	No	No	No	No	No	No
FirstCash Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Firsthand Technology Value Fund Inc. Common Stock	Finance/Investors Services	No	No	No	No	No	No
FirstService Corporation Common Shares		No	No	No	No	No	No
Fiserv Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	No	No
Five Below Inc. Common Stock	Department/Specialty Retail Stores	No	Yes	Yes	Yes	No	No
Five Star Bancorp Common Stock	Banks	No	No	No	No	No	No
Five Star Senior Living Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Fiveg Inc. Common Stock	EDP Services	Yes	Yes	Yes	Yes	No	Yes
Flex Ltd. Ordinary Shares	Electrical Products	No	Yes	Yes	Yes	No	No
Flexion Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
FlexShopper Inc. Common Stock	Consumer Electronics/Video Chains	No	Yes	Yes	Yes	No	No
Flexsteel Industries Inc. Common Stock	Home Furnishings	No	No	No	No	No	No
Flora Growth Corp. Common Stock		No	No	No	No	No	No
Fluence Energy Inc. Class A Common Stock		No	No	No	No	No	No
Fluent Inc. Common Stock	EDP Services	No	No	No	No	No	No
Fluidigm Corporation Common Stock	Biotechnology: Laboratory Analytical Instruments	No	Yes	Yes	Yes	Yes	No
Flushing Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
Flux Power Holdings Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Flywire Corporation Voting Common Stock	EDP Services	No	No	No	No	No	No
FNCB Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Focus Financial Partners Inc. Class A Common Stock	Investment Managers	No	No	No	No	No	No
Focus Impact Acquisition Corp. Unit		No	No	No	No	No	No
Focus Universal Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Foghorn Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Fonar Corporation Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Foresight Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Foresight Acquisition Corp. Units		No	No	No	No	No	No
Foresight Acquisition Corp. Warrant		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Foresight Autonomous Holdings Ltd. American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Forian Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Forma Therapeutics Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
FormFactor Inc. FormFactor Inc. Common Stock	Semiconductors	No	Yes	No	Yes	Yes	No
Formula Systems (1985) Ltd. American Depositary Shares	EDP Services	No	No	No	No	No	No
Forrester Research Inc. Common Stock	EDP Services	No	No	No	No	No	No
Forte Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Forterra Inc. Common Stock		No	No	No	No	No	No
Fortinet Inc. Common Stock	Diversified Manufacture	No	Yes	Yes	No	No	No
Fortistar Sustainable Solutions Corp. Class A Common Stock		No	No	No	No	No	No
Fortistar Sustainable Solutions Corp. Unit		No	No	No	No	No	No
Fortistar Sustainable Solutions Corp. Warrant		No	No	No	No	No	No
Fortress Biotech Inc. 9.375% Series A Cumulative Redeemable Perpetual Preferred Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Fortress Biotech Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Fortune Rise Acquisition Corporation Units	Business Services	No	No	No	No	No	No
Forum Merger IV Corporation Class A Common stock		No	No	No	No	No	No
Forum Merger IV Corporation Unit		No	No	No	No	No	No
Forum Merger IV Corporation Warrant		No	No	No	No	No	No
Forward Air Corporation Common Stock	Oil Refining/Marketing	No	Yes	Yes	Yes	No	No
Forward Industries Inc. Common Stock		No	No	No	No	No	No
Forward Pharma A/S American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Fossil Group Inc.		No	Yes	No	No	No	Yes
Fossil Group Inc. Common Stock	Consumer Specialties	No	Yes	No	No	No	Yes
Founder SPAC Units		No	No	No	No	No	No
Fox Corporation Class A Common Stock	Broadcasting	No	No	No	No	No	No
Fox Corporation Class B Common Stock	Broadcasting	No	No	No	No	No	No
Fox Factory Holding Corp. Common Stock	Motor Vehicles	No	No	No	No	No	No
FoxWayne Enterprises Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
FoxWayne Enterprises Acquisition Corp. Unit		No	No	No	No	No	No
FoxWayne Enterprises Acquisition Corp. Warrant		No	No	No	No	No	No
Franchise Group Inc. 7.50% Series A Cumulative Perpetual Preferred Stock	Diversified Commercial Services	No	No	No	No	No	No
Franchise Group Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Franklin Electric Co. Inc. Common Stock	Metal Fabrications	No	No	No	No	No	No
Franklin Financial Services Corporation Common Stock	Major Banks	No	No	No	No	No	No
Franklin Wireless Corp. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Frazier Lifesciences Acquisition Corporation Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Frazier Lifesciences Acquisition Corporation Unit	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Frazier Lifesciences Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Freedom Holding Corp. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Freeline Therapeutics Holdings plc American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
FreightCar America Inc. Common Stock	Railroads	No	Yes	No	Yes	No	Yes
Frequency Electronics Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Frequency Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Freshpet Inc. Common Stock		No	No	No	No	No	No
Freshworks Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Frontdoor Inc. Common Stock	Other Consumer Services	No	Yes	Yes	Yes	No	No
Frontier Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Frontier Acquisition Corp. Units	Business Services	No	No	No	No	No	No
Frontier Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Frontier Communications Parent Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Frontier Group Holdings Inc. Common Stock	Air Freight/Delivery Services	No	No	No	No	No	No
Frontier Investment Corp Units		No	No	No	No	No	No
Frontier Investment Corp Warrants		No	No	No	No	No	No
FRP Holdings Inc. Common Stock	Real Estate	No	No	No	No	No	No
FS Bancorp Inc. Common Stock	Banks	No	Yes	Yes	Yes	No	Yes
FS Development Corp. II Class A Common Stock		No	No	No	No	No	No
FSD Pharma Inc. Class B Subordinate Voting Shares		No	No	No	No	No	No
FTAC Athena Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
FTAC Athena Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
FTAC Athena Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
FTAC Hera Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
FTAC Hera Acquisition Corp. Units		No	No	No	No	No	No
FTAC Hera Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
FTAC Parnassus Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
FTAC Parnassus Acquisition Corp. Warrant		No	No	No	No	No	No
FTC Solar Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Fuel Tech Inc. Common Stock	Pollution Control Equipment	No	No	No	No	No	No
FuelCell Energy Inc. Common Stock	Electric Utilities: Central	No	Yes	No	Yes	No	No
Fulcrum Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Fulgent Genetics Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Full House Resorts Inc. Common Stock	Movies/Entertainment	No	Yes	Yes	No	Yes	Yes
Fulton Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
Fulton Financial Corporation Depositary Shares	Major Banks	No	No	No	No	No	No
Funko Inc. Class A Common Stock	Recreational Products/Toys	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Fusion Fuel Green PLC Class A Ordinary Shares	Natural Gas Distribution	No	No	No	No	No	No
Fusion Fuel Green PLC Warrant	Natural Gas Distribution	No	No	No	No	No	No
Fusion Pharmaceuticals Inc. Common Shares		No	Yes	No	No	No	No
Futu Holdings Limited American Depositary Shares	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Future FinTech Group Inc. Common Stock	EDP Services	No	No	No	No	No	No
Future Health ESG Corp. Unit		No	No	No	No	No	No
Fuwei Films (Holdings) Co. Ltd. Ordinary Shares	Specialty Chemicals	No	No	No	No	No	No
FVCBankcorp Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
G Medical Innovations Holdings Ltd. Ordinary Shares		No	No	No	No	No	No
G Medical Innovations Holdings Ltd. Warrants		No	No	No	No	No	No
G-III Apparel Group LTD. Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
G. Willi-Food International Ltd. Ordinary Shares	Food Distributors	No	No	No	No	No	No
G1 Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
G3 VRM Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
G3 VRM Acquisition Corp. Rights		No	No	No	No	No	No
G3 VRM Acquisition Corp. Units		No	No	No	No	No	No
Gaia Inc. Class A Common Stock	Industrial Machinery/Components	No	Yes	No	Yes	No	Yes
Gain Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Galapagos NV American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Galectin Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Galecto Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Galera Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	No	Yes
Galmed Pharmaceuticals Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Gambling.com Group Limited Ordinary Shares		No	No	No	No	No	No
Gamida Cell Ltd. Ordinary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Gaming & Hospitality Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Gaming & Hospitality Acquisition Corp. Unit		No	No	No	No	No	No
Gaming & Hospitality Acquisition Corp. Warrants		No	No	No	No	No	No
Gaming and Leisure Properties Inc. Common Stock	Real Estate Investment Trusts	No	Yes	Yes	Yes	No	Yes
GAN Limited Ordinary Shares	Computer Software: Programming Data Processing	No	Yes	No	No	No	No
Garmin Ltd. Common Stock (Switzerland)	Industrial Machinery/Components	No	Yes	Yes	Yes	No	No
Garrett Motion Inc. Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Garrett Motion Inc. Series A Cumulative Convertible Preferred Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Gaucho Group Holdings Inc. Common Stock	Real Estate	No	No	No	No	No	No
GBS Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
GCM Grosvenor Inc. Class A Common Stock	Finance/Investors Services	No	Yes	No	Yes	Yes	No
GCM Grosvenor Inc. Warrant	Finance/Investors Services	No	Yes	No	Yes	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
GDS Holdings Limited ADS	Computer Software: Programming Data Processing	No	No	No	No	No	No
Gemini Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Genasys Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Gencor Industries Inc. Common Stock	Construction Equipment/Trucks	No	No	No	No	No	No
Generation Bio Co. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Generation Income Properties Inc Warrant	Real Estate Investment Trusts	No	No	No	No	No	No
Generation Income Properties Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Generations Bancorp NY Inc. Common Stock	Major Banks	No	No	No	No	No	No
Genetic Technologies Ltd Sponsored ADR	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Genetron Holdings Limited ADS	Medical Specialties	No	No	No	No	No	No
GENFIT S.A. American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Genius Brands International Inc. Common Stock	Publishing	No	No	No	No	No	No
Genmab A/S ADS	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Genocea Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Genprex Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Gentex Corporation Common Stock	Auto Parts:O.E.M.	No	Yes	No	No	No	No
Gentherm Inc Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Geospace Technologies Corporation Common Stock (Texas)	Industrial Machinery/Components	No	Yes	No	Yes	Yes	Yes
GeoVax Labs Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
GeoVax Labs Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
German American Bancorp Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
Geron Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	No	Yes	No	Yes
Gesher I Acquisition Corp. Unit		No	No	No	No	No	No
Getnet Adquircencia e Servicos para Meios de Pagamento S.A. American Depositary Shares		No	No	No	No	No	No
Gevo Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
GH Research PLC Ordinary Shares		No	No	No	No	No	No
Gibraltar Industries Inc. Common Stock		No	No	No	No	No	No
GigaMedia Limited Ordinary Shares	EDP Services	No	No	No	No	No	No
GigCapital4 Inc. Common stock		No	No	No	No	No	No
GigCapital4 Inc. Unit		No	No	No	No	No	No
GigCapital4 Inc. Warrant		No	No	No	No	No	No
GigInternational1 Inc. Common Stock		No	No	No	No	No	No
GigInternational1 Inc. Units		No	No	No	No	No	No
GigInternational1 Inc. Warrant		No	No	No	No	No	No
Gilat Satellite Networks Ltd. Ordinary Shares	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Gilead Sciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
GitLab Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Glacier Bancorp Inc. Common Stock	Major Banks	No	Yes	No	Yes	No	No
Gladstone Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Gladstone Acquisition Corp. Unit		No	No	No	No	No	No
Gladstone Acquisition Corp. Warrant		No	No	No	No	No	No
Gladstone Capital Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Gladstone Commercial Corporation 6.00% Series G Cumulative Redeemable Preferred Stock par value \$0.001 per share	Real Estate Investment Trusts	No	No	No	No	No	No
Gladstone Commercial Corporation 6.625% Series E Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Gladstone Commercial Corporation Real Estate Investment Trust	Real Estate Investment Trusts	No	No	No	No	No	No
Gladstone Investment Corporation 4.875% Notes due 2028	Investment Bankers/Brokers/Service	Yes	Yes	Yes	Yes	No	Yes
Gladstone Investment Corporation 5.00% Notes Due 2026	Investment Bankers/Brokers/Service	Yes	Yes	Yes	Yes	No	Yes
Gladstone Investment Corporation Business Development Company	Finance/Investors Services	Yes	Yes	Yes	Yes	No	Yes
Gladstone Land Corporation 5.00% Series D Cumulative Term Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Gladstone Land Corporation 6.00% Series B Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Gladstone Land Corporation Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Glass Houses Acquisition Corp. Class A common stock		No	No	No	No	No	No
Glass Houses Acquisition Corp. Unit		No	No	No	No	No	No
Glass Houses Acquisition Corp. Warrant		No	No	No	No	No	No
Glen Burnie Bancorp Common Stock	Major Banks	No	No	No	No	No	No
Glenfame Merger Corp. Class A Common Stock		No	No	No	No	No	No
Glenfame Merger Corp. Unit		No	No	No	No	No	No
Glenfame Merger Corp. Warrant		No	No	No	No	No	No
Global Blood Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Global Consumer Acquisition Corp. Common Stock		No	No	No	No	No	No
Global Consumer Acquisition Corp. Unit		No	No	No	No	No	No
Global Consumer Acquisition Corp. Warrant		No	No	No	No	No	No
Global Indemnity Group LLC 7.875% Subordinated Notes due 2047	Property-Casualty Insurers	No	No	No	No	No	No
Global Indemnity Group LLC Class A Common Stock (DE)	Property-Casualty Insurers	No	No	No	No	No	No
Global Internet of People Inc. Ordinary Shares	Business Services	No	No	No	No	No	No
Global Partner Acquisition Corp II Class A Ordinary Share	Business Services	No	No	No	No	No	No
Global Partner Acquisition Corp II Unit	Business Services	No	No	No	No	No	No
Global Partner Acquisition Corp II Warrant	Business Services	No	No	No	No	No	No
Global Self Storage Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Global SPAC Partners Co. Subunit	Business Services	No	No	No	No	No	No
Global SPAC Partners Co. Unit	Business Services	No	No	No	No	No	No
Global SPAC Partners Co. Warrants	Business Services	No	No	No	No	No	No
Global Synergy Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Global Synergy Acquisition Corp. Units	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Global Synergy Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Global Technology Acquisition Corp. I Unit		No	No	No	No	No	No
Global Water Resources Inc. Common Stock	Water Supply	No	No	No	No	No	No
Global-E Online Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
GlobalFoundries Inc. Ordinary Shares		No	No	No	No	No	No
Globis Acquisition Corp. common stock		No	No	No	No	No	No
Globis Acquisition Corp. Warrant		No	No	No	No	No	No
Globus Maritime Limited Common Stock	Marine Transportation	No	No	No	No	No	No
Glory Star New Media Group Holdings Limited Ordinary Share	EDP Services	No	No	No	No	No	No
Glory Star New Media Group Holdings Limited Warrant expiring 2/13/2025	EDP Services	No	No	No	No	No	No
GlycoMimetics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Goal Acquisitions Corp. Common Stock		No	No	No	No	No	No
Goal Acquisitions Corp. Unit		No	No	No	No	No	No
Goal Acquisitions Corp. Warrant		No	No	No	No	No	No
Gobi Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
Gogo Inc. Common Stock	Telecommunications Equipment	No	Yes	No	Yes	Yes	No
GoHealth Inc. Class A Common Stock	Specialty Insurers	No	Yes	Yes	No	No	Yes
Golar Lng Ltd	Oilfield Services/Equipment	No	No	No	No	No	No
Golden Arrow Merger Corp. Class A Common Stock		No	No	No	No	No	No
Golden Arrow Merger Corp. Unit		No	No	No	No	No	No
Golden Arrow Merger Corp. Warrant		No	No	No	No	No	No
Golden Entertainment Inc. Common Stock	Hotels/Resorts	No	No	No	No	No	No
Golden Nugget Online Gaming Inc. Class A Common Stock	Services-Misc. Amusement & Recreation	No	No	No	No	No	No
Golden Ocean Group Limited Common Stock	Marine Transportation	No	No	No	No	No	No
Golden Path Acquisition Corporation Ordinary Shares		No	No	No	No	No	No
Golden Path Acquisition Corporation Rights		No	No	No	No	No	No
Golden Path Acquisition Corporation Units		No	No	No	No	No	No
Golden Path Acquisition Corporation Warrant		No	No	No	No	No	No
Goldenbridge Acquisition Limited Ordinary Shares	Business Services	No	No	No	No	No	No
Goldenbridge Acquisition Limited Right	Business Services	No	No	No	No	No	No
Goldenbridge Acquisition Limited Warrant	Business Services	No	No	No	No	No	No
Golub Capital BDC Inc. Common Stock	Investment Managers	No	No	No	No	No	No
Good Times Restaurants Inc. Common Stock	Restaurants	No	No	No	No	No	No
Good Works II Acquisition Corp. Common Stock		No	No	No	No	No	No
Good Works II Acquisition Corp. Warrant		No	No	No	No	No	No
GoodRx Holdings Inc. Class A Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	Yes	No	No	Yes
Goosehead Insurance Inc. Class A Common Stock	Specialty Insurers	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
GoPro Inc. Class A Common Stock	Industrial Machinery/Components	Yes	Yes	No	Yes	No	Yes
Gores Guggenheim Inc. Class A Common Stock		No	No	No	No	No	No
Gores Guggenheim Inc. Unit		No	No	No	No	No	No
Gores Guggenheim Inc. Warrant		No	No	No	No	No	No
Gores Holdings VII Inc. Class A Common Stock		No	No	No	No	No	No
Gores Holdings VII Inc. Units		No	No	No	No	No	No
Gores Holdings VII Inc. Warrant		No	No	No	No	No	No
Gores Holdings VIII Inc. Class A Common Stock		No	No	No	No	No	No
Gores Holdings VIII Inc. Unit		No	No	No	No	No	No
Gores Holdings VIII Inc. Warrant		No	No	No	No	No	No
Gores Metropoulos II Inc. Class A Common Stock		No	No	No	No	No	No
Gores Metropoulos II Inc. Unit		No	No	No	No	No	No
Gores Metropoulos II Inc. Warrants		No	No	No	No	No	No
Gores Technology Partners II Inc. Class A Common Stock		No	No	No	No	No	No
Gores Technology Partners II Inc. Warrant		No	No	No	No	No	No
Gores Technology Partners Inc. Class A Common Stock		No	No	No	No	No	No
Gores Technology Partners Inc. Units		No	No	No	No	No	No
Gores Technology Partners Inc. Warrant		No	No	No	No	No	No
Gossamer Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Gracell Biotechnologies Inc. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Grand Canyon Education Inc. Common Stock	Service to the Health Industry	No	No	No	No	No	No
Graphite Bio Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
GRAVITY Co. Ltd. American Depositary Shares		No	No	No	No	No	No
Graybug Vision Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Great Elm Capital Corp. 5.875% Notes due 2026		No	No	No	No	No	No
Great Elm Capital Corp. 6.5% Notes due 2024	Finance/Investors Services	No	No	No	No	No	No
Great Elm Capital Corp. 6.75% Notes Due 2025	Finance/Investors Services	No	No	No	No	No	No
Great Elm Capital Corp. Common Stock	Finance/Investors Services	No	No	No	No	No	No
Great Elm Group Inc. Common Stock	Other Pharmaceuticals	No	No	No	No	No	No
Great Lakes Dredge & Dock Corporation Common Stock	Oilfield Services/Equipment	No	Yes	No	Yes	No	No
Great Southern Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Green Brick Partners Inc. Common Stock	Homebuilding	No	No	No	No	No	No
Green Plains Inc. Common Stock	Major Chemicals	No	Yes	Yes	No	No	No
Green Plains Partners LP Common Units		No	Yes	Yes	No	No	No
Green Visor Financial Technology Acquisition Corp. I Units		No	No	No	No	No	No
Greenbox POS Common Stock	EDP Services	No	No	No	No	No	No
Greenbrook TMS Inc. Common Shares		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Greencity Acquisition Corporation Ordinary Shares	Business Services	No	No	No	No	No	No
Greencity Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Greencity Acquisition Corporation Warrants	Business Services	No	No	No	No	No	No
Greene County Bancorp Inc. Common Stock	Banks	No	No	No	No	No	No
Greenidge Generation Holdings Inc. 8.50% Senior Notes due 2026	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Greenidge Generation Holdings Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Greenland Technologies Holding Corporation Ordinary Shares	Business Services	No	No	No	No	No	No
Greenlane Holdings Inc. Class A Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Greenlight Capital Re Ltd. Class A Ordinary Shares	Property-Casualty Insurers	No	Yes	Yes	No	Yes	No
GreenPower Motor Company Inc. Common Shares	Auto Parts:O.E.M.	No	No	No	No	No	No
Greenpro Capital Corp. Common Stock	Professional Services	No	No	No	No	No	No
GreenSky Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Greenwich LifeSciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Grid Dynamics Holdings Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Grifols S.A. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Grindrod Shipping Holdings Ltd. Ordinary Shares	Marine Transportation	No	No	No	No	No	No
Gritstone bio Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	No	Yes	Yes	No
Grocery Outlet Holding Corp. Common Stock	Specialty Foods	No	Yes	Yes	Yes	No	No
Grom Social Enterprises Inc. Common Stock		No	No	No	No	No	No
Grom Social Enterprises Inc. Warrants		No	No	No	No	No	No
Group Nine Acquisition Corp. Class A Common stock		No	No	No	No	No	No
Group Nine Acquisition Corp. Unit		No	No	No	No	No	No
Group Nine Acquisition Corp. Warrant		No	No	No	No	No	No
Groupon Inc. Common Stock	Advertising	No	No	No	No	No	No
Grove Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
GrowGeneration Corp. Common Stock	Other Specialty Stores	No	No	No	No	No	No
Growth Capital Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Growth Capital Acquisition Corp. Unit		No	No	No	No	No	No
Growth Capital Acquisition Corp. Warrant		No	No	No	No	No	No
Grupo Aeroportuario del Centro Norte S.A.B. de C.V. ADS	Aerospace	No	No	No	No	No	No
Grupo Financiero Galicia S.A. American Depositary Shares	Commercial Banks	No	No	No	No	No	No
GSE Systems Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
GSI Technology Common Stock	Semiconductors	No	No	No	No	No	No
GT Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
GTY Technology Holdings Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Guaranty Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Guaranty Federal Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Guardant Health Inc. Common Stock	Medical Specialties	No	Yes	No	Yes	No	Yes
Guardforce AI Co. Limited Ordinary Shares	Finance: Consumer Services	No	No	No	No	No	No
Guardforce AI Co. Limited Warrant	Finance: Consumer Services	No	No	No	No	No	No
Guardion Health Sciences Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Gulf Island Fabrication Inc. Common Stock	Metal Fabrications	Yes	Yes	Yes	Yes	No	No
Gulf Resources Inc. (NV) Common Stock	Major Chemicals	No	No	No	No	No	No
GWG Holdings Inc Common Stock	Life Insurance	No	No	No	No	No	No
GX Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
GX Acquisition Corp. II Warrant		No	No	No	No	No	No
Gyrodyne LLC Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
H&E Equipment Services Inc. Common Stock	Engineering & Construction	No	No	No	No	No	No
Hackett Group Inc (The). Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	No	No	No	No
Hailiang Education Group Inc. American Depositary Shares	Other Consumer Services	No	No	No	No	No	No
Hain Celestial Group Inc. (The) Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	No
Hall of Fame Resort & Entertainment Company Common Stock	Movies/Entertainment	No	No	No	No	No	No
Hall of Fame Resort & Entertainment Company Warrant	Movies/Entertainment	No	No	No	No	No	No
Hallador Energy Company Common Stock	Coal Mining	No	Yes	No	Yes	Yes	Yes
Hallmark Financial Services Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Halozyme Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	Yes	Yes	Yes	Yes	Yes
Hamilton Lane Alliance Holdings I Inc. Class A Common Stock		No	No	No	No	No	No
Hamilton Lane Alliance Holdings I Inc. Unit		No	No	No	No	No	No
Hamilton Lane Alliance Holdings I Inc. Warrant		No	No	No	No	No	No
Hamilton Lane Incorporated Class A Common Stock	Investment Managers	No	No	No	No	No	No
Hancock Whitney Corporation 6.25% Subordinated Notes due 2060	Major Banks	No	Yes	Yes	Yes	Yes	No
Hancock Whitney Corporation Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	No
Hanmi Financial Corporation Common Stock	Major Banks	No	No	No	No	No	Yes
Happiness Biotech Group Limited Ordinary Shares	Specialty Chemicals	No	No	No	No	No	No
Harbor Custom Development Inc. 8.0% Series A Cumulative Convertible Preferred Stock no par value	Building operators	No	No	No	No	No	No
Harbor Custom Development Inc. Common Stock	Building operators	No	No	No	No	No	No
Harbor Custom Development Inc. Warrant	Building operators	No	No	No	No	No	No
Harbor Custom Development Inc. Warrant	Building operators	No	No	No	No	No	No
HarborOne Bancorp Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
Harmonic Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Harmony Biosciences Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Harpoon Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Harrow Health Inc. 8.625% Senior Notes due 2026		No	No	No	No	No	No
Harrow Health Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Harvard Bioscience Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Hasbro Inc. Common Stock	Recreational Products/Toys	No	Yes	No	No	No	No
Hawaiian Holdings Inc. Common Stock	Air Freight/Delivery Services	No	Yes	No	Yes	No	No
Hawkins Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Hawthorn Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Haymaker Acquisition Corp. III Class A common stock		No	No	No	No	No	No
Haymaker Acquisition Corp. III Unit		No	No	No	No	No	No
Haymaker Acquisition Corp. III Warrant		No	No	No	No	No	No
Haynes International Inc. Common Stock		No	No	No	No	No	No
HBT Financial Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
HCW Biologics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
HeadHunter Group PLC American Depositary Shares	Professional Services	No	No	No	No	No	No
Health Assurance Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Health Assurance Acquisition Corp. SAIL Securities		No	No	No	No	No	No
Health Assurance Acquisition Corp. Warrants		No	No	No	No	No	No
Health Catalyst Inc Common Stock	Managed Health Care	No	No	No	No	No	No
Health Sciences Acquisitions Corporation 2 Ordinary Shares	Business Services	No	No	No	No	No	No
Healthcare Capital Corp. Class A common stock		No	No	No	No	No	No
Healthcare Capital Corp. Unit		No	No	No	No	No	No
Healthcare Capital Corp. Warrant		No	No	No	No	No	No
Healthcare Services Acquisition Corporation Class A Common Stock		No	No	No	No	No	No
Healthcare Services Acquisition Corporation Unit		No	No	No	No	No	No
Healthcare Services Acquisition Corporation Warrant		No	No	No	No	No	No
Healthcare Services Group Inc. Common Stock	Assisted Living Services	No	No	No	No	No	No
Healthcare Triangle Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Healthcare Trust Inc. 7.125% Series B Cumulative Redeemable Perpetual Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Healthcare Trust Inc. 7.375% Series A Cumulative Redeemable Perpetual Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
HealthCor Catalio Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
HealthEquity Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
HealthStream Inc. Common Stock	Business Services	No	No	No	No	No	No
Healthwell Acquisition Corp. I Class A Common Stock		No	No	No	No	No	No
Healthwell Acquisition Corp. I Unit		No	No	No	No	No	No
Healthwell Acquisition Corp. I Warrant		No	No	No	No	No	No
Heartland Express Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Heartland Financial USA Inc. Common Stock	Major Banks	No	Yes	Yes	No	Yes	No
Heartland Financial USA Inc. Depositary Shares	Major Banks	No	Yes	Yes	No	Yes	No
Heat Biologics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Heidrick & Struggles International Inc. Common Stock	Diversified Commercial Services	No	Yes	No	Yes	No	Yes
Helbiz Inc. Class A Common stock	Other Specialty Stores	No	No	No	No	No	No
Helbiz Inc. Warrant	Other Specialty Stores	No	No	No	No	No	No
Helen of Troy Limited Common Stock	Other Consumer Services	No	Yes	Yes	Yes	No	Yes
Helius Medical Technologies Inc. Class A Common Stock (DE)	Industrial Specialties	No	No	No	No	No	No
Helix Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Hello Group Inc. American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Hemisphere Media Group Inc. Class A Common Stock	Television Services	No	No	No	No	No	No
Hennessy Advisors Inc. 4.875% Notes due 2026		No	Yes	No	Yes	No	Yes
Hennessy Advisors Inc. Common Stock	Investment Managers	No	Yes	No	Yes	No	Yes
Hennessy Capital Investment Corp. V Class A Common Stock		No	No	No	No	No	No
Hennessy Capital Investment Corp. V Units		No	No	No	No	No	No
Hennessy Capital Investment Corp. V Warrant		No	No	No	No	No	No
Hennessy Capital Investment Corp. VI Unit		No	No	No	No	No	No
Henry Schein Inc. Common Stock	Medical Specialties	No	Yes	Yes	Yes	Yes	No
Hepion Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Heritage Commerce Corp Common Stock	Major Banks	No	Yes	Yes	No	Yes	No
Heritage Financial Corporation Common Stock	Banks	No	No	No	No	No	No
Heritage Global Inc. Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	No	No	No
Heritage-Crystal Clean Inc. Common Stock	Water Supply	No	Yes	No	Yes	No	No
Heron Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	No	No
Hertz Global Holdings Inc		No	Yes	Yes	Yes	No	No
Hertz Global Holdings Inc		No	Yes	Yes	Yes	No	No
Herzfeld Caribbean Basin Fund Inc. (The) Common Stock	Investment Managers	No	No	No	No	No	No
Heska Corporation Common Stock		No	No	No	No	No	No
HEXO Corp. Common Shares		No	No	No	No	No	No
HF Foods Group Inc. Common Stock	Food Distributors	No	No	No	No	No	No
HHG Capital Corporation Units		No	No	No	No	No	No
Hibbett Inc. Common Stock	Other Specialty Stores	No	No	No	No	No	No
High Tide Inc. Common Shares		No	No	No	No	No	No
HighPeak Energy Inc. Common Stock	Oil & Gas Production	No	No	No	No	No	No
HighPeak Energy Inc. Warrant	Oil & Gas Production	No	No	No	No	No	No
Highway Holdings Limited Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Hillman Solutions Corp. Common Stock	Industrial Specialties	No	No	No	No	No	No
Hillman Solutions Corp. Warrant	Industrial Specialties	No	No	No	No	No	No
Himax Technologies Inc. American Depositary Shares	Semiconductors	No	No	No	No	No	No
Hingham Institution for Savings Common Stock	Major Banks	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
HireQuest Inc. Common Stock (DE)	Diversified Commercial Services	No	No	No	No	No	No
Histogen Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Hive Blockchain Technologies Ltd. Common Shares		No	No	No	No	No	No
HMN Financial Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Hollysys Automation Technologies Ltd. Common Shares (British Virgin Islands)	Electrical Products	No	No	No	No	No	No
Hologic Inc. Common Stock	Medical Electronics	No	No	No	No	No	No
Home Bancorp Inc. Common Stock	Banks	No	No	No	No	No	No
Home BancShares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Home Federal Bancorp Inc. of Louisiana Common Stock	Savings Institutions	No	No	No	No	No	No
Home Plate Acquisition Corporation Unit		No	No	No	No	No	No
Home Point Capital Inc Common Stock	Finance: Consumer Services	No	No	No	No	No	No
HomeStreet Inc. Common Stock	Banks	No	Yes	No	No	No	No
HomeTrust Bancshares Inc. Common Stock	Savings Institutions	Yes	Yes	Yes	Yes	No	No
Homology Medicines Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Honeywell International Inc. Common Stock		No	No	No	No	No	No
Hooker Furnishings Corporation Common Stock	Home Furnishings	No	No	No	No	No	No
HOOKIPA Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Hope Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Horizon Bancorp Inc. Common Stock	Major Banks	Yes	Yes	Yes	Yes	No	No
Horizon Technology Finance Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Horizon Therapeutics Public Limited Company Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Host Hotels & Resorts Inc. Common Stock	Real Estate Investment Trusts	No	Yes	No	Yes	No	Yes
Hostess Brands Inc. Class A Common Stock	Specialty Foods	No	Yes	No	Yes	No	No
Hoth Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Houghton Mifflin Harcourt Company Common Stock	Service to the Health Industry	No	No	No	No	No	No
Hovnanian Enterprises Inc Dep Shr Srs A Pfd	Homebuilding	No	No	No	No	No	No
Howard Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
HTG Molecular Diagnostics Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Huadi International Group Co. Ltd. Ordinary Shares	Steel/Iron Ore	No	No	No	No	No	No
Huazhu Group Limited American Depositary Shares	Hotels/Resorts	No	No	No	No	No	No
Hub Group Inc. Class A Common Stock	Oil Refining/Marketing	No	Yes	Yes	Yes	No	Yes
Hudson Capital Inc. Ordinary Shares	Professional Services	No	No	No	No	No	No
Hudson Executive Investment Corp. II Class A Common Stock		No	No	No	No	No	No
Hudson Executive Investment Corp. II Unit		No	No	No	No	No	No
Hudson Executive Investment Corp. II Warrant		No	No	No	No	No	No
Hudson Executive Investment Corp. III Class A Common Stock		No	No	No	No	No	No
Hudson Executive Investment Corp. III Unit		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Hudson Executive Investment Corp. III Warrant		No	No	No	No	No	No
Hudson Global Inc. Common Stock	Professional Services	No	No	No	No	No	No
Hudson Technologies Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Huize Holding Limited American Depositary Shares	Specialty Insurers	No	No	No	No	No	No
Humacyte Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Humacyte Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
HumanCo Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
HumanCo Acquisition Corp. Unit		No	No	No	No	No	No
HumanCo Acquisition Corp. Warrant		No	No	No	No	No	No
Humanigen Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Huntington Bancshares Incorporated Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
Huntington Bancshares Incorporated Depositary Shares 4.500% Series H	Major Banks	No	Yes	Yes	Yes	No	Yes
Huntington Bancshares Incorporated Depositary Shares each representing a 1/1000th interest in a share of Huntington Series I	Major Banks	No	Yes	Yes	Yes	No	Yes
Hurco Companies Inc. Common Stock	Steel/Iron Ore	No	No	No	No	No	No
Huron Consulting Group Inc. Common Stock	Professional Services	No	No	No	No	No	No
Hut 8 Mining Corp. Common Shares		No	No	No	No	No	No
HUTCHMED (China) Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Huttig Building Products Inc. Common Stock	Wholesale Distributors	No	No	No	No	No	No
HV Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Hycroft Mining Holding Corporation Warrant	Precious Metals	No	No	No	No	No	No
Hycroft Mining Holding Corporation Class A Common Stock	Precious Metals	No	No	No	No	No	No
Hycroft Mining Holding Corporation Warrant	Precious Metals	No	No	No	No	No	No
Hycroft Mining Holding Corporation Warrants	Precious Metals	No	No	No	No	No	No
Hydrofarm Holdings Group Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
HyreCar Inc. Common Stock	Rental/Leasing Companies	No	No	No	No	No	No
Hywin Holdings Ltd. American Depositary Shares	Investment Managers	No	No	No	No	No	No
Hyzon Motors Inc. Class A Common Stock	Construction Equipment/Trucks	No	No	No	No	No	No
Hyzon Motors Inc. Warrants	Construction Equipment/Trucks	No	No	No	No	No	No
I-MAB American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
i3 Verticals Inc. Class A Common Stock	EDP Services	No	Yes	Yes	No	No	No
IAC/InterActiveCorp Common Stock	EDP Services	No	No	No	No	No	No
IBEX Limited Common Shares	EDP Services	No	No	No	No	No	No
iCAD Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Icahn Enterprises L.P. Common Stock		No	No	No	No	No	No
ICC Holdings Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
IceCure Medical Ltd. Ordinary Shares		No	No	No	No	No	No
ICF International Inc. Common Stock	Professional Services	No	Yes	Yes	No	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Ichor Holdings Ordinary Shares	Semiconductors	No	Yes	No	Yes	No	No
iClick Interactive Asia Group Limited American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
ICON plc Ordinary Shares	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Icosavax Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
ICU Medical Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Ideal Power Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Ideanomics Inc. Common Stock	Engineering & Construction	No	No	No	No	No	No
IDEAYA Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Identiv Inc. Common Stock	Computer peripheral equipment	No	No	No	No	No	No
Idera Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
IDEX Biometrics ASA American Depositary Shares	Diversified Manufacture	No	No	No	No	No	No
IDEXX Laboratories Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	Yes	Yes	Yes	No	No
IES Holdings Inc. Common Stock	Engineering & Construction	No	No	No	No	No	No
IF Bancorp Inc. Common Stock	Finance: Consumer Services	No	No	No	No	No	No
iFresh Inc. Common Stock	Restaurants	No	No	No	No	No	No
IG Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
IG Acquisition Corp. Unit		No	No	No	No	No	No
IG Acquisition Corp. Warrant		No	No	No	No	No	No
IGM Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ignyte Acquisition Corp. Common Stock		No	No	No	No	No	No
Ignyte Acquisition Corp. Warrant		No	No	No	No	No	No
iHeartMedia Inc. Class A Common Stock	Broadcasting	No	Yes	No	Yes	No	No
II-VI Incorporated 6.00% Series A Mandatory Convertible Preferred Stock	Industrial Machinery/Components	No	No	No	No	No	No
II-VI Incorporated Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Ikena Oncology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ikonics Corporation	Industrial Machinery/Components	No	No	No	No	No	No
Illumina Inc. Common Stock	Medical Specialties	No	Yes	Yes	No	No	No
IM Cannabis Corp. Common Shares		No	No	No	No	No	No
IMAC Holdings Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
IMAC Holdings Inc. Warrant	Medical Specialties	No	No	No	No	No	No
Imago BioSciences Inc. Common stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
IMARA Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
iMedia Brands Inc. 8.5% Senior Notes Due 2026		No	No	No	No	No	No
iMedia Brands Inc. Class A Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
Immatics N.V. Ordinary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Immatics N.V. Warrants	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Immersion Corporation Common Stock	Computer peripheral equipment	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
ImmuCell Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Immuneering Corporation Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Immunic Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ImmunityBio Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	Yes	No	No	No	No
Immunocore Holdings plc American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
ImmunoGen Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Immunome Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
ImmunoPrecise Antibodies Ltd. Common Stock		No	No	No	No	No	No
Immunovant Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Immuron Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Immuron Limited Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Immutep Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Impel NeuroPharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Impinj Inc. Common Stock	Telecommunications Equipment	No	Yes	Yes	No	Yes	No
IMV Inc. Common Shares		No	No	No	No	No	No
IN8bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Inari Medical Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Incyte Corp. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Indaptus Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Independence Holdings Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Independence Holdings Corp. Units	Business Services	No	No	No	No	No	No
Independence Holdings Corp. Warrant	Business Services	No	No	No	No	No	No
Independent Bank Corp. Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
Independent Bank Corporation Common Stock	Major Banks	No	No	No	No	No	No
Independent Bank Group Inc Common Stock	Major Banks	No	No	No	No	No	No
indie Semiconductor Inc. Class A Common Stock	Semiconductors	No	No	No	No	No	No
indie Semiconductor Inc. Warrant	Semiconductors	No	No	No	No	No	No
INDUS Realty Trust Inc. (MD) Common Stock	Real Estate	No	Yes	No	Yes	No	Yes
Industrial Logistics Properties Trust Common Shares of Beneficial Interest	Real Estate Investment Trusts	No	No	No	No	No	No
Infinera Corporation Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Infinity Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
InflaRx N.V. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Inflection Point Acquisition Corp. Units		No	No	No	No	No	No
Infobird Co. Ltd Ordinary Shares		No	No	No	No	No	No
Information Services Group Inc. Information Services Group Inc. Common Stock	Professional Services	No	No	No	No	No	No
Infrastructure and Energy Alternatives Inc. Common Stock	Water Supply	No	No	No	No	No	No
Infrastructure and Energy Alternatives Inc. Warrant	Water Supply	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Ingles Markets Incorporated Class A Common Stock	Food Chains	No	No	No	No	No	No
Inhibikase Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Inhibrx Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
InMed Pharmaceuticals Inc. Common Shares		No	No	No	No	No	No
InMode Ltd. Ordinary Shares	Medical/Nursing Services	No	No	No	No	No	No
INmune Bio Inc. Common stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Innate Pharma S.A. ADS	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Innodata Inc. Common Stock	EDP Services	No	Yes	No	No	Yes	No
Innospec Inc. Common Stock	Industrial Specialties	No	Yes	No	No	No	No
InnovAge Holding Corp. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Innovative International Acquisition Corp. Unit		No	No	No	No	No	No
Innovative Solutions and Support Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Innoviva Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Innoviz Technologies Ltd. Ordinary shares	Auto Parts:O.E.M.	No	No	No	No	No	No
Innoviz Technologies Ltd. Warrant	Auto Parts:O.E.M.	No	No	No	No	No	No
Inogen Inc Common Stock	Industrial Specialties	No	No	No	No	No	No
Inotiv Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Inovalon Holdings Inc. Class A Common Stock	EDP Services	No	No	No	No	No	Yes
Inovio Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Inozyme Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Inpixon Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Inseego Corp. Common Stock	Telecommunications Equipment	No	Yes	Yes	Yes	No	Yes
Insight Enterprises Inc. Common Stock	EDP Services	No	No	No	No	No	No
Insignia Systems Inc. Common Stock	Advertising	No	No	No	No	No	No
Insmid Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Inspira Technologies Oxy B.H.N. Ltd. Ordinary Shares	Medical/Dental Instruments	No	No	No	No	No	No
Inspira Technologies Oxy B.H.N. Ltd. Warrant	Medical/Dental Instruments	No	No	No	No	No	No
Inspired Entertainment Inc. Common Stock		No	No	No	No	No	No
InspireMD Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
InspireMD Inc. Series B Warrants	Medical/Dental Instruments	No	No	No	No	No	No
Instil Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
INSU Acquisition Corp. III Class A Common Stock		No	No	No	No	No	No
INSU Acquisition Corp. III Unit		No	No	No	No	No	No
INSU Acquisition Corp. III Warrant		No	No	No	No	No	No
Insulet Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Intapp Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Integra LifeSciences Holdings Corporation Common Stock	Medical/Dental Instruments	No	Yes	Yes	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Integral Acquisition Corporation 1 Unit	Business Services	No	No	No	No	No	No
Integral Ad Science Holding Corp. Common Stock	Advertising	No	No	No	No	No	No
Integrated Media Technology Limited Ordinary Shares	Consumer Electronics/Appliances	No	No	No	No	No	No
Intel Corporation Common Stock	Semiconductors	No	Yes	Yes	Yes	Yes	Yes
Intellia Therapeutics Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Intelligence Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Intelligent Medicine Acquisition Corp. Unit		No	No	No	No	No	No
Inter Parfums Inc. Common Stock	Package Goods/Cosmetics	No	Yes	No	Yes	Yes	Yes
Interactive Brokers Group Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Intercept Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
Intercure Ltd. Ordinary Shares		No	No	No	No	No	No
InterDigital Inc. Common Stock	Telecommunications Equipment	Yes	Yes	Yes	Yes	No	No
Interface Inc. Common Stock		No	No	No	No	No	No
InterGroup Corporation (The) Common Stock	Hotels/Resorts	No	No	No	No	No	No
Interlink Electronics Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
International Bancshares Corporation Common Stock	Major Banks	No	No	No	No	No	No
International General Insurance Holdings Ltd. Ordinary Share	Property-Casualty Insurers	No	No	No	No	No	No
International General Insurance Holdings Ltd. Warrants expiring 03/17/2025	Property-Casualty Insurers	No	No	No	No	No	No
International Media Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
International Media Acquisition Corp. Rights		No	No	No	No	No	No
International Media Acquisition Corp. Unit		No	No	No	No	No	No
International Media Acquisition Corp. Warrants		No	No	No	No	No	No
International Money Express Inc. Common Stock	EDP Services	No	No	No	No	No	No
InterPrivate IV InfraTech Partners Inc. Class A Common Stock		No	No	No	No	No	No
InterPrivate IV InfraTech Partners Inc. Units		No	No	No	No	No	No
InterPrivate IV InfraTech Partners Inc. Warrant		No	No	No	No	No	No
Intersect ENT Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Interstate Power & Light Company Perp Prd Ser D	Power Generation	No	No	No	No	No	No
Intevac Inc. Common Stock		No	No	No	No	No	Yes
Intra-Cellular Therapies Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Intricon Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Intrusion Inc. Common Stock	Diversified Manufacture	No	No	No	No	No	No
Intuit Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Intuitive Surgical Inc. Common Stock	Medical/Dental Instruments	No	Yes	No	Yes	No	Yes
Inventiva S.A. American Depository Shares	Other Consumer Services	No	No	No	No	No	No
Investar Holding Corporation Common Stock	Commercial Banks	No	No	No	No	No	No
Investcorp Credit Management BDC Inc. Common Stock	Finance/Investors Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Investors Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Investors Title Company Common Stock	Specialty Insurers	No	No	No	No	No	No
InVivo Therapeutics Holdings Corp Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
INVO BioScience Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
IO Biotech Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ionis Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Iovance Biotherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
IPG Photonics Corporation Common Stock	Semiconductors	No	Yes	Yes	Yes	No	No
iPower Inc. Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
Ipsidy Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
iQIYI Inc. American Depositary Shares	Internet and Information Services	No	No	No	No	No	No
iRadimed Corporation Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
iRhythm Technologies Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
IRIDEX Corporation Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Iridium Communications Inc Common Stock	Telecommunications Equipment	No	No	No	No	No	Yes
iRobot Corporation Common Stock		No	No	No	No	No	No
Iron Spark I Inc. Class A Common Stock		No	No	No	No	No	No
Ironwood Pharmaceuticals Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
IRSA Propiedades Comerciales S.A. American Depositary Shares	Building operators	No	No	No	No	No	No
Isleworth Healthcare Acquisition Corporation Common stock		No	No	No	No	No	No
Isleworth Healthcare Acquisition Corporation Warrant		No	No	No	No	No	No
IsoPlexis Corporation Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
iSpecimen Inc. Common Stock	Precision Instruments	No	No	No	No	No	No
iSun Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Itamar Medical Ltd. American Depositary Shares	Medical/Dental Instruments	No	No	No	No	No	No
iTeos Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Iteris Inc. Common Stock	Telecommunications Equipment	No	Yes	Yes	Yes	No	No
Iterum Therapeutics plc Ordinary Share	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ITHAX Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
ITHAX Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
ITHAX Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Itiquira Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Itiquira Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Itiquira Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Ittron Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Ituran Location and Control Ltd. Ordinary Shares	Electronic Components	No	No	No	No	No	No
IVERIC bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
IX Acquisition Corp. Unit		No	No	No	No	No	No
IZEA Worldwide Inc. Common Stock	Advertising	No	No	No	No	No	No
J & J Snack Foods Corp. Common Stock	Specialty Foods	No	No	No	No	No	No
J. W. Mays Inc. Common Stock	Building operators	No	No	No	No	No	No
J.B. Hunt Transport Services Inc. Common Stock	Trucking Freight/Courier Services	No	Yes	No	Yes	No	No
Jack Creek Investment Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Jack Creek Investment Corp. Units	Business Services	No	No	No	No	No	No
Jack Creek Investment Corp. Warrants	Business Services	No	No	No	No	No	No
Jack Henry & Associates Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Jack In The Box Inc. Common Stock	Restaurants	No	No	No	No	No	No
Jaguar Health Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
JAKKS Pacific Inc. Common Stock	Recreational Products/Toys	No	No	No	No	No	No
James River Group Holdings Ltd. Common Shares	Property-Casualty Insurers	No	Yes	Yes	Yes	No	No
Jamf Holding Corp. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
JanOne Inc. Common Stock (NV)	Environmental Services	No	No	No	No	No	No
Janux Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Japer Therapeutics Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Jasper Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Jaws Hurricane Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Jaws Hurricane Acquisition Corp. Unit		No	No	No	No	No	No
Jaws Hurricane Acquisition Corp. Warrant		No	No	No	No	No	No
Jaws Juggernaut Acquisition Corporation Class A Ordinary Share		No	No	No	No	No	No
Jaws Juggernaut Acquisition Corporation Unit		No	No	No	No	No	No
Jaws Juggernaut Acquisition Corporation Warrant		No	No	No	No	No	No
Jazz Pharmaceuticals plc Common Stock (Ireland)	Biotechnology: Pharmaceutical Preparations	No	Yes	No	No	No	No
JD.com Inc. American Depositary Shares	Diversified Commercial Services	No	No	No	No	No	No
Jerash Holdings (US) Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
JetBlue Airways Corporation Common Stock	Air Freight/Delivery Services	No	Yes	Yes	Yes	No	No
Jewett-Cameron Trading Company Common Shares		No	No	No	No	No	No
JFrog Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	Yes	Yes
Jiayin Group Inc. American Depositary Shares	Finance: Consumer Services	No	No	No	No	No	No
Jiuzi Holdings Inc. Ordinary Shares	Automotive Aftermarket	No	No	No	No	No	No
Jiya Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
JMP Group LLC 6.875% Senior Notes due 2029	Investment Bankers/Brokers/Service	No	No	No	Yes	Yes	Yes
JOANN Inc. Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
JOFF Fintech Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
JOFF Fintech Acquisition Corp. Unit		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
JOFF Fintech Acquisition Corp. Warrant		No	No	No	No	No	No
John B. Sanfilippo & Son Inc. Common Stock	Specialty Foods	No	No	No	No	No	No
Johnson Outdoors Inc. Class A Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Jounce Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Jowell Global Ltd. Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
JOYY Inc. American Depositary Shares	EDP Services	No	No	No	No	No	No
Jupiter Acquisition Corporation Common stock		No	No	No	No	No	No
Jupiter Acquisition Corporation Units		No	No	No	No	No	No
Jupiter Acquisition Corporation Warrants		No	No	No	No	No	No
Jupiter Wellness Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Jupiter Wellness Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Just Eat Takeaway.com N.V. American Depositary Shares		No	No	No	No	No	No
JX Luxventure Limited Common Stock	Apparel	No	No	No	No	No	No
Kadem Sustainable Impact Corporation Class A common stock		No	No	No	No	No	No
Kadem Sustainable Impact Corporation Unit		No	No	No	No	No	No
Kadem Sustainable Impact Corporation Warrant		No	No	No	No	No	No
Kadmon Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kairos Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Kairos Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Kairos Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Kaiser Aluminum Corporation Common Stock	Aluminum	No	Yes	No	Yes	No	Yes
Kaival Brands Innovations Group Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Kaixin Auto Holdings Ordinary Share	Business Services	No	No	No	No	No	No
Kala Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kaleido Biosciences Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Kaltura Inc. Common Stock	EDP Services	No	No	No	No	No	No
KalVista Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kamada Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kandi Technologies Group Inc Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
KANZHUN LIMITED American Depositary Shares		No	No	No	No	No	No
Karat Packaging Inc. Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	No
Karooooo Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Karuna Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Karyopharm Therapeutics Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Kaspien Holdings Inc. Common Stock	Consumer Electronics/Video Chains	No	No	No	No	No	No
Katapult Holdings Inc. Common Stock	EDP Services	No	No	No	No	No	No
Katapult Holdings Inc. Warrant	EDP Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Kazia Therapeutics Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kearny Financial Corp Common Stock	Savings Institutions	No	No	No	No	No	No
Kelly Services Inc. Class A Common Stock	Diversified Commercial Services	Yes	Yes	Yes	Yes	No	Yes
Kelly Services Inc. Class B Common Stock	Diversified Commercial Services	Yes	Yes	Yes	Yes	No	Yes
KemPharm Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kentucky First Federal Bancorp Common Stock	Savings Institutions	No	No	No	No	No	Yes
Kernel Group Holdings Inc. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Kernel Group Holdings Inc. Units	Business Services	No	No	No	No	No	No
Kernel Group Holdings Inc. Warrants	Business Services	No	No	No	No	No	No
Keros Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	No	Yes	Yes	Yes
Keurig Dr Pepper Inc. Common Stock	Beverages (Production/Distribution)	No	Yes	Yes	No	Yes	Yes
Kewaunee Scientific Corporation Common Stock	Medical Specialties	No	No	No	No	No	No
Key Tronic Corporation Common Stock	Computer peripheral equipment	No	No	No	No	No	No
Kezar Life Sciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kforce Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Khosla Ventures Acquisition Co. Class A Common Stock		No	No	No	No	No	No
Khosla Ventures Acquisition Co. III Class A Common Stock		No	No	No	No	No	No
Kimball Electronics Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	No	Yes	No
Kimball International Inc. Class B Common Stock	Industrial Specialties	No	Yes	Yes	No	Yes	No
Kingsoft Cloud Holdings Limited American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Kingstone Companies Inc. Common Stock	Specialty Insurers	No	No	No	No	No	No
Kiniksa Pharmaceuticals Ltd. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Kinnate Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
KINS Technology Group Inc. Class A Common Stock		No	No	No	No	No	No
KINS Technology Group Inc. Unit		No	No	No	No	No	No
KINS Technology Group Inc. Warrant		No	No	No	No	No	No
Kinsale Capital Group Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Kintara Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kiora Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kirkland's Inc. COMMONSTOCK	Diversified Commercial Services	No	Yes	Yes	Yes	No	No
Kiromic BioPharma Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Kismet Acquisition Three Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Kismet Acquisition Three Corp. Unit	Business Services	No	No	No	No	No	No
Kismet Acquisition Three Corp. Warrant	Business Services	No	No	No	No	No	No
Kismet Acquisition Two Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Kismet Acquisition Two Corp. Unit	Business Services	No	No	No	No	No	No
Kismet Acquisition Two Corp. Warrant	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
KL Acquisition Corp Class A Common Stock		No	No	No	No	No	No
KL Acquisition Corp Unit		No	No	No	No	No	No
KLA Corporation Common Stock	Electronic Components	No	Yes	Yes	Yes	No	No
Kludeln I Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Kludeln I Acquisition Corp. Warrant		No	No	No	No	No	No
KLX Energy Services Holdings Inc. Common Stock	Oilfield Services/Equipment	No	No	No	No	No	No
KnowBe4 Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Kodiak Sciences Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Kopin Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Kornit Digital Ltd. Ordinary Shares	Industrial Machinery/Components	No	No	No	No	No	No
Koss Corporation Common Stock	Consumer Electronics/Appliances	No	No	No	No	No	No
Kratos Defense & Security Solutions Inc. Common Stock	Ordinance And Accessories	No	No	No	No	No	No
Krispy Kreme Inc. Common Stock	Specialty Foods	No	No	No	No	No	No
Kronos Bio Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	Yes	No
Krystal Biotech Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Kubient Inc. Common Stock	EDP Services	No	Yes	Yes	No	Yes	No
Kubient Inc. Warrant	EDP Services	No	Yes	Yes	No	Yes	No
Kulicke and Soffa Industries Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Kura Oncology Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Kura Sushi USA Inc. Class A Common Stock	Restaurants	Yes	Yes	Yes	Yes	Yes	Yes
KVH Industries Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Kymera Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
L Catterton Asia Acquisition Corp Class A Ordinary Shares	Business Services	No	No	No	No	No	No
L Catterton Asia Acquisition Corp Units	Business Services	No	No	No	No	No	No
L Catterton Asia Acquisition Corp Warrant	Business Services	No	No	No	No	No	No
L.B. Foster Company Common Stock	Metal Fabrications	No	No	No	No	No	No
La Jolla Pharmaceutical Company Common Stock (DE)	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Lake Shore Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Lakeland Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Lakeland Financial Corporation Common Stock	Major Banks	No	Yes	Yes	No	Yes	No
Lakeland Industries Inc. Common Stock		No	No	No	No	No	No
Lakeshore Acquisition I Corp. Ordinary Shares		No	No	No	No	No	No
Lam Research Corporation Common Stock	Industrial Machinery/Components	Yes	Yes	Yes	Yes	No	No
Lamar Advertising Company Class A Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Lancaster Colony Corporation Common Stock	Packaged Foods	No	Yes	No	No	No	No
Landcadia Holdings IV Inc. Class A Common Stock		No	No	No	No	No	No
Landcadia Holdings IV Inc. Units		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Landec Corporation Common Stock (DE)	Farming/Seeds/Milling	No	No	No	No	No	No
Landmark Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Landmark Infrastructure Partners LP 7% Series C Fltg./Fxd Perpetual Conv Preferred Stock	Real Estate	No	No	No	No	No	No
Landmark Infrastructure Partners LP 8.00% Series A Cumulative Redeemable Perpetual Preferred Units	Real Estate	No	No	No	No	No	No
Landmark Infrastructure Partners LP Common Units	Real Estate	No	No	No	No	No	No
Landmark Infrastructure Partners LP Perpetual Preferred Units Series B 7.90%	Real Estate	No	No	No	No	No	No
Landos Biopharma Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Lands' End Inc. Common Stock	Clothing/Shoe/Accessory Stores	No	No	No	No	No	No
Landsea Homes Corporation Common Stock		Yes	Yes	Yes	Yes	Yes	Yes
Landsea Homes Corporation Warrant		Yes	Yes	Yes	Yes	Yes	Yes
Landstar System Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Lantern Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lantheus Holdings Inc. Common Stock	Medical Specialties	No	Yes	Yes	Yes	No	No
Lantronix Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Largo Resources Ltd. Common Shares		No	No	No	No	No	No
Larimar Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Latch Inc. Common Stock	EDP Services	No	No	No	No	No	No
Latch Inc. Warrant expiring 6/4/2026	EDP Services	No	No	No	No	No	No
Latham Group Inc. Common Stock	Engineering & Construction	No	No	No	No	No	No
Lattice Semiconductor Corporation Common Stock	Semiconductors	No	No	No	No	No	No
Laureate Education Inc. Class A Common Stock	Other Consumer Services	No	No	No	No	No	No
LAVA Medtech Acquisition Corp. Unit		No	No	No	No	No	No
LAVA Therapeutics N.V. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lawson Products Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Lazard Growth Acquisition Corp. I Ordinary Shares	Business Services	No	No	No	No	No	No
Lazard Growth Acquisition Corp. I Units	Business Services	No	No	No	No	No	No
Lazard Growth Acquisition Corp. I Warrants	Business Services	No	No	No	No	No	No
Lazydays Holdings Inc. Common Stock	Other Specialty Stores	No	No	No	No	No	No
LCNB Corporation Common Stock	Major Banks	No	No	No	No	No	No
LDH Growth Corp I Class A Ordinary Shares	Business Services	No	No	No	No	No	No
LDH Growth Corp I Units	Business Services	No	No	No	No	No	No
LDH Growth Corp I Warrant	Business Services	No	No	No	No	No	No
Lead Edge Growth Opportunities Ltd Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Lead Edge Growth Opportunities Ltd Units	Business Services	No	No	No	No	No	No
Lead Edge Growth Opportunities Ltd Warrant	Business Services	No	No	No	No	No	No
Leap Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Lee Enterprises Incorporated Common Stock	Newspapers/Magazines	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Lefteris Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Lefteris Acquisition Corp. Unit		No	No	No	No	No	No
Lefteris Acquisition Corp. Warrant		No	No	No	No	No	No
Legacy Housing Corporation Common Stock (TX)	Homebuilding	No	No	No	No	No	No
LegalZoom.com Inc. Common Stock	EDP Services	No	No	No	No	No	No
Legend Biotech Corporation American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
LeMaitre Vascular Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
LendingTree Inc. Common Stock	Finance: Consumer Services	No	No	No	No	No	No
LENSAR Inc. Common Stock	Medical Electronics	No	No	No	No	No	No
Lerer Hippeau Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Leslie's Inc. Common Stock		No	No	No	No	No	No
Level One Bancorp Inc. Common Stock		No	Yes	Yes	No	No	No
Level One Bancorp Inc. Depositary Shares Each Representing a 1/100th Interest in a Share of 7.50% Non-Cumulative Perpetual Preferred Stock Series B		No	Yes	Yes	No	No	No
Levere Holdings Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Levere Holdings Corp. Unit	Business Services	No	No	No	No	No	No
Levere Holdings Corp. Warrant	Business Services	No	No	No	No	No	No
Lexaria Bioscience Corp. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lexaria Bioscience Corp. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lexicon Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
LexinFintech Holdings Ltd. American Depositary Shares	Finance: Consumer Services	No	No	No	No	No	No
LGI Homes Inc. Common Stock	Homebuilding	No	Yes	No	No	No	No
LHC Group Common Stock	Medical/Nursing Services	No	Yes	No	Yes	No	No
Li Auto Inc. American Depositary Shares	Auto Manufacturing	No	No	No	No	No	No
LianBio American Depositary Shares		No	No	No	No	No	No
Liberty Broadband Corporation Class A Common Stock	Television Services	No	Yes	No	Yes	No	Yes
Liberty Broadband Corporation Class C Common Stock	Television Services	No	Yes	No	Yes	No	Yes
Liberty Broadband Corporation Series A Cumulative Redeemable Preferred Stock	Television Services	No	Yes	No	Yes	No	Yes
Liberty Global plc Class A Ordinary Shares	Television Services	No	Yes	No	Yes	No	Yes
Liberty Global plc Class B Ordinary Shares	Television Services	No	Yes	No	Yes	No	Yes
Liberty Global plc Class C Ordinary Shares	Television Services	No	Yes	No	Yes	No	Yes
Liberty Latin America Ltd. Class A Common Stock	Television Services	No	Yes	No	Yes	No	Yes
Liberty Latin America Ltd. Class C Common Stock	Television Services	No	Yes	No	Yes	No	Yes
Liberty Media Acquisition Corporation Series A Common Stock		No	No	No	No	No	No
Liberty Media Acquisition Corporation Unit		No	No	No	No	No	No
Liberty Media Acquisition Corporation Warrants		No	No	No	No	No	No
Liberty Media Corporation Series A Liberty Braves Common Stock	Movies/Entertainment	No	No	No	No	No	No
Liberty Media Corporation Series A Liberty Formula One Common Stock	Broadcasting	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Liberty Media Corporation Series A Liberty SiriusXM Common Stock	Broadcasting	No	No	No	No	No	No
Liberty Media Corporation Series B Liberty SiriusXM Common Stock	Broadcasting	No	No	No	No	No	No
Liberty Media Corporation Series C Liberty Braves Common Stock	Movies/Entertainment	No	No	No	No	No	No
Liberty Media Corporation Series C Liberty Formula One Common Stock	Broadcasting	No	No	No	No	No	No
Liberty Media Corporation Series C Liberty SiriusXM Common Stock	Broadcasting	No	No	No	No	No	No
Liberty Resources Acquisition Corp. Unit		No	No	No	No	No	No
Liberty TripAdvisor Holdings Inc. Series A Common Stock		No	Yes	No	Yes	No	Yes
Liberty TripAdvisor Holdings Inc. Series B Common Stock		No	Yes	No	Yes	No	Yes
LifeMD Inc. 8.875% Series A Cumulative Perpetual Preferred Stock	Diversified Commercial Services	No	No	No	No	No	No
LifeMD Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
LifeStance Health Group Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Lifetime Brands Inc. Common Stock	Home Furnishings	No	No	No	No	No	No
Lifevantage Corporation Common Stock (Delaware)	Farming/Seeds/Milling	No	No	No	No	No	No
Lifeway Foods Inc. Common Stock	Specialty Foods	No	No	No	No	No	No
Ligand Pharmaceuticals Incorporated Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lightbridge Corporation Common Stock	Business Services	No	No	No	No	No	No
LightJump Acquisition Corporation Common Stock		No	No	No	No	No	No
LightJump Acquisition Corporation Unit		No	No	No	No	No	No
LightPath Technologies Inc. Class A Common Stock	Electronic Components	No	Yes	Yes	No	No	No
Lightwave Logic Inc. Common Stock	Plastic Products	No	No	No	No	No	Yes
Lilium N.V. Class A Ordinary Shares		No	No	No	No	No	No
Lilium N.V. Warrants		No	No	No	No	No	No
Limbach Holdings Inc. Common Stock	Military/Government/Technical	No	Yes	Yes	Yes	No	No
Limelight Networks Inc. Common Stock		No	No	No	No	No	No
Limestone Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Liminal BioSciences Inc. Common Shares		No	No	No	No	No	No
Limoneira Co Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Lincoln Educational Services Corporation Common Stock	Service to the Health Industry	No	No	No	No	No	No
Lincoln Electric Holdings Inc. Common Shares	Industrial Machinery/Components	No	Yes	Yes	No	Yes	No
Lindblad Expeditions Holdings Inc. Common Stock		No	No	No	No	No	No
Lion Group Holding Ltd. American Depositary Share	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Lion Group Holding Ltd. Warrant	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Lionheart Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
Lionheart Acquisition Corp. II Unit		No	No	No	No	No	No
Lionheart Acquisition Corp. II Warrant		No	No	No	No	No	No
Lionheart III Corp Unit	Business Services	No	No	No	No	No	No
Lipocine Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
LiqTech International Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Liquid Media Group Ltd. Common Shares		No	No	No	No	No	No
Liquidia Corporation Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Liquidity Services Inc. Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
Littelfuse Inc. Common Stock	Electronic Components	No	Yes	Yes	Yes	Yes	Yes
LivaNova PLC Ordinary Shares	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	Yes	Yes	Yes	Yes	No
Live Oak Bancshares Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
Live Ventures Incorporated Common Stock	Recreational Products/Toys	No	No	No	No	No	No
LiveOne Inc. Common Stock	Movies/Entertainment	No	No	No	No	No	No
LivePerson Inc. Common Stock	EDP Services	No	Yes	No	Yes	No	No
LiveVox Holding Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
LiveVox Holding Inc. Unit	EDP Services	No	No	No	No	No	No
LiveVox Holding Inc. Warrant	EDP Services	No	No	No	No	No	No
Lixiang Education Holding Co. Ltd. American Depositary Shares	Other Consumer Services	No	No	No	No	No	No
Lixte Biotechnology Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lixte Biotechnology Holdings Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
LIZHI INC. American Depositary Shares	Computer Software: Programming Data Processing	No	No	No	No	No	No
LKQ Corporation Common Stock	Automotive Aftermarket	No	No	No	No	No	No
LM Funding America Inc. Common Stock	Finance: Consumer Services	No	No	No	No	No	No
LMF Acquisition Opportunities Inc. Class A common stock		No	No	No	No	No	No
LMF Acquisition Opportunities Inc. Unit		No	No	No	No	No	No
LMF Acquisition Opportunities Inc. Warrant		No	No	No	No	No	No
LMP Automotive Holdings Inc. Common Stock		No	No	No	No	No	No
Logan Ridge Finance Corporation Common Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
LogicBio Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	No	Yes	No	No
Logistics Innovation Technologies Corp. Class A Common Stock		No	No	No	No	No	No
Logistics Innovation Technologies Corp. Units		No	No	No	No	No	No
Logistics Innovation Technologies Corp. Warrant		No	No	No	No	No	No
Logitech International S.A. Ordinary Shares	Computer peripheral equipment	No	Yes	No	Yes	Yes	Yes
Longboard Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Longeveron Inc. Class A Common Stock	Specialty Chemicals	No	No	No	No	No	No
Loop Industries Inc. Common Stock		No	No	No	No	No	Yes
Loral Space and Communications Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Lordstown Motors Corp. Class A Common Stock	Construction/Equipment/Trucks	No	No	No	No	No	No
Lottery.com Inc. Common Stock		No	No	No	No	No	No
Lottery.com Inc. Warrants		No	No	No	No	No	No
Loyalty Ventures Inc. Common Stock	EDP Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
LPL Financial Holdings Inc. Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	No	Yes
LSI Industries Inc. Common Stock	Building Products	Yes	Yes	Yes	Yes	No	Yes
Lucid Diagnostics Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Lucid Group Inc. Common Stock	Auto Manufacturing	No	No	No	No	No	No
Lucira Health Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
lululemon athletica inc. Common Stock		No	No	No	No	No	No
Lumentum Holdings Inc. Common Stock	Computer peripheral equipment	No	No	No	No	No	No
Luminar Technologies Inc. Class A Common Stock	EDP Services	Yes	Yes	Yes	Yes	Yes	Yes
LumiraDx Limited Common Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
LumiraDx Limited Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Lumos Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Luna Innovations Incorporated Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Luokung Technology Corp Ordinary Shares	EDP Services	No	No	No	No	No	No
Luther Burbank Corporation Common Stock	Commercial Banks	No	No	No	No	No	No
Lux Health Tech Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Lux Health Tech Acquisition Corp. Units		No	No	No	No	No	No
Lux Health Tech Acquisition Corp. Warrants		No	No	No	No	No	No
Lyell Immunopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Lyft Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Lyra Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	No	Yes
Macatawa Bank Corporation Common Stock	Major Banks	No	No	No	No	No	No
MACOM Technology Solutions Holdings Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Macondray Capital Acquisition Corp. I Class A Ordinary Shares		No	No	No	No	No	No
Macondray Capital Acquisition Corp. I Unit		No	No	No	No	No	No
Macondray Capital Acquisition Corp. I Warrant		No	No	No	No	No	No
MacroGenics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	Yes
Madrigal Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Magellan Health Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
Magenta Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Magic Software Enterprises Ltd. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Magnite Inc. Common Stock	Advertising	No	No	No	No	No	No
Magyar Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Maiden Holdings Ltd.	Property-Casualty Insurers	No	Yes	Yes	No	Yes	No
MainStreet Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	Yes
MainStreet Bancshares Inc. Depositary Shares	Major Banks	No	No	No	No	No	Yes
Mainz Biomed B.V. Ordinary Shares		No	No	No	No	No	No
MakeMyTrip Limited Ordinary Shares	Internet and Information Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Malacca Straits Acquisition Company Limited Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Malacca Straits Acquisition Company Limited Warrants	Business Services	No	No	No	No	No	No
Malibu Boats Inc. Class A Common Stock	Recreational Products/Toys	No	Yes	No	Yes	Yes	No
Mallard Acquisition Corp. Common stock		No	No	No	No	No	No
Mallard Acquisition Corp. Warrant		No	No	No	No	No	No
Malvern Bancorp Inc. Common Stock	Banks	No	No	No	No	No	No
MamaMancini's Holdings Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Mammoth Energy Services Inc. Common Stock	Oilfield Services/Equipment	No	No	Yes	No	No	Yes
Mandiant Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	Yes
Manhattan Associates Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	No	Yes
Manhattan Bridge Capital Inc	Real Estate Investment Trusts	No	No	No	No	No	No
Manitex International Inc. Common Stock	Home Furnishings	No	No	No	No	No	Yes
Mannatech Incorporated Common Stock	Specialty Chemicals	No	No	No	No	No	No
MannKind Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ManTech International Corporation Common Stock \$0.01 Par Value	EDP Services	No	No	No	No	No	No
Maquia Capital Acquisition Corporation Class A Common Stock		No	No	No	No	No	No
Maquia Capital Acquisition Corporation Warrant		No	No	No	No	No	No
Marathon Digital Holdings Inc. Common Stock	Investment Managers	No	No	No	No	No	No
Maravai LifeSciences Holdings Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Marblegate Acquisition Corp. Unit		No	No	No	No	No	No
Marchex Inc. Class B Common Stock	Business Services	No	No	No	No	No	No
Marin Software Incorporated Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Marine Petroleum Trust Units of Beneficial Interest	Oilfield Services/Equipment	No	No	No	No	No	No
Marinus Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Marker Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
MarketAxess Holdings Inc. Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	Yes	Yes
MarketWise Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
MarketWise Inc. Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
Marlin Business Services Corp. Common Stock	Finance Companies	No	No	No	No	No	No
Marlin Technology Corporation Class A Ordinary Share	Business Services	No	No	No	No	No	No
Marlin Technology Corporation Unit	Business Services	No	No	No	No	No	No
Marlin Technology Corporation Warrant	Business Services	No	No	No	No	No	No
Marpai Inc. Class A Common Stock	Managed Health Care	No	No	No	No	No	No
Marqeta Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Marriott International Class A Common Stock	Hotels/Resorts	No	No	No	No	No	No
Marrone Bio Innovations Inc. Common Stock	Major Chemicals	No	No	No	No	No	No
Marten Transport Ltd. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Martin Midstream Partners L.P. Limited Partnership	Oil Refining/Marketing	No	No	No	No	No	No
Marvell Technology Inc. Common Stock	Semiconductors	No	Yes	No	Yes	No	No
Masimo Corporation Common Stock	Medical/Dental Instruments	No	Yes	Yes	Yes	Yes	No
MasterCraft Boat Holdings Inc. Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Match Group Inc. Common Stock		No	No	No	No	No	No
Materialise NV American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Matrix Service Company Common Stock	Military/Government/Technical	No	Yes	Yes	Yes	No	No
Mattel Inc. Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Matterport Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Matterport Inc. Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
Matthews International Corporation Class A Common Stock		No	No	No	No	No	No
Mawson Infrastructure Group Inc. Common Stock	EDP Services	No	No	No	No	No	No
MaxCyte Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	Yes	Yes	No	No	Yes
Maxon Solar Technologies Ltd. Ordinary Shares	Investment Managers	No	No	No	No	No	No
Maxpro Capital Acquisition Corp. Units		No	No	No	No	No	No
McAfee Corp. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
MCAP Acquisition Corporation Class A Common Stock		No	No	No	No	No	No
MCAP Acquisition Corporation Unit		No	No	No	No	No	No
MCAP Acquisition Corporation Warrants		No	No	No	No	No	No
McGrath RentCorp Common Stock	Diversified Commercial Services	No	Yes	No	Yes	No	Yes
McLaren Technology Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
MDJM LTD Ordinary Share	Real Estate	No	No	No	No	No	No
MDxHealth SA American Depositary Shares		No	No	No	No	No	No
MeaTech 3D Ltd. American Depositary Shares	Packaged Foods	No	No	No	No	No	No
Medalist Diversified REIT Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Medalist Diversified REIT Inc. Series A Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Medallion Bank Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock Series F	Commercial Banks	No	No	No	No	No	No
Medallion Financial Corp. Common Stock	Diversified Financial Services	No	No	No	No	No	No
MedAvail Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Mediacorp Holding Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
Medicenna Therapeutics Corp. Common Shares		No	No	No	No	No	No
Medicnova Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Medicus Sciences Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Medicus Sciences Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Medigus Ltd. American Depositary Shares	Medical/Dental Instruments	No	No	No	No	No	No
Medigus Ltd. Series C Warrant	Medical/Dental Instruments	No	No	No	No	No	No
MEDIROM Healthcare Technologies Inc. American Depositary Share	Other Consumer Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
MediWound Ltd. Ordinary Shares	Specialty Chemicals	No	No	No	No	No	No
Medpace Holdings Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
MedTech Acquisition Corporation Class A Common Stock		No	No	No	No	No	No
MedTech Acquisition Corporation Unit		No	No	No	No	No	No
MedTech Acquisition Corporation Warrant		No	No	No	No	No	No
MEI Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
MeiraGTx Holdings plc Ordinary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Melco Resorts & Entertainment Limited American Depositary Shares	Hotels/Resorts	No	No	No	No	No	No
MELI Kaszek Pioneer Corp Class A Ordinary Shares		No	No	No	No	No	No
MercadoLibre Inc. Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
Mercantile Bank Corporation Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
Mercato Partners Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Mercer International Inc. Common Stock	Paper	No	Yes	No	Yes	Yes	No
Merchants Bancorp 7.00% Fixed-to-Floating Rate Series A Non-Cumulative Perpetual Preferred Stock	Major Banks	No	No	No	No	No	No
Merchants Bancorp Common Stock	Major Banks	No	No	No	No	No	No
Merchants Bancorp Depositary Shares Each Representing a 1/40th Interest in a Share of Series B Fixed-to-Floating Rate	Major Banks	No	No	No	No	No	No
Merchants Bancorp Depositary Shares Preferred Series C	Major Banks	No	No	No	No	No	No
Mercurity Fintech Holding Inc. ADS	EDP Services	No	No	No	No	No	No
Mercury Ecommerce Acquisition Corp Class A Common Stock		No	Yes	Yes	Yes	No	No
Mercury Ecommerce Acquisition Corp Unit		No	Yes	Yes	Yes	No	No
Mercury Ecommerce Acquisition Corp Warrants		No	Yes	Yes	Yes	No	No
Mercury Systems Inc Common Stock		No	Yes	Yes	Yes	No	No
Mereo BioPharma Group plc American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Merida Merger Corp. I Common Stock		No	No	No	No	No	No
Merida Merger Corp. I Warrant		No	No	No	No	No	No
Meridian Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Meridian Bioscience Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Meridian Corporation Common Stock	Major Banks	No	No	No	No	No	No
Merit Medical Systems Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Merrimack Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Mersana Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	Yes
Merus N.V. Common Shares	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
Mesa Air Group Inc. Common Stock	Air Freight/Delivery Services	No	Yes	Yes	Yes	No	No
Mesa Laboratories Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	Yes	No	Yes
Mesoblast Limited American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Meta Financial Group Inc. Common Stock	Banks	No	No	No	No	No	No
Meta Materials Inc. Common Stock	Semiconductors	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Meta Platforms Inc. Class A Common Stock	Internet and Information Services	Yes	Yes	No	Yes	No	No
Metacrine Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	Yes	Yes
Meten Holding Group Ltd. Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
Meten Holding Group Ltd. Warrant	Other Consumer Services	No	No	No	No	No	No
Methanex Corporation Common Stock		No	No	No	No	No	No
MetroCity Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Metromile Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Metromile Inc. Warrant	Property-Casualty Insurers	No	No	No	No	No	No
MGE Energy Inc		No	Yes	Yes	No	Yes	Yes
MGP Ingredients Inc.	Beverages (Production/Distribution)	No	No	No	No	No	No
Microbot Medical Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Microchip Technology Incorporated Common Stock	Semiconductors	No	Yes	No	No	No	No
Micron Technology Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Microsoft Corporation Common Stock	Computer Software: Prepackaged Software	No	Yes	No	No	No	No
MicroStrategy Incorporated Common Stock Class A	Computer Software: Prepackaged Software	No	No	No	No	No	No
Microvast Holdings Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Microvast Holdings Inc. Warrants	Industrial Machinery/Components	No	No	No	No	No	No
MicroVision Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
MICT Inc. Common Stock	EDP Peripherals	No	No	No	No	No	No
Mid Penn Bancorp Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	Yes
Mid-Southern Bancorp Inc. Common Stock	Major Banks	No	No	Yes	No	Yes	No
Midatech Pharma PLC American Depositary Shs	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Middleby Corporation (The) Common Stock	Diversified Manufacture	No	Yes	Yes	No	No	No
Middlefield Banc Corp. Common Stock	Major Banks	No	Yes	Yes	No	Yes	No
Middlesex Water Company Common Stock	Water Supply	No	Yes	Yes	Yes	No	No
Midland States Bancorp Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	Yes	No
Midwest Holding Inc. Common Stock	Life Insurance	No	No	No	No	No	No
MidWestOne Financial Gp Common Stock	Major Banks	No	No	No	No	No	No
Milestone Pharmaceuticals Inc. Common Shares		Yes	Yes	No	Yes	Yes	Yes
MillerKnoll Inc. Common Stock	Wholesale Distributors	No	No	No	No	No	No
Millicom International Cellular S.A. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Mimecast Limited Ordinary Shares	EDP Services	No	No	No	No	No	No
MiMedx Group Inc Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
MIND C.T.I. Ltd. Ordinary Shares	EDP Services	No	No	No	No	No	No
Mind Medicine (MindMed) Inc. Subordinate Voting Shares		No	No	No	No	No	No
MIND Technology Inc. Common Stock (DE)	Industrial Machinery/Components	No	No	No	No	No	No
MIND Technology Inc. Series A 9.00% Series A Cumulative Preferred Stock (DE)	Industrial Machinery/Components	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Minerva Neurosciences Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Minerva Surgical Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
MingZhu Logistics Holdings Limited Ordinary Shares	Transportation Services	No	No	No	No	No	No
Minim Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
MiNK Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Minority Equality Opportunities Acquisition Inc. Class A Common Stock		No	No	No	No	No	No
Minority Equality Opportunities Acquisition Inc. Units		No	No	No	No	No	No
Minority Equality Opportunities Acquisition Inc. Warrants		No	No	No	No	No	No
Mirati Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Miromatrix Medical Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Mirum Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Missfresh Limited American Depositary Shares		No	No	No	No	No	No
Mission Produce Inc. Common Stock		No	Yes	No	Yes	No	No
Mitek Systems Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	No	No
MKS Instruments Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	Yes	No	No
MMTec Inc. Common Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Model Performance Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Model Performance Acquisition Corp. Right	Business Services	No	No	No	No	No	No
Model Performance Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Moderna Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
ModivCare Inc. Common Stock		No	Yes	Yes	Yes	No	No
Mogo Inc. Common Shares		No	No	No	No	No	No
Molecular Data Inc. American Depositary Shares	Business Services	No	No	No	No	No	No
Molecular Partners AG American Depositary Shares		No	No	No	No	No	No
Molecular Templates Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	Yes	No	No	Yes
Moleculin Biotech Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Momentive Global Inc. Common Stock	EDP Services	No	No	No	No	No	No
Momentum Inc. Class A Common Stock		No	No	No	No	No	No
Momentum Inc. Warrant		No	No	No	No	No	No
Monarch Casino & Resort Inc. Common Stock	Movies/Entertainment	Yes	Yes	Yes	Yes	Yes	Yes
monday.com Ltd. Ordinary Shares		No	No	No	No	No	No
Mondelez International Inc. Class A Common Stock	Meat/Poultry/Fish	No	Yes	Yes	No	Yes	No
Moneygram International Inc. Common Stock	Business Services	No	Yes	No	Yes	No	Yes
MongoDB Inc. Class A Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	No	Yes	No	Yes
Monolithic Power Systems Inc. Common Stock	Semiconductors	No	Yes	No	No	No	No
Monopar Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Monro Inc. Common Stock		No	Yes	No	Yes	No	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Monroe Capital Corporation Common Stock	Investment Managers	No	No	No	No	No	No
Monster Beverage Corporation	Beverages (Production/Distribution)	Yes	Yes	Yes	Yes	No	No
Montauk Renewables Inc. Common Stock		Yes	Yes	Yes	Yes	No	Yes
Monte Rosa Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Monterey Bio Acquisition Corporation Unit		No	No	No	No	No	No
Monument Circle Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Monument Circle Acquisition Corp. Unit		No	No	No	No	No	No
Monument Circle Acquisition Corp. Warrant		No	No	No	No	No	No
Moringa Acquisition Corp Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Moringa Acquisition Corp Units	Business Services	No	No	No	No	No	No
Moringa Acquisition Corp Warrant	Business Services	No	No	No	No	No	No
Morningstar Inc. Common Stock	Advertising	No	No	No	No	No	No
Morphic Holding Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
MorphoSys AG American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
MoSys Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Motorcar Parts of America Inc. Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Motorsport Games Inc. Class A Common Stock		No	No	No	No	No	No
Motus GI Holdings Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Mount Rainier Acquisition Corp. Unit		No	No	No	No	No	No
Mountain & Co. I Acquisition Corp. Unit		No	No	No	No	No	No
Mountain Crest Acquisition Corp. III Common Stock		No	No	No	No	No	No
Mountain Crest Acquisition Corp. III Right		No	No	No	No	No	No
Mountain Crest Acquisition Corp. IV Common Stock		No	No	No	No	No	No
Mountain Crest Acquisition Corp. IV Rights		No	No	No	No	No	No
Movano Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Moxian (BVI) Inc Ordinary Shares		No	No	No	No	No	No
Mr. Cooper Group Inc. Common Stock	Finance: Consumer Services	No	No	No	No	No	No
MSD Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
MSD Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
MSD Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Mudrick Capital Acquisition Corporation II Class A Common Stock		No	No	No	No	No	No
Mudrick Capital Acquisition Corporation II Unit		No	No	No	No	No	No
Mudrick Capital Acquisition Corporation II Warrant		No	No	No	No	No	No
Mullen Automotive Inc. Common Stock	EDP Services	No	No	No	No	No	No
Muscle Maker Inc Common Stock		No	No	No	No	No	No
Mustang Bio Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
MVB Financial Corp. Common Stock	Savings Institutions	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
My Size Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
MyMD Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
MYR Group Inc. Common Stock	Water Supply	No	Yes	Yes	Yes	Yes	No
Myriad Genetics Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Nabriva Therapeutics plc Ordinary Shares Ireland	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Naked Brand Group Limited Ordinary Shares	Industrial Specialties	No	No	No	No	No	No
Nano Dimension Ltd. American Depositary Shares	Electrical Products	No	No	No	No	No	No
NANO-X IMAGING LTD Ordinary Shares	Medical Electronics	No	No	No	No	No	No
Nanobiotix S.A. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
NanoString Technologies Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
NanoVibronix Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
NantHealth Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
NAPCO Security Technologies Inc. Common Stock	Diversified Manufacture	No	No	No	No	No	No
Nasdaq Inc. Common Stock	Investment Bankers/Brokers/Service	Yes	Yes	Yes	Yes	Yes	No
Natera Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Nathan's Famous Inc. Common Stock	Restaurants	No	No	No	No	No	No
National Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
National Beverage Corp. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
National CineMedia Inc. Common Stock	Advertising	No	Yes	No	Yes	No	No
National Energy Services Reunited Corp. Ordinary Shares	Oilfield Services/Equipment	No	No	No	No	No	No
National Energy Services Reunited Corp. Warrant	Oilfield Services/Equipment	No	No	No	No	No	No
National Instruments Corporation Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
National Research Corporation Common Stock (Delaware)	Managed Health Care	No	No	No	No	No	No
National Security Group Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
National Vision Holdings Inc. Common Stock	Ophthalmic Goods	No	No	No	No	No	No
National Western Life Group Inc. Class A Common Stock	Life Insurance	No	Yes	Yes	Yes	Yes	Yes
Natural Alternatives International Inc. Common Stock	Food Distributors	No	No	No	No	No	No
Natural Health Trends Corp. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Natural Order Acquisition Corp. Common Stock		No	No	No	No	No	No
Natural Order Acquisition Corp. Unit		No	No	No	No	No	No
Natural Order Acquisition Corp. Warrant		No	No	No	No	No	No
Nature's Sunshine Products Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Natus Medical Incorporated Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Nautilus Biotechnolgy Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Navient Corporation 6% Senior Notes due December 15 2043	Finance: Consumer Services	No	Yes	Yes	Yes	No	Yes
Navient Corporation Common Stock	Finance: Consumer Services	No	Yes	Yes	Yes	No	Yes
Navitas Semiconductor Corporation Common Stock	Semiconductors	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Navitas Semiconductor Corporation Warrants	Semiconductors	No	No	No	No	No	No
NBT Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
nCino Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
NCS Multistage Holdings Inc. Common Stock	Oilfield Services/Equipment	No	Yes	No	Yes	No	No
Nektar Therapeutics Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Nemauro Medical Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
NeoGames S.A. Ordinary Shares	Services-Misc. Amusement & Recreation	No	No	No	No	No	No
Neogen Corporation Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	Yes	Yes	No	No	Yes
NeoGenomics Inc. Common Stock	Precision Instruments	No	Yes	Yes	Yes	No	No
Neoleukin Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Neonode Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Neovasc Inc. Common Shares		No	No	No	No	No	No
Nephros Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Neptune Wellness Solutions Inc. Ordinary Shares		No	No	No	No	No	No
NerdWallet Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Net 1 UEPS Technologies Inc. Common Stock	EDP Services	No	No	No	No	No	No
NetApp Inc. Common Stock	Electronic Components	No	No	No	No	No	No
NetEase Inc. American Depositary Shares	Business Services	No	No	No	No	No	No
Netflix Inc. Common Stock	Movies/Entertainment	No	Yes	Yes	No	Yes	No
NETGEAR Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
NetScout Systems Inc. Common Stock	EDP Services	No	Yes	No	No	No	No
NetSol Technologies Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
NeuBase Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
NeuroBo Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Neurocrine Biosciences Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	No	Yes	No	No
NeuroMetrix Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Neuronetics Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
NeuroOne Medical Technologies Corporation Common Stock	Medical Specialties	No	No	No	No	No	No
Neuropace Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
New Fortress Energy Inc. Class A Common Stock	Oil/Gas Transmission	No	No	No	No	No	No
New Mountain Finance Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
New Providence Acquisition Corp. II Unit		No	No	No	No	No	No
New Vista Acquisition Corp Class A Ordinary Shares	Business Services	No	No	No	No	No	No
New Vista Acquisition Corp Unit	Business Services	No	No	No	No	No	No
New Vista Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
New York Mortgage Trust Inc. 6.875% Series F Fixed-to-Floating Rate Cumulative Redeemable Preferred Stock \$0.01 par value per share	Real Estate Investment Trusts	No	No	No	No	No	No
New York Mortgage Trust Inc. 7.75% Series B Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
New York Mortgage Trust Inc. 7.875% Series E Fixed-to-Floating Rate Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
New York Mortgage Trust Inc. 8.00% Series D Fixed-to-Floating Rate Cumulative Redeemable Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
New York Mortgage Trust Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
NewAge Inc. Common Stock (Delaware)	Beverages (Production/Distribution)	No	No	No	No	No	No
Newbury Street Acquisition Corporation Common Stock		No	No	No	No	No	No
Newbury Street Acquisition Corporation Units		No	No	No	No	No	No
Newbury Street Acquisition Corporation Warrants		No	No	No	No	No	No
Newcourt Acquisition Corp Unit		No	No	No	No	No	No
Newegg Commerce Inc. Common Shares		No	No	No	No	No	No
Newell Brands Inc. Common Stock	Plastic Products	No	Yes	Yes	Yes	No	No
NewHold Investment Corp. II Unit		No	No	No	No	No	No
Newmark Group Inc. Class A Common Stock	Real Estate	No	Yes	Yes	Yes	No	No
News Corporation Class A Common Stock	Broadcasting	No	No	No	No	No	No
News Corporation Class B Common Stock	Broadcasting	No	No	No	No	No	No
Newtek Business Services Corp. 5.50% Notes Due 2026		No	No	No	No	No	No
Newtek Business Services Corp. 5.75% Notes due 2024	Finance/Investors Services	No	No	No	No	No	No
Newtek Business Services Corp. Common Stock (Maryland)	Finance/Investors Services	No	No	No	No	No	No
NexImmune Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Nexstar Media Group Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
NextCure Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
NextDecade Corporation Common Stock	Oil & Gas Production	No	No	No	No	No	No
Nexters Inc. Ordinary Shares		No	No	No	No	No	No
Nexters Inc. Warrant		No	No	No	No	No	No
NextGen Acquisition Corp. II Class A Ordinary Shares	Business Services	No	No	No	No	No	No
NextGen Acquisition Corp. II Units	Business Services	No	No	No	No	No	No
NextGen Acquisition Corp. II Warrant	Business Services	No	No	No	No	No	No
NextGen Healthcare Inc. Common Stock	Managed Health Care	No	Yes	No	Yes	No	Yes
NextNav Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
NextNav Inc. Warrant	Industrial Machinery/Components	No	No	No	No	No	No
NextPlay Technologies Inc. Common Stock	EDP Services	No	No	No	No	No	No
NGM Biopharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	Yes	Yes	Yes	No	No
NI Holdings Inc. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
NICE Ltd American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Nicholas Financial Inc. Common Stock		No	No	No	No	No	No
Nicolet Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
NightDragon Acquisition Corp. Class A Common stock		No	No	No	No	No	No
NightDragon Acquisition Corp. SCALE Units		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
NightDragon Acquisition Corp. Warrants to purchase Class A common stock		No	No	No	No	No	No
Nikola Corporation Common Stock	Construction/Equipment/Trucks	No	No	No	No	No	No
NiSun International Enterprise Development Group Co. Ltd. Class A Common Shares	Metal Fabrications	No	No	No	No	No	No
Niu Technologies American Depositary Shares	Auto Manufacturing	No	No	No	No	No	No
Nkarta Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
nLIGHT Inc. Common Stock	Semiconductors	No	No	No	No	No	No
NLS Pharmaceuticals Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
NLS Pharmaceuticals Ltd. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
NMI Holdings Inc. Class A Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
NN Inc. Common Stock		No	No	No	No	No	No
Noble Rock Acquisition Corporation Class A Ordinary Share	Business Services	No	No	No	No	No	No
Noble Rock Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Noble Rock Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Nocturne Acquisition Corporation Ordinary Shares	Business Services	No	No	No	No	No	No
Nocturne Acquisition Corporation Right		No	No	No	No	No	No
Nocturne Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Noodles & Company Class A Common Stock	Restaurants	No	Yes	Yes	Yes	No	No
Nordson Corporation Common Stock	Industrial Machinery/Components	No	Yes	Yes	Yes	No	No
Nortech Systems Incorporated Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
North Atlantic Acquisition Corporation Class A Ordinary Share	Business Services	No	No	No	No	No	No
North Atlantic Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
North Atlantic Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
North Mountain Merger Corp. Class A Common Stock		No	No	No	No	No	No
North Mountain Merger Corp. Unit		No	No	No	No	No	No
North Mountain Merger Corp. Warrant		No	No	No	No	No	No
Northeast Bank Common Stock	Major Banks	No	No	No	No	No	No
NorthEast Community Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Northern Lights Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Northern Lights Acquisition Corp. Warrants		No	No	No	No	No	No
Northern Technologies International Corporation Common Stock	Major Chemicals	No	No	No	No	No	No
Northern Trust Corporation Common Stock	Trusts Except Educational Religious and Charitable	No	Yes	Yes	Yes	No	No
Northern Trust Corporation Depositary Shares Each Representing a 1/1000th Interest in a Share of Series E Non-Cumulative Perpetual Preferred Stock	Trusts Except Educational Religious and Charitable	No	Yes	Yes	Yes	No	No
Northfield Bancorp Inc. Common Stock (Delaware)	Major Banks	No	No	No	No	No	No
Northrim Bancorp Inc Common Stock	Savings Institutions	No	No	No	Yes	No	Yes
Northwest Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Northwest Pipe Company Common Stock	Steel/Iron Ore	No	No	No	No	No	No
NorthWestern Corporation Common Stock	Power Generation	No	Yes	No	Yes	No	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
NortonLifeLock Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Norwood Financial Corp. Common Stock	Major Banks	No	No	No	No	No	No
Nova Lifestyle Inc. Common Stock	Home Furnishings	No	No	No	No	No	No
Nova Ltd. Ordinary Shares	Electronic Components	No	No	No	No	No	No
Nova Vision Acquisition Corp. Ordinary share		No	No	No	No	No	No
Nova Vision Acquisition Corp. Rights		No	No	No	No	No	No
Nova Vision Acquisition Corp. Unit		No	No	No	No	No	No
Nova Vision Acquisition Corp. Warrant		No	No	No	No	No	No
Novan Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Novanta Inc. Common Stock		No	Yes	No	No	No	No
Novavax Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Novo Integrated Sciences Inc. Common Stock	Assisted Living Services	No	No	No	No	No	No
NovoCure Limited Ordinary Shares	Medical/Dental Instruments	No	No	No	No	No	No
NRX Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
NRX Pharmaceuticals Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Nuance Communications Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
NuCan plc American Depositary Share	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Nurix Therapeutics Inc. Common stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Nutanix Inc. Class A Common Stock	EDP Services	No	Yes	Yes	Yes	Yes	Yes
Nutriband Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Nutriband Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Nuvalent Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
NuVasive Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Nuveen NASDAQ 100 Dynamic Overwrite Fund Shares of Beneficial Interest	Finance Companies	No	No	No	No	No	No
Nuvei Corporation Subordinate Voting Shares		No	No	No	No	No	No
Nuvve Holding Corp. Common Stock	Oil Refining/Marketing	No	No	No	No	No	No
Nuvve Holding Corp. Warrant	Oil Refining/Marketing	No	No	No	No	No	No
Nuwellis Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
NuZee Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
NV5 Global Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
NVE Corporation Common Stock	Semiconductors	No	No	No	No	No	No
NVIDIA Corporation Common Stock	Semiconductors	No	No	No	No	No	No
NXP Semiconductors N.V. Common Stock	Semiconductors	No	Yes	No	Yes	No	No
Nxt-ID Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Nymox Pharmaceutical Corporation Common Stock (Bahamas)	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Nyxoah SA Ordinary Shares		No	No	No	No	No	No
O'Reilly Automotive Inc. Common Stock	Other Specialty Stores	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
O2Micro International Limited American Depositary Shares	Semiconductors	No	No	No	No	No	No
Oak Valley Bancorp (CA) Common Stock	Major Banks	No	Yes	No	No	No	No
Oaktree Specialty Lending Corporation Common Stock	Finance: Consumer Services	No	No	No	No	No	No
Oasis Midstream Partners LP Common Units Representing Limited Partner Interests	Oil & Gas Production	No	No	No	No	No	No
Oasis Petroleum Inc. Common Stock	Oil & Gas Production	No	Yes	Yes	No	No	No
Oatly Group AB American Depositary Shares		No	No	No	No	No	No
Oblong Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
ObsEva SA Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
OCA Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
OCA Acquisition Corp. Unit		No	No	No	No	No	No
OCA Acquisition Corp. Warrant		No	No	No	No	No	No
Ocean Bio-Chem Inc. Common Stock		No	No	No	No	No	No
OceanFirst Financial Corp. Common Stock	Savings Institutions	No	No	No	No	No	No
OceanFirst Financial Corp. Depositary Shares	Savings Institutions	No	No	No	No	No	No
OceanTech Acquisitions I Corp. Class A Common Stock		No	No	No	No	No	No
OceanTech Acquisitions I Corp. Units		No	No	No	No	No	No
OceanTech Acquisitions I Corp. Warrant		No	No	No	No	No	No
Oconee Federal Financial Corp. Common Stock	Savings Institutions	No	No	No	No	No	No
Ocugen Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ocular Therapeutix Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ocuphire Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Odonate Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Odyssey Marine Exploration Inc. Common Stock	EDP Services	No	No	No	No	No	No
Office Properties Income Trust 6.375% Senior Notes due 2050	Real Estate Investment Trusts	No	No	No	No	No	No
Office Properties Income Trust Common Shares of Beneficial Interest	Real Estate Investment Trusts	No	No	No	No	No	No
OFS Capital Corporation 4.95% Notes due 2028	Finance Companies	No	No	No	No	No	No
OFS Capital Corporation 5.95% Notes due 2026	Finance Companies	No	No	No	No	No	No
OFS Capital Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
OFS Credit Company Inc. 6.125% Series C Term Preferred Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
OFS Credit Company Inc. 6.875% Series A Term Preferred Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
OFS Credit Company Inc. Common Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
Ohio Valley Banc Corp. Common Stock	Major Banks	No	No	No	No	No	No
Okta Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Olaplex Holdings Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Old Dominion Freight Line Inc. Common Stock	Trucking Freight/Courier Services	No	Yes	Yes	Yes	Yes	No
Old National Bancorp Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
Old Point Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Old Second Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Olema Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	Yes
Olink Holding AB (publ) American Depositary Shares	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Ollie's Bargain Outlet Holdings Inc. Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
Olympic Steel Inc. Common Stock	Metal Fabrications	No	Yes	Yes	No	Yes	No
Omega Alpha SPAC Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Omega Flex Inc. Common Stock	Building Products	No	No	No	No	No	No
Omega Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Omeros Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	No
Omniceil Inc. Common Stock (\$0.001 par value)	Managed Health Care	No	No	No	No	No	No
OmniLit Acquisition Corp. Units		No	No	No	No	No	No
OMNIQ Corp. Common Stock	EDP Services	No	No	No	No	No	No
ON Semiconductor Corporation Common Stock	Semiconductors	No	Yes	Yes	Yes	No	No
Oncocyte Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Oncolytics Biotech Inc. Common Shares		No	No	No	No	No	No
Onconova Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Oncorus Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
OncoSec Medical Incorporated Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Oncternal Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ondas Holdings Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
One Equity Partners Open Water I Corp. Class A Common Stock		No	No	No	No	No	No
One Equity Partners Open Water I Corp. Unit		No	No	No	No	No	No
One Equity Partners Open Water I Corp. Warrant		No	No	No	No	No	No
One Stop Systems Inc. Common Stock	Computer Manufacturing	No	No	No	No	No	No
OneSpan Inc. Common Stock	EDP Services	No	No	No	No	No	No
OneSpaWorld Holdings Limited Common Shares	Hotels/Resorts	No	Yes	No	Yes	No	No
OneWater Marine Inc. Class A Common Stock	Homebuilding	No	Yes	Yes	Yes	No	No
Ontrak Inc. 9.50% Series A Cumulative Perpetual Preferred Stock	Medical Specialties	No	No	No	No	No	No
Ontrak Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Onyx Acquisition Co. I Unit		No	No	No	No	No	No
OP Bancorp Common Stock	Commercial Banks	No	No	No	No	No	No
Open Lending Corporation Class A Common Stock	Finance: Consumer Services	No	No	No	No	No	No
Open Text Corporation Common Shares		No	No	No	No	No	No
Opendoor Technologies Inc Common Stock	Real Estate	No	No	No	No	No	No
Opera Limited American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
OpGen Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Opiant Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
OPKO Health Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Oportun Financial Corporation Common Stock	Finance Companies	No	No	No	No	No	No
Opthea Limited American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Optibase Ltd. Ordinary Shares	Building operators	No	No	No	No	No	No
Optical Cable Corporation Common Stock	Telecommunications Equipment	No	No	No	No	No	No
OptimizeRx Corporation Common Stock	Managed Health Care	No	Yes	Yes	Yes	No	No
OptimumBank Holdings Inc. Common Stock	Major Banks	No	No	No	No	No	No
OptiNose Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Option Care Health Inc. Common Stock	Medical/Nursing Services	No	No	No	No	No	No
OPY Acquisition Corp. I Units		No	No	No	No	No	No
Oramed Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Orange County Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
OraSure Technologies Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Orbital Energy Group Inc. Common Stock		No	No	No	No	No	No
Orbsat Corp Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Orbsat Corp Warrants	Telecommunications Equipment	No	No	No	No	No	No
Orchard Therapeutics plc American Depositary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Organigram Holdings Inc. Common Shares		No	No	No	No	No	No
Organogenesis Holdings Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Organovo Holdings Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Orgenesis Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Oric Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Oriental Culture Holding LTD Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
Origin Agritech Limited Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Origin Bancorp Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
Origin Materials Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Origin Materials Inc. Warrants	Major Chemicals	No	No	No	No	No	No
Orion Acquisition Corp. Class A common stock		No	No	No	No	No	No
Orion Acquisition Corp. Warrant		No	No	No	No	No	No
Orion Biotech Opportunities Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Orion Biotech Opportunities Corp. Warrant	Business Services	No	No	No	No	No	No
Orion Energy Systems Inc. Common Stock	Building Products	No	Yes	No	Yes	Yes	Yes
Orphazyme A/S American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Orrstown Financial Services Inc Common Stock	Major Banks	No	No	No	No	No	No
Ortho Clinical Diagnostics Holdings plc Ordinary Shares	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Orthofix Medical Inc. Common Stock (DE)	Medical/Dental Instruments	No	No	No	No	No	No
OrthoPediatrics Corp. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
OSI Systems Inc. Common Stock (DE)	Industrial Machinery/Components	No	Yes	Yes	Yes	No	No
Osmotica Pharmaceuticals plc Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Otonomo Technologies Ltd. Ordinary shares		No	No	No	No	No	No
Otonomo Technologies Ltd. Warrant		No	No	No	No	No	No
Otonomy Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
OTR Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
OTR Acquisition Corp. Warrant		No	No	No	No	No	No
Otter Tail Corporation Common Stock	Electric Utilities: Central	Yes	Yes	Yes	Yes	Yes	No
Outbrain Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Outlook Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Outlook Therapeutics Inc. Series A Warrant Expiring 02/18/2022	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Outset Medical Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Overstock.com Inc. Common Stock	Catalog/Specialty Distribution	No	No	No	No	No	No
Ovid Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Oxbridge Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
Oxbridge Acquisition Corp. Unit		No	No	No	No	No	No
Oxbridge Acquisition Corp. Warrant		No	No	No	No	No	No
Oxbridge Re Holdings Limited Ordinary Shares	Property-Casualty Insurers	No	No	No	No	No	No
Oxbridge Re Holdings Limited Warrant expiring 3/26/2024	Property-Casualty Insurers	No	No	No	No	No	No
Oxford Lane Capital Corp. 6.25% Series 2027 Term Preferred Shares	Investment Managers	No	No	No	No	No	No
Oxford Lane Capital Corp. 6.75% Notes due 2031	Finance Companies	No	No	No	No	No	No
Oxford Lane Capital Corp. 6.75% Series 2024 Term Preferred Stock	Investment Managers	No	No	No	No	No	No
Oxford Lane Capital Corp. Common Stock	Investment Managers	No	No	No	No	No	No
Oxford Lane Capital Corp. Preferred Stock Shares 6.00% Series 2029	Investment Managers	No	No	No	No	No	No
Oxford Square Capital Corp. 5.50% Notes due 2028		No	No	No	No	No	No
Oxford Square Capital Corp. 6.25% Notes due 2026	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Oxford Square Capital Corp. 6.50% Notes due 2024		No	No	No	No	No	No
Oxford Square Capital Corp. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Oxus Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
Oxus Acquisition Corp. Unit		No	No	No	No	No	No
Oxus Acquisition Corp. Warrant		No	No	No	No	No	No
Oyster Enterprises Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Oyster Enterprises Acquisition Corp. Unit		No	No	No	No	No	No
Oyster Enterprises Acquisition Corp. Warrant		No	No	No	No	No	No
Oyster Point Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Ozon Holdings PLC American Depositary Shares each ADS representing one ordinary share	Catalog/Specialty Distribution	No	No	No	No	No	No
P & F Industries Inc. Class A Common Stock	Industrial Machinery/Components	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
P.A.M. Transportation Services Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
PACCAR Inc. Common Stock	Auto Manufacturing	No	Yes	Yes	Yes	No	No
Pacific Biosciences of California Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Pacific Premier Bancorp Inc	Major Banks	No	Yes	Yes	Yes	No	Yes
Pacifico Acquisition Corp. Common Stock		No	No	No	No	No	No
Pacifico Acquisition Corp. Rights		No	No	No	No	No	No
Pacira BioSciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pactiv Evergreen Inc. Common stock	Containers/Packaging	No	No	No	No	No	Yes
PacWest Bancorp Common Stock	Major Banks	No	No	No	No	No	No
PAE Incorporated Class A Common Stock	Business Services	No	Yes	Yes	Yes	No	No
PAE Incorporated Warrants	Business Services	No	Yes	Yes	Yes	No	No
PainReform Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Palisade Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Palo Alto Networks Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	Yes	No
Palomar Holdings Inc. Common stock	Property-Casualty Insurers	No	No	No	No	No	No
Paltalk Inc. Common Stock	EDP Services	No	No	No	No	No	No
Pan American Silver Corp. Common Stock		No	No	No	No	No	No
Panacea Acquisition Corp. II Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Panbela Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pangaea Logistics Solutions Ltd. Common Shares	Marine Transportation	No	No	No	No	No	No
Papa John's International Inc. Common Stock	Restaurants	No	Yes	Yes	Yes	No	Yes
Paratek Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Park City Group Inc. Common Stock	EDP Services	No	No	No	No	No	No
Park-Ohio Holdings Corp. Common Stock	Construction Equipment/Trucks	No	No	No	No	No	Yes
Parke Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Parsec Capital Acquisitions Corp Unit		No	No	No	No	No	No
Partner Communications Company Ltd. American Depositary Shares	Telecommunications Equipment	No	No	No	No	No	No
Partners Bancorp Common Stock	Major Banks	No	No	No	No	No	No
Pasithea Therapeutics Corp. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pasithea Therapeutics Corp. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Passage Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pathfinder Acquisition Corporation Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Pathfinder Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Pathfinder Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Pathfinder Bancorp Inc. Common Stock (MD)	Banks	No	No	No	No	No	No
Patria Investments Limited Class A Common Shares	Investment Managers	No	No	No	No	No	No
Patrick Industries Inc. Common Stock	Other Specialty Stores	No	Yes	Yes	Yes	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Patriot National Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Patriot Transportation Holding Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Patterson Companies Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Patterson-UTI Energy Inc. Common Stock	Oil & Gas Production	No	Yes	No	Yes	No	Yes
PAVmed Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
PAVmed Inc. Series Z Warrant	Industrial Specialties	No	No	No	No	No	No
PAVmed Inc. Warrant	Industrial Specialties	No	No	No	No	No	No
Paya Holdings Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Paychex Inc. Common Stock	Business Services	No	Yes	Yes	Yes	No	No
Paycor HCM Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Paycom Holding Corporation Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Payoneer Global Inc. Common Stock	EDP Services	No	No	No	No	No	No
Payoneer Global Inc. Warrant	EDP Services	No	No	No	No	No	No
PayPal Holdings Inc. Common Stock	Business Services	No	Yes	No	No	No	No
Paysign Inc. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
PB Bankshares Inc. Common Stock	Banks	No	No	No	No	No	No
PC Connection Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
PCB Bancorp Common Stock	Major Banks	No	No	No	No	No	No
PCSB Financial Corporation Common Stock	Banks	No	No	No	No	No	No
PCTEL Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
PDC Energy Inc. Common Stock (Delaware)	Oil & Gas Production	No	Yes	Yes	Yes	No	No
PDF Solutions Inc. Common Stock	EDP Services	No	No	No	No	No	No
PDL Community Bancorp Common Stock		No	Yes	Yes	No	No	No
PDS Biotechnology Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Peapack-Gladstone Financial Corporation Common Stock	Commercial Banks	No	No	No	No	No	No
Pegasystems Inc. Common Stock	EDP Services	No	No	No	No	No	No
Peloton Interactive Inc. Class A Common Stock	Other Consumer Services	No	No	No	No	No	No
Penn National Gaming Inc. Common Stock	Movies/Entertainment	No	Yes	No	No	No	No
PennantPark Floating Rate Capital Ltd. Common Stock	Finance/Investors Services	No	No	No	No	No	No
PennantPark Investment Corporation 5.50% Notes Due 2024	Finance/Investors Services	No	No	No	No	No	No
PennantPark Investment Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Penns Woods Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
People's United Financial Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
People's United Financial Inc. Perpetual Preferred Series A Fixed-to-floating Rate	Savings Institutions	No	No	No	No	No	No
Peoples Bancorp Inc. Common Stock	Major Banks	No	Yes	No	Yes	No	No
Peoples Bancorp of North Carolina Inc. Common Stock	Major Banks	No	No	No	No	No	No
Peoples Financial Services Corp. Common Stock	Major Banks	Yes	Yes	Yes	Yes	Yes	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
PepperLime Health Acquisition Corporation Unit		No	No	No	No	No	No
PepsiCo Inc. Common Stock	Beverages (Production/Distribution)	No	Yes	Yes	Yes	Yes	No
Perception Capital Corp. II Units		No	No	No	No	No	No
Perdoceo Education Corporation Common Stock	Other Consumer Services	No	No	No	No	No	No
Perella Weinberg Partners Class A Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Perella Weinberg Partners Warrant	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Perficient Inc. Common Stock	EDP Services	No	No	No	No	No	No
Performance Shipping Inc. Common Shares	Marine Transportation	No	No	No	No	No	No
Performant Financial Corporation Common Stock	Business Services	No	No	No	No	No	No
Perion Network Ltd. Ordinary Shares	EDP Services	No	No	No	No	No	No
Perma-Fix Environmental Services Inc. Common Stock	Environmental Services	No	No	No	No	No	No
Perma-Pipe International Holdings Inc. Common Stock		No	Yes	Yes	No	No	No
Perpetua Resources Corp. Common Shares		No	No	No	No	No	No
Personalis Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	Yes	No	Yes	No	Yes
Petco Health and Wellness Company Inc. Class A Common Stock	Diversified Commercial Services	No	Yes	Yes	Yes	No	No
PetIQ Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	Yes
PetMed Express Inc. Common Stock	Medical/Nursing Services	Yes	Yes	Yes	Yes	Yes	No
Petra Acquisition Inc. Common Stock		No	No	No	No	No	No
Petra Acquisition Inc. Warrant		No	No	No	No	No	No
Petros Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
PetVivo Holdings Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
PetVivo Holdings Inc. Warrant	Medical/Dental Instruments	No	No	No	No	No	No
PFSweb Inc. Common Stock	Business Services	No	No	No	No	No	No
PharmaCytex Biotech Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Pharming Group N.V. ADS each representing 10 ordinary shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pharvaris N.V. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
PhaseBio Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
Phathom Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
PhenixFIN Corporation 6.125% Senior Notes due 2023	Investment Managers	No	No	No	No	No	No
PhenixFIN Corporation Common Stock	Investment Managers	No	No	No	No	No	No
Phibro Animal Health Corporation Class A Common Stock		No	No	No	No	No	No
Phillips Edison & Company Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Phio Pharmaceuticals Corp. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Phio Pharmaceuticals Corp. Warrants expiring 12/21/2021	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Phoenix Biotech Acquisition Corp. Unit		No	No	No	No	No	No
Photronics Inc. Common Stock	Semiconductors	No	No	No	No	No	No
PHP Ventures Acquisition Corp. Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
PHP Ventures Acquisition Corp. Rights		No	No	No	No	No	No
PHP Ventures Acquisition Corp. Units		No	No	No	No	No	No
PHP Ventures Acquisition Corp. Warrants		No	No	No	No	No	No
Phunware Inc. Common Stock	EDP Services	No	No	No	No	No	No
Phunware Inc. Warrants	EDP Services	No	No	No	No	No	No
Piedmont Lithium Inc. Common Stock	Precious Metals	No	No	No	No	No	No
Pieris Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pilgrim's Pride Corporation Common Stock	Meat/Poultry/Fish	No	No	No	No	No	No
Pinduoduo Inc. American Depositary Shares	Business Services	No	No	No	No	No	No
Pine Technology Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Pine Technology Acquisition Corp. Unit		No	No	No	No	No	No
Pine Technology Acquisition Corp. Warrant		No	No	No	No	No	No
Pingtian Marine Enterprise Ltd.		No	No	No	No	No	No
Pinnacle Financial Partners Inc. Common Stock	Major Banks	No	Yes	Yes	No	No	Yes
Pinnacle Financial Partners Inc. Depositary shares of Pinnacle Financial Partners Inc. each representing a 1/40th Interest in a share of its 6.75% Fixed-Rate Non-Cumulative Perpetual Preferred Stock Series B	Major Banks	No	Yes	Yes	No	No	Yes
Pintec Technology Holdings Limited American Depositary Shares	Computer Software: Programming Data Processing	No	No	No	No	No	No
Pioneer Bancorp Inc. Common Stock	Commercial Banks	No	Yes	No	Yes	No	No
Pioneer Merger Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Pioneer Merger Corp. Unit	Business Services	No	No	No	No	No	No
Pioneer Merger Corp. Warrant	Business Services	No	No	No	No	No	No
Pioneer Power Solutions Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Pixelworks Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Plains All American Pipeline L.P. Common Units representing Limited Partner Interests	Oil & Gas Production	No	Yes	Yes	Yes	No	No
Plains GP Holdings L.P. Class A Units representing Limited Partner Interests	Oil & Gas Production	No	Yes	Yes	Yes	No	No
Playa Hotels & Resorts N.V. Ordinary Shares	Hotels/Resorts	No	No	No	No	No	No
PLAYSTUDIOS Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
PLAYSTUDIOS Inc. Warrant	EDP Services	No	No	No	No	No	No
Playtika Holding Corp. Common Stock	EDP Services	No	No	No	No	No	No
PLBY Group Inc. Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Plexus Corp. Common Stock	Electrical Products	No	No	No	No	No	No
Pliant Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Plug Power Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Plum Acquisition Corp. I Class A Ordinary Share	Business Services	No	No	No	No	No	No
Plum Acquisition Corp. I Units	Business Services	No	No	No	No	No	No
Plum Acquisition Corp. I Warrant	Business Services	No	No	No	No	No	No
Plumas Bancorp	Finance Companies	No	No	No	No	No	No
Pluristem Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
PLUS THERAPEUTICS Inc. Common Stock	Medical/Dental Instruments	No	Yes	No	Yes	No	No
PLx Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
PMV Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	No	No
Poema Global Holdings Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Poema Global Holdings Corp. Unit	Business Services	No	No	No	No	No	No
Poema Global Holdings Corp. Warrant	Business Services	No	No	No	No	No	No
POINT Biopharma Global Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Points International Ltd. Common Shares		No	No	No	No	No	No
Polar Power Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
PolarityTE Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
PolyPid Ltd. Ordinary Shares	Medical/Dental Instruments	No	No	No	No	No	No
Pono Capital Corp Class A Common Stock		No	No	No	No	No	No
Pono Capital Corp Warrant		No	No	No	No	No	No
Pool Corporation Common Stock	Recreational Products/Toys	No	No	No	No	No	No
Pop Culture Group Co. Ltd Class A Ordinary Shares		No	No	No	No	No	No
Popular Inc. Common Stock	Major Banks	No	No	No	No	No	No
Popular Inc. Popular Capital Trust II - 6.125% Cumulative Monthly Income Trust Preferred Securities	Major Banks	No	Yes	Yes	Yes	No	No
Population Health Investment Co. Inc. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Population Health Investment Co. Inc. Unit	Business Services	No	No	No	No	No	No
Population Health Investment Co. Inc. Warrant	Business Services	No	No	No	No	No	No
Porch Group Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Portage Biotech Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Portage Fintech Acquisition Corporation Class A Ordinary Share		No	No	No	No	No	No
Portage Fintech Acquisition Corporation Unit		No	No	No	No	No	No
Portage Fintech Acquisition Corporation Warrant		No	No	No	No	No	No
Portillo's Inc. Class A Common Stock	Restaurants	No	No	No	No	No	No
Portman Ridge Finance Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Poseida Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	No	Yes
Poshmark Inc. Class A Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
Potbelly Corporation Common Stock	Restaurants	No	No	No	No	No	No
PotlatchDeltic Corporation Common Stock	Real Estate Investment Trusts	No	Yes	Yes	No	No	Yes
Powell Industries Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Power & Digital Infrastructure Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Power & Digital Infrastructure Acquisition Corp. Unit		No	No	No	No	No	No
Power & Digital Infrastructure Acquisition Corp. Warrant		No	No	No	No	No	No
Power Integrations Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Powerbridge Technologies Co. Ltd. Ordinary Shares	EDP Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Powered Brands Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Powered Brands Units	Business Services	No	No	No	No	No	No
Powered Brands Warrants	Business Services	No	No	No	No	No	No
PowerFleet Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
PPD Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
PRA Group Inc. Common Stock	Advertising	No	No	No	No	No	No
Praxis Precision Medicines Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Precigen Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Precipio Inc. Common Stock	Biotechnology: Laboratory Analytical Instruments	No	No	No	No	No	No
Precision BioSciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Predictive Oncology Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Preferred Bank Common Stock	Major Banks	No	No	No	No	No	No
Preformed Line Products Company Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Prelude Therapeutics Incorporated Common Stock	Specialty Chemicals	No	No	No	No	No	No
Premier Financial Corp. Common Stock	Savings Institutions	No	No	No	No	No	No
Premier Inc. Class A Common Stock	Managed Health Care	Yes	Yes	No	Yes	No	Yes
Presidio Property Trust Inc. 9.375% Series D Cumulative Redeemable Perpetual Preferred Stock \$0.01 par value per share	Real Estate Investment Trusts	No	Yes	No	Yes	Yes	Yes
Presidio Property Trust Inc. Class A Common Stock	Real Estate Investment Trusts	No	Yes	No	Yes	Yes	Yes
PriceSmart Inc. Common Stock	Department/Specialty Retail Stores	No	Yes	Yes	Yes	No	No
PrimeEnergy Resources Corporation Common Stock	Oil & Gas Production	No	No	No	No	No	No
Primis Financial Corp. Common Stock	Major Banks	No	No	No	No	No	No
Primoris Services Corporation Common Stock	Water Supply	No	Yes	Yes	Yes	No	Yes
Principal Financial Group Inc Common Stock	Specialty Insurers	No	No	No	No	No	No
Priority Technology Holdings Inc. Common Stock	EDP Services	No	No	No	No	No	No
Priveterra Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Priveterra Acquisition Corp. Units		No	No	No	No	No	No
Priveterra Acquisition Corp. Warrant		No	No	No	No	No	No
Privia Health Group Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Pro-Dex Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Procaps Group S.A. Ordinary Shares		No	No	No	No	No	No
Procaps Group S.A. Warrants		No	No	No	No	No	No
PROCEPT BioRobotics Corporation Common Stock	Medical Specialties	No	No	No	No	No	No
Processa Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Professional Diversity Network Inc. Common Stock	EDP Services	No	No	No	No	No	No
Professional Holding Corp. Class A Common stock	Major Banks	No	No	No	No	No	No
Profire Energy Inc. Common Stock	Oil & Gas Production	No	No	No	No	No	No
Profound Medical Corp. Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Progenity Inc. Common Stock	Specialty Chemicals	Yes	Yes	Yes	Yes	No	No
Progress Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Progress Acquisition Corp. Warrant		No	No	No	No	No	No
Progress Software Corporation Common Stock (DE)	Computer Software: Prepackaged Software	No	No	No	No	No	No
Progyry Inc. Common Stock	Managed Health Care	No	Yes	No	Yes	Yes	Yes
Project Energy Reimagined Acquisition Corp. Unit		No	No	No	No	No	No
Prometheus Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Property Solutions Acquisition Corporation II Class A Common Stock		No	No	No	No	No	No
Property Solutions Acquisition Corporation II Units		No	No	No	No	No	No
Property Solutions Acquisition Corporation II Warrant		No	No	No	No	No	No
ProPhase Labs Inc. Common Stock (DE)	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	Yes
PropTech Investment Corporation II Class A Common Stock		No	No	No	No	No	No
PropTech Investment Corporation II Unit		No	No	No	No	No	No
PropTech Investment Corporation II Warrant		No	No	No	No	No	No
ProQR Therapeutics N.V. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Prospect Capital Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Prospector Capital Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Prospector Capital Corp. Unit	Business Services	No	No	No	No	No	No
Prospector Capital Corp. Warrants	Business Services	No	No	No	No	No	No
Protagenic Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Protagenic Therapeutics Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Protagonist Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	Yes
Protara Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Proterra Inc. Common Stock	Auto Manufacturing	No	No	No	No	No	No
Prothena Corporation plc Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Provention Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Provident Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Provident Acquisition Corp. Units	Business Services	No	No	No	No	No	No
Provident Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Provident Bancorp Inc. (MD) Common Stock	Major Banks	No	No	No	No	No	No
Provident Financial Holdings Inc. Common Stock	Savings Institutions	No	Yes	Yes	No	Yes	No
Prudential Bancorp Inc. Common Stock	Banks	No	Yes	Yes	Yes	No	Yes
Psychemedics Corporation	Medical Specialties	No	No	No	No	No	No
PTC Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
PTC Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
PubMatic Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Puhui Wealth Investment Management Co. Ltd. Ordinary Shares	Investment Managers	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Pulmatrix Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pulmonx Corporation Common Stock	Industrial Specialties	No	Yes	No	Yes	Yes	Yes
Pulse Biosciences Inc Common Stock (DE)	Medical/Dental Instruments	No	Yes	Yes	No	Yes	Yes
Puma Biotechnology Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	No
Pure Cycle Corporation Common Stock	Water Supply	No	No	No	No	No	No
PureCycle Technologies Inc. Common stock	Environmental Services	No	No	No	No	No	No
PureCycle Technologies Inc. Unit	Environmental Services	No	No	No	No	No	No
PureCycle Technologies Inc. Warrant	Environmental Services	No	No	No	No	No	No
PureTech Health plc American Depositary Shares	Investment Managers	No	No	No	No	No	No
Purple Biotech Ltd. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Purple Innovation Inc. Common Stock	Home Furnishings	No	No	No	No	No	No
Puyi Inc. American Depositary Shares	Investment Managers	No	No	No	No	No	No
PWP Forward Acquisition Corp. I Class A Common Stock		No	No	No	No	No	No
PWP Forward Acquisition Corp. I Units		No	No	No	No	No	No
PWP Forward Acquisition Corp. I Warrant		No	No	No	No	No	No
PyroGenesis Canada Inc. Common Shares		No	No	No	No	No	No
Pyxis Oncology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Pyxis Tankers Inc. 7.75% Series A Cumulative Convertible Preferred Shares	Marine Transportation	No	No	No	No	No	No
Pyxis Tankers Inc. Common Stock	Marine Transportation	No	No	No	No	No	No
Pyxis Tankers Inc. Warrant	Marine Transportation	No	No	No	No	No	No
Q&K International Group Limited American Depositary Shares	Real Estate	No	No	No	No	No	No
QCR Holdings Inc. Common Stock	Major Banks	No	No	No	No	No	No
Qilian International Holding Group Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
QIWI plc American Depositary Shares	Business Services	No	No	No	No	No	No
Qorvo Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	Yes	No	No
QUALCOMM Incorporated Common Stock	Computer peripheral equipment	No	No	No	No	No	No
Qualigen Therapeutics Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Qualtrics International Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Qualys Inc. Common Stock	EDP Services	No	No	No	No	No	No
Quanterix Corporation Common Stock	Industrial Specialties	No	No	No	No	No	No
Quantum Computing Inc. Common Stock	Computer Manufacturing	No	No	No	No	No	No
Quantum Corporation Common Stock	Electronic Components	No	No	No	No	No	No
Quantum-Si Incorporated Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Quantum-Si Incorporated Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Queen's Gambit Growth Capital Class A Ordinary Share	Business Services	No	No	No	No	No	No
Queen's Gambit Growth Capital Unit	Business Services	No	No	No	No	No	No
Queen's Gambit Growth Capital Warrant	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Quest Resource Holding Corporation Common Stock	Building Products	No	No	No	No	No	No
Quhuo Limited American Depositary Shares	Business Services	No	No	No	No	No	No
QuickLogic Corporation Common Stock	Semiconductors	No	No	No	No	No	No
Quidel Corporation Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
QuinStreet Inc. Common Stock	Advertising	No	No	No	No	No	No
Quipt Home Medical Corp. Common Shares	Office Equipment/Supplies/Services	No	No	No	No	No	No
Qumu Corporation Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Quoin Pharmaceuticals Ltd. American Depositary Shares	Medical/Dental Instruments	No	No	No	No	No	No
Quotient Limited Ordinary Shares	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Qurate Retail Inc. 8.0% Fixed Rate Cumulative Redeemable Preferred Stock	Catalog/Specialty Distribution	No	Yes	No	Yes	No	Yes
Qurate Retail Inc. Series A Common Stock	Catalog/Specialty Distribution	No	Yes	No	Yes	No	Yes
Qurate Retail Inc. Series B Common Stock	Catalog/Specialty Distribution	No	Yes	No	Yes	No	Yes
Qutoutiao Inc. American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
R1 RCM Inc. Common Stock	Other Consumer Services	Yes	Yes	Yes	Yes	No	No
Rackspace Technology Inc. Common Stock	EDP Services	No	No	No	No	No	Yes
RADA Electronic Industries Ltd. Ordinary Shares		No	No	No	No	No	No
Radcom Ltd. Ordinary Shares	Computer peripheral equipment	No	No	No	No	No	No
Radius Global Infrastructure Inc. Class A Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Radius Health Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	Yes
RadNet Inc. Common Stock	Medical Specialties	No	Yes	Yes	Yes	No	Yes
Radware Ltd. Ordinary Shares	Business Services	No	No	No	No	No	No
Rain Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Rallybio Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ramaco Resources Inc. 9.00% Senior Notes due 2026		No	No	No	No	No	No
Ramaco Resources Inc. Common Stock	Coal Mining	No	No	No	No	No	No
Rambus Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Rand Capital Corporation Common Stock	Finance/Investors Services	No	Yes	Yes	No	Yes	No
Randolph Bancorp Inc. Common Stock	Major Banks	No	Yes	Yes	No	No	No
Ranger Oil Corporation Class A Common Stock	Oil & Gas Production	No	Yes	No	No	No	No
Rani Therapeutics Holdings Inc. Class A Common Stock	Specialty Chemicals	No	No	No	No	No	No
Rapid Micro Biosystems Inc. Class A Common Stock	Medical Specialties	No	No	No	No	No	No
Rapid7 Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	Yes
RAPT Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	Yes
Rattler Midstream LP Common Units	Oilfield Services/Equipment	No	No	No	No	No	No
Rave Restaurant Group Inc. Common Stock	Restaurants	No	No	No	No	No	No
Raven Industries Inc. Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	No
RBB Bancorp Common Stock	Commercial Banks	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
RBC Bearings Incorporated 5.00% Series A Mandatory Convertible Preferred Stock	Metal Fabrications	No	Yes	Yes	No	Yes	No
RBC Bearings Incorporated Common Stock	Metal Fabrications	No	Yes	Yes	No	Yes	No
RCI Hospitality Holdings Inc. Common Stock	Restaurants	No	No	No	No	No	No
RCM Technologies Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Reading International Inc Class A Common Stock	Movies/Entertainment	No	No	No	No	No	No
Reading International Inc Class B Common Stock	Movies/Entertainment	No	No	No	No	No	No
RealNetworks Inc. Common Stock	EDP Services	No	No	No	No	No	No
Reata Pharmaceuticals Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Recharge Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Recharge Acquisition Corp. Unit		No	No	No	No	No	No
Recharge Acquisition Corp. Warrant		No	No	No	No	No	No
Recon Technology Ltd. Class A Ordinary Shares	Oilfield Services/Equipment	No	No	No	No	No	No
Recro Pharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Recruiter.com Group Inc. Common Stock		No	No	No	No	No	No
Recruiter.com Group Inc. Warrant		No	No	No	No	No	No
Recursion Pharmaceuticals Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Red Cat Holdings Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Red River Bancshares Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
Red Robin Gourmet Burgers Inc. Common Stock	Restaurants	No	Yes	No	Yes	No	No
Red Rock Resorts Inc. Class A Common Stock	Recreational Products/Toys	Yes	Yes	No	Yes	No	No
Red Violet Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Redbox Entertainment Inc. Class A Common Stock		No	No	No	No	No	No
Redbox Entertainment Inc. Warrant		No	No	No	No	No	No
Redfin Corporation Common Stock	Real Estate	No	No	No	No	No	No
Redhill Biopharma Ltd. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
REE Automotive Ltd. Class A Ordinary Shares		No	No	No	No	No	No
REE Automotive Ltd. Warrant		No	No	No	No	No	No
Reeds Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	Yes
Regencell Bioscience Holdings Limited Ordinary Shares		No	No	No	No	No	No
Regency Centers Corporation Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Regeneron Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
REGENXBIO Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	Yes
Regulus Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Rekor Systems Inc. Common Stock	EDP Services	No	No	No	No	No	No
Relay Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	Yes
Reliance Global Group Inc. Common Stock	Specialty Insurers	No	No	No	No	No	No
Reliance Global Group Inc. Series A Warrants	Specialty Insurers	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Reliant Bancorp Inc. Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
Relmada Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Remark Holdings Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Remitly Global Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Renalytix plc American Depositary Shares	Medical Specialties	No	No	No	No	No	No
Renasant Corporation Common Stock	Major Banks	No	No	No	No	No	No
Reneo Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ReNew Energy Global plc Class A Ordinary Shares		No	No	No	No	No	No
ReNew Energy Global plc Warrant		No	No	No	No	No	No
Renewable Energy Group Inc. Common Stock	Major Chemicals	No	No	No	No	No	No
RenovoRx Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Rent the Runway Inc. Class A Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
Rent-A-Center Inc. Common Stock	Diversified Commercial Services	No	Yes	No	Yes	No	No
Repare Therapeutics Inc. Common Shares		Yes	Yes	No	Yes	Yes	Yes
Repay Holdings Corporation Class A Common Stock	EDP Services	No	No	No	No	No	No
Repligen Corporation Common Stock	Medical/Dental Instruments	Yes	Yes	Yes	Yes	Yes	Yes
Replimune Group Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Repro Med Systems Inc. Common Stock	Medical/Dental Instruments	Yes	Yes	Yes	Yes	No	Yes
Republic Bancorp Inc. Class A Common Stock	Major Banks	No	No	No	No	No	No
Republic First Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Research Alliance Corp. II Class A Common Stock		No	No	No	No	No	No
Research Frontiers Incorporated Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Research Solutions Inc Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Reservoir Media Inc. Common Stock	Movies/Entertainment	No	No	No	No	No	No
Reservoir Media Inc. Warrant	Movies/Entertainment	No	No	No	No	No	No
ReShape Lifesciences Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Resonant Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Resources Connection Inc. Common Stock	Business Services	No	No	No	No	No	No
Retail Opportunity Investments Corp. Common Stock (MD)	Real Estate Investment Trusts	No	No	No	No	No	No
ReTo Eco-Solutions Inc. Common Shares	Multi-Sector Companies	No	No	No	No	No	No
Revance Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Reviva Pharmaceuticals Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Reviva Pharmaceuticals Holdings Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Revolution Healthcare Acquisition Corp. SAIL Class A Common Stock		No	No	No	No	No	No
Revolution Healthcare Acquisition Corp. SAIL Units		No	No	No	No	No	No
Revolution Healthcare Acquisition Corp. SAIL Warrant		No	No	No	No	No	No
Revolution Medicines Inc. Common Stock	Specialty Chemicals	Yes	Yes	Yes	Yes	Yes	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
ReWalk Robotics Ltd. Ordinary Shares	Industrial Specialties	No	No	No	No	No	No
Reynolds Consumer Products Inc. Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	Yes
Rezolute Inc. Common Stock (INV)	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
RF Industries Ltd. Common Stock	Electrical Products	No	No	No	No	No	No
RGC Resources Inc. Common Stock	Oil & Gas Production	No	No	No	No	No	No
Rhinebeck Bancorp Inc. Common Stock	Major Banks	No	Yes	No	Yes	No	No
Rhythm Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Ribbon Communications Inc. Common Stock	EDP Services	No	No	No	No	No	No
RiceBran Technologies Common Stock	Specialty Foods	No	No	No	No	No	No
Richardson Electronics Ltd. Common Stock	Electronic Components	No	No	No	No	No	No
Richmond Mutual Bancorporation Inc. Common Stock		No	No	No	No	No	No
Rigel Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Rimini Street Inc. (DE) Common Stock	EDP Services	No	No	No	No	No	Yes
Riot Blockchain Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
RISE Education Cayman Ltd American Depositary Shares	Service to the Health Industry	No	No	No	No	No	No
Riverview Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Riverview Acquisition Corp. Unit		No	No	No	No	No	No
Riverview Acquisition Corp. Warrant		No	No	No	No	No	No
Riverview Bancorp Inc Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
Riverview Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
RMG Acquisition Corp. III Class A Ordinary Shares	Business Services	No	No	No	No	No	No
RMG Acquisition Corp. III Unit	Business Services	No	No	No	No	No	No
RMG Acquisition Corp. III Warrant	Business Services	No	No	No	No	No	No
Robinhood Markets Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Rocket Lab USA Inc. Common Stock	Aerospace	No	No	No	No	No	No
Rocket Lab USA Inc. Warrant	Aerospace	No	No	No	No	No	No
Rocket Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Rockwell Medical Inc. (DE) Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Rocky Brands Inc. Common Stock	Shoe Manufacturing	No	No	No	No	No	No
Rocky Mountain Chocolate Factory Inc. Common Stock	Specialty Foods	No	Yes	Yes	No	No	Yes
Roivant Sciences Ltd. Common Shares		No	No	No	No	No	No
Roivant Sciences Ltd. Warrant		No	No	No	No	No	No
Roku Inc. Class A Common Stock	Television Services	Yes	Yes	Yes	Yes	Yes	No
Roman DBDR Tech Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Roman DBDR Tech Acquisition Corp. Unit		No	No	No	No	No	No
Roman DBDR Tech Acquisition Corp. Warrant		No	No	No	No	No	No
Root Inc. Class A Common Stock	Property-Casualty Insurers	No	Yes	Yes	Yes	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Rose Hill Acquisition Corporation Unit		No	No	No	No	No	No
Rosecliff Acquisition Corp I Class A Common Stock		No	No	No	No	No	No
Rosecliff Acquisition Corp I Unit		No	No	No	No	No	No
Rosecliff Acquisition Corp I Warrants		No	No	No	No	No	No
Ross Stores Inc. Common Stock	Clothing/Shoe/Accessory Stores	No	Yes	Yes	Yes	No	No
Roth CH Acquisition III Co. Common stock		No	No	No	No	No	No
Roth CH Acquisition III Co. Unit		No	No	No	No	No	No
Roth CH Acquisition III Co. Warrant		No	No	No	No	No	No
Roth CH Acquisition IV Co. Common Stock		No	No	No	No	No	No
Roth CH Acquisition IV Co. Unit		No	No	No	No	No	No
Roth CH Acquisition IV Co. Warrant		No	No	No	No	No	No
Rover Group Inc. Class A Common Stock	Other Consumer Services	No	No	No	No	No	No
Rover Group Inc. Warrant	Other Consumer Services	No	No	No	No	No	No
Royal Gold Inc. Common Stock		No	Yes	Yes	Yes	No	No
Royalty Pharma plc Class A Ordinary Shares	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	Yes	Yes
Rubicon Technology Inc. Common Stock	Semiconductors	No	No	No	No	Yes	No
Rubius Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	Yes	No	Yes	No
RumbleOn Inc. Class B Common Stock	Automotive Aftermarket	No	No	No	No	No	No
Runway Growth Finance Corp. Common Stock		No	No	No	No	No	No
Rush Enterprises Inc. Class B	Other Specialty Stores	No	Yes	Yes	Yes	No	No
Rush Enterprises Inc. Common Stock CLA	Other Specialty Stores	No	Yes	Yes	Yes	No	No
Ruth's Hospitality Group Inc. Common Stock	Restaurants	No	No	No	No	No	No
RXR Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
RXR Acquisition Corp. Units		No	No	No	No	No	No
RXR Acquisition Corp. Warrants to purchase Class A common stock		No	No	No	No	No	No
RxSight Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Ryanair Holdings plc American Depositary Shares	Air Freight/Delivery Services	No	No	No	No	No	No
S&T Bancorp Inc. Common Stock	Major Banks	No	Yes	No	No	No	Yes
S&W Seed Company Common Stock (NV)	Farming/Seeds/Milling	No	No	No	No	No	No
SAB Biotherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
SAB Biotherapeutics Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sabra Health Care REIT Inc. Common Stock	Real Estate Investment Trusts	No	Yes	No	No	No	No
Sabre Corporation 6.50% Series A Mandatory Convertible Preferred Stock	EDP Services	No	No	No	No	No	No
Sabre Corporation Common Stock	EDP Services	No	No	No	No	No	No
Safe-T Group Ltd. American Depositary Share	Computer Software: Prepackaged Software	No	No	No	No	No	No
Safety Insurance Group Inc. Common Stock	Property-Casualty Insurers	No	Yes	No	Yes	No	No
Saga Communications Inc. Class A Common Stock (FL)	Broadcasting	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Sage Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Saia Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Salarius Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	No	No
Salem Media Group Inc. Class A Common Stock	Broadcasting	No	No	No	No	No	No
Salisbury Bancorp Inc. Common Stock	Savings Institutions	No	Yes	No	Yes	No	Yes
Sana Biotechnology Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Sanaby Health Acquisition Corp. I		No	No	No	No	No	No
Sanaby Health Acquisition Corp. I		No	No	No	No	No	No
Sanaby Health Acquisition Corp. I Unit		No	No	No	No	No	No
Sanara MedTech Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Sanderson Farms Inc. Common Stock		No	No	No	No	No	No
Sandy Spring Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Sangamo Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	Yes	Yes	Yes	No	Yes
Sanmina Corporation Common Stock	Electrical Products	No	No	No	No	No	No
Sanofi ADR	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sapiens International Corporation N.V. Common Shares (Cayman Islands)	Computer Software: Prepackaged Software	No	No	No	No	No	No
Sarcos Technology and Robotics Corporation Common Stock	Wholesale Distributors	Yes	Yes	Yes	Yes	Yes	Yes
Sarcos Technology and Robotics Corporation Warrants	Wholesale Distributors	Yes	Yes	Yes	Yes	Yes	Yes
Sarepta Therapeutics Inc. Common Stock (DE)	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sarissa Capital Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Sarissa Capital Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Sarissa Capital Acquisition Corp. Warrants	Business Services	No	No	No	No	No	No
Satsuma Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Savara Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	Yes
Save Foods Inc. Common Stock		No	No	No	No	No	No
SB Financial Group Inc. Common Stock	Major Banks	No	No	No	No	No	No
SBA Communications Corporation Class A Common Stock	Real Estate Investment Trusts	No	Yes	Yes	No	Yes	No
ScanSource Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	Yes	Yes	No	Yes	Yes
Schmitt Industries Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Schnitzer Steel Industries Inc. Class A Common Stock	Steel/Iron Ore	No	Yes	No	Yes	Yes	Yes
Scholar Rock Holding Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Scholastic Corporation Common Stock	Books	No	No	No	No	No	No
Schrodinger Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Schultze Special Purpose Acquisition Corp. II Units		No	No	No	No	No	No
Science 37 Holdings Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Science Strategic Acquisition Corp. Alpha Class A Common Stock		No	No	No	No	No	No
Science Strategic Acquisition Corp. Alpha Unit		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Science Strategic Acquisition Corp. Alpha Warrant		No	No	No	No	No	No
Scienjoy Holding Corporation Ordinary Shares	EDP Services	No	No	No	No	No	No
Scientific Games Corp Common Stock	Movies/Entertainment	No	Yes	No	Yes	No	Yes
SciON Tech Growth I Class A Ordinary Shares	Business Services	No	No	No	No	No	No
SciON Tech Growth I Unit	Business Services	No	No	No	No	No	No
SciON Tech Growth I Warrant	Business Services	No	No	No	No	No	No
SciON Tech Growth II Class A Ordinary Shares	Business Services	No	No	No	No	No	No
SciON Tech Growth II Units	Business Services	No	No	No	No	No	No
SciON Tech Growth II Warrants	Business Services	No	No	No	No	No	No
SciPlay Corporation Class A Common Stock	Computer Software: Prepackaged Software	No	Yes	No	Yes	No	Yes
Scopus BioPharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
SCP & CO Healthcare Acquisition Company Class A Common Stock		No	No	No	No	No	No
SCP & CO Healthcare Acquisition Company Unit		No	No	No	No	No	No
SCP & CO Healthcare Acquisition Company Warrant		No	No	No	No	No	No
scPharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
SCWorx Corp. Common Stock	Managed Health Care	No	No	No	No	No	No
SCYNEXIS Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
SeaChange International Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	Yes	Yes	Yes	No	Yes
Seacoast Banking Corporation of Florida Common Stock	Major Banks	No	Yes	No	Yes	Yes	Yes
Seagate Technology Holdings PLC Ordinary Shares (Ireland)	Electronic Components	No	Yes	Yes	Yes	No	Yes
Seagen Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	Yes	Yes	Yes	Yes	Yes	Yes
Seanergy Maritime Holdings Corp Class A Warrants	Marine Transportation	No	No	No	No	No	No
Seanergy Maritime Holdings Corp Class B Warrant	Marine Transportation	No	No	No	No	No	No
Seanergy Maritime Holdings Corp Common Stock		No	No	No	No	No	No
Seaport Calibre Materials Acquisition Corp. Unit	Other Pharmaceuticals	No	No	No	No	No	No
SeaSpine Holdings Corporation Common Stock	Medical Specialties	No	No	No	No	No	No
Second Sight Medical Products Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Second Sight Medical Products Inc. Warrants expiring 03/14/2024	Industrial Specialties	No	No	No	No	No	No
Secoo Holding Limited ADR	Diversified Commercial Services	No	No	No	No	No	No
SecureWorks Corp. Class A Common Stock	EDP Services	No	No	No	No	No	No
Security National Financial Corporation Class A Common Stock	Finance: Consumer Services	No	No	No	No	No	No
Seelos Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Seer Inc. Class A Common Stock	Specialty Chemicals	No	No	No	No	No	No
SEI Investments Company Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Selecta Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	Yes
Selective Insurance Group Inc. Common Stock	Property-Casualty Insurers	No	Yes	Yes	No	Yes	No
Selective Insurance Group Inc. Depositary Shares each representing a 1/1000th interest in a share of 4.60% Non-Cumulative Preferred Stock Series B	Property-Casualty Insurers	No	Yes	Yes	No	Yes	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
SELLAS Life Sciences Group Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Sema4 Holdings Corp. Class A Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Sema4 Holdings Corp. Warrant	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
SemiLEDs Corporation Common Stock	Semiconductors	No	No	No	No	No	No
Semler Scientific Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Semper Paratus Acquisition Corporation Unit		No	No	No	No	No	No
Semtech Corporation Common Stock	Semiconductors	No	No	No	No	No	No
Seneca Foods Corp. Class A Common Stock	Packaged Foods	No	No	No	No	No	No
Seneca Foods Corp. Class B Common Stock	Packaged Foods	No	No	No	No	No	No
SenesTech Inc. Common Stock	Multi-Sector Companies	No	No	No	No	No	No
Senior Connect Acquisition Corp. I Class A Common Stock		No	No	No	No	No	No
Senior Connect Acquisition Corp. I Unit		No	No	No	No	No	No
Senior Connect Acquisition Corp. I Warrant		No	No	No	No	No	No
Senmiao Technology Limited Common Stock	Finance: Consumer Services	No	No	No	No	No	Yes
Sensei Biotherapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Senstar Technologies Ltd. Ordinary Shares	Telecommunications Equipment	No	No	No	No	No	No
Sensus Healthcare Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Sentage Holdings Inc. Ordinary Shares		No	No	No	No	No	No
SeqLL Inc. Common stock	Medical Specialties	No	No	No	No	No	No
SeqLL Inc. Warrant	Medical Specialties	No	No	No	No	No	No
Sera Prognostics Inc. Class A Common Stock	Precision Instruments	No	No	No	No	No	No
Seres Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Service Properties Trust Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
ServiceSource International Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	Yes	Yes	No	Yes	No	Yes
Sesen Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Seven Hills Realty Trust Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Seven Oaks Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Seven Oaks Acquisition Corp. Unit		No	No	No	No	No	No
Seven Oaks Acquisition Corp. Warrant		No	No	No	No	No	No
SG Blocks Inc. Common Stock	Metal Fabrications	No	No	No	No	No	No
SGOCO Group Ltd Ordinary Shares (Cayman Islands)	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Sharecare Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Sharecare Inc. Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
SharpLink Gaming Ltd. Ordinary Shares	Telecommunications Equipment	No	No	No	No	No	No
Sharps Compliance Corp. Common Stock	Environmental Services	No	No	No	No	No	No
Shattuck Labs Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	No
Shelter Acquisition Corporation I Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Shelter Acquisition Corporation I Units		No	No	No	No	No	No
Shelter Acquisition Corporation I Warrants		No	No	No	No	No	No
Shenandoah Telecommunications Co Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Shift Technologies Inc. Class A Common Stock	Diversified Commercial Services	No	No	No	No	No	No
ShiftPixy Inc. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Shineco Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Shoals Technologies Group Inc. Class A Common Stock	Semiconductors	No	No	No	No	No	No
ShockWave Medical Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Shoe Carnival Inc. Common Stock	Clothing/Shoe/Accessory Stores	No	Yes	Yes	No	Yes	Yes
Shore Bancshares Inc Common Stock	Major Banks	No	No	No	No	No	No
ShotSpotter Inc. Common Stock	Computer Software: Prepackaged Software	Yes	Yes	Yes	Yes	No	Yes
SI-BONE Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	Yes	Yes	Yes	No	Yes
Siebert Financial Corp. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Sientra Inc. Common Stock	Medical Electronics	No	No	No	No	No	Yes
Sierra Bancorp Common Stock	Major Banks	No	No	No	No	No	No
Sierra Lake Acquisition Corp. Class A Common Stock	Business Services	No	No	No	No	No	No
Sierra Lake Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Sierra Lake Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Sierra Oncology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sierra Wireless Inc. Common Stock	Metal Fabrications	No	No	No	No	No	No
Sify Technologies Limited American Depositary Shares	Telecommunications Equipment	No	No	No	No	No	No
SIGA Technologies Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sight Sciences Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Sigilon Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Sigma Labs Inc. Common Stock	EDP Services	No	No	No	No	No	No
Sigma Labs Inc. Warrant	EDP Services	No	No	No	No	No	No
Sigma Lithium Corporation Common Shares	Industrial Machinery/Components	No	No	No	No	No	No
SigmaTron International Inc. Common Stock	Electrical Products	No	No	No	No	No	No
Signature Bank Common Stock	Major Banks	No	No	No	No	No	No
Signature Bank Depositary shares each representing a 1/40th ownership interest in a share of 5.000% Noncumulative Perpetual Series A Preferred Stock	Major Banks	No	No	No	No	No	No
Silence Therapeutics Plc American Depositary Share	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Silgan Holdings Inc. Common Stock	Containers/Packaging	No	No	No	No	No	No
Silicom Ltd Ordinary Shares	Computer Manufacturing	No	No	No	No	No	No
Silicon Laboratories Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Silicon Motion Technology Corporation American Depositary Shares	Semiconductors	No	No	No	No	No	No
Silk Road Medical Inc. Common Stock	Medical/Dental Instruments	No	Yes	Yes	Yes	Yes	Yes
Silver Crest Acquisition Corporation Class A Ordinary Share	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Silver Crest Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Silver Crest Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Silver Spike Acquisition Corp II Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Silver Spike Acquisition Corp II Units	Business Services	No	No	No	No	No	No
Silver Spike Acquisition Corp II Warrant	Business Services	No	No	No	No	No	No
Silverback Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	Yes	Yes
SilverBox Engaged Merger Corp I Class A Common Stock		No	No	No	No	No	No
SilverBox Engaged Merger Corp I Units		No	No	No	No	No	No
SilverBox Engaged Merger Corp I Warrant		No	No	No	No	No	No
Silvercrest Asset Management Group Inc. Class A Common Stock	Investment Managers	No	No	No	No	No	No
SilverSPAC Inc. Class A Ordinary Share		No	No	No	No	No	No
SilverSPAC Inc. Unit		No	No	No	No	No	No
SilverSPAC Inc. Warrant		No	No	No	No	No	No
SilverSun Technologies Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Simmons First National Corporation Class A Common Stock	Major Banks	No	No	No	No	No	No
Simulations Plus Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Sinclair Broadcast Group Inc. Class A Common Stock	Broadcasting	No	Yes	Yes	No	Yes	Yes
Singular Genomics Systems Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Sino-Global Shipping America Ltd. Common Stock	Oil Refining/Marketing	No	No	No	No	No	No
SiNtx Technologies Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Sio Gene Therapies Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
SiriusXM Holdings Inc. Common Stock	Broadcasting	No	Yes	Yes	Yes	No	No
SiTime Corporation Common Stock	Semiconductors	No	Yes	Yes	Yes	No	Yes
Siyata Mobile Inc. Common Shares	Metal Fabrications	No	No	No	No	No	No
Siyata Mobile Inc. Warrant	Metal Fabrications	No	No	No	No	No	No
Sizzle Acquisition Corp. Unit		No	No	No	No	No	No
Skillful Craftsman Education Technology Limited Ordinary Share	Other Consumer Services	No	No	No	No	No	No
Skydeck Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Skydeck Acquisition Corp. Units	Business Services	No	No	No	No	No	No
Skydeck Acquisition Corp. Warrants	Business Services	No	No	No	No	No	No
Skylight Health Group Inc. Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
SkyWater Technology Inc. Common Stock	Semiconductors	No	No	No	No	No	No
SkyWest Inc. Common Stock	Air Freight/Delivery Services	No	Yes	Yes	No	Yes	No
Skyworks Solutions Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	No	Yes
Slam Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
Slam Corp. Unit	Business Services	No	No	No	No	No	No
Slam Corp. warrant	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Sleep Number Corporation Common Stock	Home Furnishings	Yes	Yes	Yes	Yes	No	Yes
SLM Corporation Common Stock	Finance: Consumer Services	No	Yes	Yes	Yes	No	No
SLM Corporation Floating Rate Non-Cumulative Preferred Stock Series B	Finance: Consumer Services	No	Yes	Yes	Yes	No	No
SLR Investment Corp. Common Stock	Finance/Investors Services	No	No	No	No	No	No
SLR Senior Investment Corp. Common Stock	Finance/Investors Services	No	No	No	No	No	No
SMART Global Holdings Inc. Ordinary Shares	Semiconductors	No	No	No	No	No	No
Smart Sand Inc. Common Stock	Metal Fabrications	No	No	No	No	No	No
Smart Share Global Limited American Depositary Shares	Other Consumer Services	No	No	No	No	No	No
SmartFinancial Inc. Common Stock	Major Banks	No	No	No	No	No	No
SmileDirectClub Inc. Class A Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Smith & Wesson Brands Inc. Common Stock	Ordnance And Accessories	No	No	No	No	No	No
Smith Micro Software Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Smith-Midland Corporation Common Stock	Building Materials	No	No	No	No	No	No
Snap One Holdings Corp. Common Stock	Electronics Distribution	No	No	No	No	No	No
So-Young International Inc. American Depositary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
SOC Telemed Inc. Class A Common Stock	Managed Health Care	No	No	No	No	No	No
SOC Telemed Inc. Warrants	Managed Health Care	No	No	No	No	No	No
Social Capital Suvretta Holdings Corp. I Class A Ordinary Share		No	No	No	No	No	No
Social Capital Suvretta Holdings Corp. II Class A Ordinary Shares		No	No	No	No	No	No
Social Capital Suvretta Holdings Corp. III Class A ordinary shares		No	No	No	No	No	No
Social Capital Suvretta Holdings Corp. IV Class A Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Society Pass Incorporated Common Stock	EDP Services	No	No	No	No	No	No
Socket Mobile Inc. Common Stock	Computer Manufacturing	No	No	No	No	No	No
SoFi Technologies Inc. Common Stock	Diversified Financial Services	No	No	No	No	No	No
SoFi Technologies Inc. Warrants	Diversified Financial Services	No	No	No	No	No	No
Software Acquisition Group Inc. III Class A Common Stock		No	No	No	No	No	No
Software Acquisition Group Inc. III Unit		No	No	No	No	No	No
Software Acquisition Group Inc. III Warrant		No	No	No	No	No	No
Sohu.com Limited American Depositary Shares	EDP Services	No	No	No	No	No	No
Sol-Gel Technologies Ltd. Ordinary Shares	Precious Metals	No	No	No	No	No	No
SolarEdge Technologies Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Soleno Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Solid Biosciences Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Soligenix Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Soligenix Inc. Warrant	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Soliton Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Soluna Holdings Inc 9.0% Series A Cumulative Perpetual Preferred Stock	Industrial Machinery/Components	No	Yes	No	Yes	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Soluna Holdings Inc. Common Stock	Industrial Machinery/Components	No	Yes	No	Yes	No	No
SomaLogic Inc. Class A Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
SomaLogic Inc. Warrant	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Sonim Technologies Inc. Common Stock	Telecommunications Equipment	No	Yes	Yes	Yes	No	Yes
Sonnet BioTherapeutics Holdings Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Sono-Tek Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Sonoma Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sonos Inc. Common Stock	Consumer Electronics/Video Chains	No	No	No	No	No	No
SOPHiA GENETICS SA Ordinary Shares		No	No	No	No	No	No
Sorrento Therapeutics Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	No	No	No	No	No
Sotera Health Company Common Stock	Medical Specialties	No	Yes	Yes	Yes	No	Yes
Sotherly Hotels Inc. 7.875% Series C Cumulative Redeemable Perpetual Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Sotherly Hotels Inc. 8.0% Series B Cumulative Redeemable Perpetual Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Sotherly Hotels Inc. 8.25% Series D Cumulative Redeemable Perpetual Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Sotherly Hotels Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Sound Financial Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
South Plains Financial Inc. Common Stock	Major Banks	No	No	No	No	No	No
Southern First Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
Southern Missouri Bancorp Inc. Common Stock	Banks	No	No	No	No	No	Yes
Southern States Bancshares Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
Southside Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
SouthState Corporation Common Stock	Major Banks	No	No	No	No	No	No
Sovos Brands Inc. Common Stock	Specialty Foods	No	No	No	No	No	No
SP Plus Corporation Common Stock	Other Consumer Services	Yes	Yes	Yes	Yes	No	Yes
SPAR Group Inc. Common Stock	Business Services	No	Yes	Yes	Yes	No	No
SpartanNash Company Common Stock	Food Distributors	No	Yes	Yes	Yes	No	No
Spectrum Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
Spero Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sphere 3D Corp. Common Shares		No	No	No	No	No	No
SPI Energy Co. Ltd. Ordinary Shares	Semiconductors	No	No	No	No	No	No
Spindletop Health Acquisition Corp. Unit		No	No	No	No	No	No
Spirit of Texas Bancshares Inc. Common Stock	Commercial Banks	No	No	No	No	No	No
SPK Acquisition Corp. Common Stock		No	No	No	No	No	No
SPK Acquisition Corp. Right		No	No	No	No	No	No
Splunk Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	Yes	No	No
Spok Holdings Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Sportradar Group AG Class A Ordinary Shares		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Sports Ventures Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Sports Ventures Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Sportsman's Warehouse Holdings Inc. Common Stock	Other Specialty Stores	No	No	No	No	No	No
SportsMap Tech Acquisition Corp. Units		No	No	No	No	No	No
SportsTek Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
SportsTek Acquisition Corp. Unit		No	No	No	No	No	No
SportsTek Acquisition Corp. Warrant		No	No	No	No	No	No
Spring Valley Acquisition Corp. Class A Ordinary Share	Farming/Seeds/Milling	No	No	No	No	No	No
Spring Valley Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Spring Valley Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Springwater Special Situations Corp. Common stock		No	No	No	No	No	No
Springwater Special Situations Corp. Unit		No	No	No	No	No	No
Springwater Special Situations Corp. Warrant		No	No	No	No	No	No
SpringWorks Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Sprott Focus Trust Inc. Common Stock	Investment Managers	No	No	No	No	No	No
Sprout Social Inc Class A Common Stock	EDP Services	No	Yes	No	Yes	Yes	Yes
Sprouts Farmers Market Inc. Common Stock	Specialty Foods	Yes	Yes	Yes	Yes	Yes	Yes
Spruce Biosciences Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	Yes	Yes
SPS Commerce Inc. Common Stock	EDP Services	No	No	No	No	No	No
SRAX Inc. Class A Common Stock	Advertising	No	No	No	No	No	No
SS&C Technologies Holdings Inc. Common Stock	EDP Services	No	No	No	No	No	No
SSR Mining Inc. Common Stock		No	No	No	No	No	No
STAAR Surgical Company Common Stock	Ophthalmic Goods	No	Yes	No	Yes	No	No
Stabilis Solutions Inc. Common Stock	Oil/Gas Transmission	No	No	No	No	No	No
Staffing 360 Solutions Inc. Common Stock (DE)	Diversified Commercial Services	No	No	No	No	No	No
Stagwell Inc. Class A Common Stock	Advertising	No	No	No	No	No	No
Star Bulk Carriers Corp. Common Shares	Marine Transportation	No	No	No	No	No	No
Star Equity Holdings Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Star Equity Holdings Inc. Series A Cumulative Perpetual Preferred Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Starbucks Corporation Common Stock		Yes	Yes	Yes	Yes	Yes	No
State Auto Financial Corporation Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Statera Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Stealth BioTherapeutics Corp. ADS	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
StealthGas Inc. Common Stock	Marine Transportation	No	No	No	No	No	No
Steel Connect Inc. Common Stock		No	No	No	No	No	No
Steel Dynamics Inc.	Steel/Iron Ore	No	Yes	Yes	Yes	No	No
StepStone Group Inc. Class A Common Stock	Finance/Investors Services	Yes	Yes	Yes	Yes	Yes	Yes

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Stericycle Inc. Common Stock	Environmental Services	No	Yes	No	Yes	No	No
Sterling Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Sterling Check Corp. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Sterling Construction Company Inc Common Stock	Oilfield Services/Equipment	No	Yes	Yes	Yes	Yes	Yes
Steven Madden Ltd. Common Stock	Shoe Manufacturing	No	No	No	No	No	No
Stitch Fix Inc. Class A Common Stock	Apparel	No	No	No	No	No	Yes
Stock Yards Bancorp Inc. Common Stock	Major Banks	No	No	Yes	Yes	No	No
Stoke Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
StoneBridge Acquisition Corporation Class A Ordinary Shares		No	No	No	No	No	No
StoneBridge Acquisition Corporation Warrant		No	No	No	No	No	No
StoneCastle Financial Corp Common Stock	Trusts Except Educational Religious and Charitable	No	No	No	No	No	No
StoneCo Ltd. Class A Common Shares	EDP Services	No	No	No	No	No	No
StoneX Group Inc. Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Stran & Company Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Stran & Company Inc. Warrant		No	No	No	No	No	No
Strata Skin Sciences Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
Stratasys Ltd. Ordinary Shares (Israel)	Computer peripheral equipment	No	No	No	No	No	No
Strategic Education Inc. Common Stock	Service to the Health Industry	No	No	No	No	No	No
Stratim Cloud Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Stratim Cloud Acquisition Corp. Unit		No	No	No	No	No	No
Stratim Cloud Acquisition Corp. Warrant		No	No	No	No	No	No
STRATTEC SECURITY CORPORATION Common Stock	Auto Parts:O.E.M.	No	Yes	Yes	Yes	Yes	No
Stratus Properties Inc. Common Stock	Homebuilding	No	Yes	Yes	Yes	No	Yes
Streamline Health Solutions Inc. Common Stock	EDP Services	No	No	No	No	No	No
Stronghold Digital Mining Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Stryve Foods Inc. Class A Common Stock	Specialty Foods	No	No	No	No	No	No
Stryve Foods Inc. Warrant	Specialty Foods	No	No	No	No	No	No
Summer Infant Inc. Common Stock	Office Equipment/Supplies/Services	No	No	No	No	No	No
Summit Financial Group Inc. Common Stock	Major Banks	Yes	Yes	Yes	Yes	Yes	No
Summit Healthcare Acquisition Corp. Class A Ordinary Share		No	No	No	No	No	No
Summit Healthcare Acquisition Corp. Units		No	No	No	No	No	No
Summit Healthcare Acquisition Corp. Warrant		No	No	No	No	No	No
Summit State Bank Common Stock	Major Banks	No	No	No	No	No	No
Summit Therapeutics Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	No	Yes	Yes
Summit Wireless Technologies Inc. Common Stock	Electronic Components	No	No	No	No	No	No
Sumo Logic Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Sun Country Airlines Holdings Inc. Common Stock	Air Freight/Delivery Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Sundial Growers Inc. Common Shares		No	No	No	No	No	No
SunOpta Inc. Common Stock	Packaged Foods	No	No	No	No	No	No
SunPower Corporation Common Stock	Semiconductors	No	Yes	No	No	No	No
Sunrun Inc. Common Stock	Building Products	No	No	No	No	No	No
Sunworks Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Super League Gaming Inc. Common Stock	Publishing	No	Yes	Yes	No	Yes	Yes
Super Micro Computer Inc. Common Stock	Computer Manufacturing	No	No	No	No	No	No
SuperCom Ltd. Ordinary Shares (Israel)	Semiconductors	No	No	No	No	No	No
Superior Group of Companies Inc. Common Stock		No	No	No	No	No	No
Supernus Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Surface Oncology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Surgalign Holdings Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
SurgePays Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
SurgePays Inc. Warrant	Computer Software: Prepackaged Software	No	No	No	No	No	No
Surgery Partners Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Surmodics Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
SuRo Capital Corp. Common Stock	Finance/Investors Services	No	No	No	No	No	No
Surrozen Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Surrozen Inc. Warrant	Specialty Chemicals	No	No	No	No	No	No
Sustainable Development Acquisition I Corp. Class A Common Stock		No	No	No	No	No	No
Sustainable Development Acquisition I Corp. Unit		No	No	No	No	No	No
Sustainable Development Acquisition I Corp. Warrant		No	No	No	No	No	No
Sutro Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
SVB Financial Group Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
SVB Financial Group Depositary Shs each representing a 1/40th interest in a share of 5.25% Fixed-Rate Non-Cumulative Perpetual Preferred Stock Series A	Major Banks	No	Yes	Yes	Yes	No	Yes
SVF Investment Corp. 2 Class A Ordinary Shares	Business Services	No	No	No	No	No	No
SVF Investment Corp. 3 Class A Ordinary Shares	Business Services	No	No	No	No	No	No
SVF Investment Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
SVF Investment Corp. Unit	Business Services	No	No	No	No	No	No
SVF Investment Corp. Warrant	Business Services	No	No	No	No	No	No
SWK Holdings Corporation Common Stock	Finance/Investors Services	No	No	No	No	No	No
Synalloy Corporation Common Stock	Steel/Iron Ore	No	No	No	No	No	No
Synaptics Incorporated Common Stock \$0.001 Par Value	Computer peripheral equipment	No	No	No	No	No	No
Synaptogenix Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Synchronoss Technologies Inc. 8.375% Senior Notes due 2026		No	No	No	No	No	No
Synchronoss Technologies Inc. Common Stock	EDP Services	No	No	No	No	No	No
Syndax Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Syneos Health Inc. Class A Common Stock	Biotechnology: Commercial Physical & Biological Research	No	Yes	Yes	Yes	No	Yes
Synlogic Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Synopsys Inc. Common Stock	EDP Services	No	Yes	No	Yes	No	No
Sypris Solutions Inc. Common Stock	Auto Parts:O.E.M.	No	Yes	Yes	No	Yes	No
Syros Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
T-Mobile US Inc. Common Stock	Telecommunications Equipment	No	Yes	Yes	Yes	Yes	No
T. Rowe Price Group Inc. Common Stock	Investment Managers	No	No	No	No	No	No
T2 Biosystems Inc. Common Stock	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Taboola.com Ltd. Ordinary Shares	Business Services	No	No	No	No	No	No
Taboola.com Ltd. Warrant	Business Services	No	No	No	No	No	No
Tabula Rasa HealthCare Inc. Common Stock	Medical/Nursing Services	No	No	No	No	No	No
Tactile Systems Technology Inc. Common Stock	Medical/Dental Instruments	No	Yes	Yes	No	Yes	Yes
Taitron Components Incorporated Class A Common Stock	Electronic Components	No	No	No	No	No	No
Take-Two Interactive Software Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	No	Yes	No	No
Talaris Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Talis Biomedical Corporation Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Talkspace Inc. Common Stock	Managed Health Care	No	No	No	No	No	No
Talkspace Inc. Warrant	Managed Health Care	No	No	No	No	No	No
Talon 1 Acquisition Corp. Unit		No	No	No	No	No	No
Tandem Diabetes Care Inc. Common Stock	Medical/Dental Instruments	Yes	Yes	Yes	Yes	No	Yes
Tango Therapeutics Inc.	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Tantech Holdings Ltd. Common Stock	Pollution Control Equipment	No	No	No	No	No	No
Taoping Inc. Ordinary Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
Tarena International Inc. American Depositary Shares	Service to the Health Industry	No	No	No	No	No	No
Target Hospitality Corp. Common Stock	Oil & Gas Production	No	Yes	Yes	Yes	No	No
Target Hospitality Corp. Warrant expiring 3/15/2024	Oil & Gas Production	No	Yes	Yes	Yes	No	No
Tarsus Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	Yes	No
TaskUs Inc. Class A Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
Tastemaker Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Tastemaker Acquisition Corp. Unit		No	No	No	No	No	No
Tastemaker Acquisition Corp. Warrant to purchase Class A common stock		No	No	No	No	No	No
TAT Technologies Ltd. Ordinary Shares	Aerospace	No	No	No	No	No	No
Tattooed Chef Inc Class A Common Stock	Farming/Seeds/Milling	Yes	Yes	Yes	Yes	Yes	Yes
Taylor Devices Inc. Common Stock	Industrial Machinery/Components	Yes	Yes	Yes	No	Yes	Yes
Taysha Gene Therapies Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
TB SA Acquisition Corp Class A Ordinary Share	Business Services	No	No	No	No	No	No
TB SA Acquisition Corp Unit	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
TC Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
TCG BDC Inc. Common Stock	Investment Managers	No	Yes	Yes	No	Yes	No
TCR2 Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
TCV Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
TD Holdings Inc. Common Stock	EDP Services	No	No	No	No	No	No
TDH Holdings Inc. Common Shares	Packaged Foods	No	No	No	No	No	No
Tech and Energy Transition Corporation Class A Common Stock		No	No	No	No	No	No
Tech and Energy Transition Corporation Unit		No	No	No	No	No	No
Tech and Energy Transition Corporation Warrant		No	No	No	No	No	No
TechTarget Inc. Common Stock		No	No	No	No	No	No
Tecnoglass Inc. Ordinary Shares	Electronic Components	No	No	No	No	No	No
Tectonic Financial Inc. 9.00% Fixed-to-Floating Rate Series B Non-Cumulative Perpetual Preferred Stock	Commercial Banks	No	No	No	No	No	No
Tekkorp Digital Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Tekkorp Digital Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Tekkorp Digital Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
TELA Bio Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Telos Corporation Common Stock	EDP Services	No	No	No	No	No	No
Tempest Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Tenable Holdings Inc. Common Stock	EDP Services	No	Yes	No	Yes	No	Yes
Tenax Therapeutics Inc. Common Stock	Biotechnology: Commercial Physical & Biological Research	No	Yes	Yes	No	Yes	No
Tenaya Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Teradyne Inc. Common Stock	Electrical Products	No	Yes	Yes	Yes	Yes	No
Terns Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Territorial Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Tesla Inc. Common Stock	Auto Manufacturing	No	No	No	No	No	No
TESSCO Technologies Incorporated Common Stock	Telecommunications Equipment	No	Yes	No	No	No	No
Tetra Tech Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Texas Capital Bancshares Inc. Common Stock	Major Banks	No	Yes	No	No	No	No
Texas Capital Bancshares Inc. Depositary Shares 5.75% Fixed Rate Non-Cumulative Perpetual Preferred Stock Series B	Major Banks	No	Yes	No	No	No	No
Texas Community Bancshares Inc. Common Stock	Banks	No	No	No	No	No	No
Texas Instruments Incorporated Common Stock	Semiconductors	No	Yes	No	Yes	No	No
Texas Roadhouse Inc. Common Stock	Restaurants	No	Yes	Yes	No	No	Yes
TFF Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
TFS Financial Corporation Common Stock	Savings Institutions	No	No	No	No	No	No
TG Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
TG Venture Acquisition Corp. Units		No	No	No	No	No	No
Thayer Ventures Acquisition Corporation Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Thayer Ventures Acquisition Corporation Units		No	No	No	No	No	No
Thayer Ventures Acquisition Corporation Warrant		No	No	No	No	No	No
The Alkaline Water Company Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
The Bancorp Inc Common Stock	Major Banks	No	No	No	No	No	Yes
The Bank of Princeton Common Stock	Major Banks	No	No	No	No	No	No
The Beauty Health Company Class A Common Stock	Package Goods/Cosmetics	No	No	No	No	No	No
The Carlyle Group Inc. 4.625% Subordinated Notes due 2061		No	No	No	No	No	No
The Carlyle Group Inc. Common Stock	Investment Managers	No	No	No	No	No	No
The Chefs' Warehouse Inc. Common Stock	Food Distributors	No	No	No	No	No	No
The Community Financial Corporation Common Stock	Major Banks	No	Yes	Yes	Yes	No	Yes
The Ensign Group Inc. Common Stock	Hospital/Nursing Management	No	Yes	Yes	Yes	No	No
The ExOne Company Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
The Glimpse Group Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
The Goodyear Tire & Rubber Company Common Stock	Automotive Aftermarket	No	No	No	No	No	No
The Honest Company Inc. Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
The Joint Corp. Common Stock		No	Yes	No	Yes	Yes	Yes
The Kraft Heinz Company Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
The Lovesac Company Common Stock		No	Yes	Yes	Yes	No	Yes
The ODP Corporation Common Stock	Diversified Commercial Services	No	No	No	No	No	No
The OLB Group Inc. Common Stock	EDP Services	No	No	No	No	No	No
The ONE Group Hospitality Inc. Common Stock	Restaurants	No	No	No	No	No	No
The Pennant Group Inc. Common Stock	Hospital/Nursing Management	No	No	No	No	No	No
The Real Brokerage Inc. Common Shares		No	No	No	No	No	No
The Real Good Food Company Inc. Class A Common Stock	Food Distributors	No	No	No	No	No	No
The RealReal Inc. Common Stock	Consumer Specialties	No	Yes	No	No	No	No
The RMR Group Inc. Class A Common Stock	Real Estate	No	Yes	No	Yes	Yes	No
The Shyft Group Inc. Common Stock	Auto Manufacturing	No	Yes	No	Yes	No	No
The Simply Good Foods Company Common Stock	Packaged Foods	No	No	No	No	No	No
The Trade Desk Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
The Very Good Food Company Inc. Common Shares	Precious Metals	No	No	No	No	No	No
The Vita Coco Company Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Theg Limited American Depository Shares	Computer Software: Prepackaged Software	No	No	No	No	No	No
TherapeuticsMD Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Theratechnologies Inc. Common Shares		No	No	No	No	No	No
Theravance Biopharma Inc. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
ThermoGenesis Holdings Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Theseus Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Thimble Point Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Thimble Point Acquisition Corp. Unit		No	No	No	No	No	No
Thimble Point Acquisition Corp. Warrant		No	No	No	No	No	No
Third Coast Bancshares Inc. Common Stock		No	No	No	No	No	No
Thornburg Income Builder Opportunities Trust Common Stock		No	No	No	No	No	No
Thorne Healthtech Inc. Common Stock	Farming/Seeds/Milling	No	No	No	No	No	No
Thoughtworks Holding Inc. Common Stock	EDP Services	No	No	No	No	No	No
ThredUp Inc. Class A Common Stock	Department/Specialty Retail Stores	No	No	No	No	No	No
Thrive Acquisition Corporation Unit		No	No	No	No	No	No
Thryv Holdings Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Thunder Bridge Capital Partners III Inc. Class A Common Stock		No	No	No	No	No	No
Thunder Bridge Capital Partners III Inc. Units		No	No	No	No	No	No
Thunder Bridge Capital Partners III Inc. Warrant		No	No	No	No	No	No
Thunder Bridge Capital Partners IV Inc. Class A Common Stock		No	No	No	No	No	No
Thunder Bridge Capital Partners IV Inc. Unit		No	No	No	No	No	No
TIAN RUIXIANG Holdings Ltd Class A Ordinary Shares	Specialty Insurers	No	No	No	No	No	No
Tile Shop Holdings Inc. Common Stock	Other Specialty Stores	No	No	No	No	No	No
Tilray Inc. Class 2 Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Timberland Bancorp Inc. Common Stock	Banks	Yes	Yes	No	No	Yes	No
Tio Tech A Class A Ordinary Share	Business Services	No	No	No	No	No	No
Tio Tech A Warrants	Business Services	No	No	No	No	No	No
Tiptree Inc. Common Stock	Accident &Health Insurance	No	No	No	No	No	No
Tishman Speyer Innovation Corp. II Class A common stock		No	No	No	No	No	No
Tishman Speyer Innovation Corp. II Unit		No	No	No	No	No	No
Tishman Speyer Innovation Corp. II Warrant		No	No	No	No	No	No
Titan Machinery Inc. Common Stock		No	No	No	No	No	No
Titan Medical Inc. Ordinary Shares		No	No	No	No	No	No
Titan Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Tivity Health Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
Tiziana Life Sciences Ltd. Common Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
TKB Critical Technologies 1 Unit		No	No	No	No	No	No
TMC the metals company Inc. Common Stock		No	No	No	No	No	No
TMC the metals company Inc. Warrants		No	No	No	No	No	No
TOMI Environmental Solutions Inc. Common Stock		No	No	No	No	No	No
Tonix Pharmaceuticals Holding Corp. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
TOP Ships Inc. Common Stock	Marine Transportation	No	No	No	No	No	No
TORM plc Class A Common Stock	Marine Transportation	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
ToughBuilt Industries Inc. Common Stock	Diversified Manufacture	No	No	No	No	No	No
ToughBuilt Industries Inc. Warrant	Diversified Manufacture	No	No	No	No	No	No
Tower Semiconductor Ltd. Ordinary Shares	Semiconductors	No	No	No	No	No	No
TowneBank Common Stock	Major Banks	No	No	No	No	No	No
TPB Acquisition Corporation I Class A Ordinary Share		No	No	No	No	No	No
TPB Acquisition Corporation I Unit		No	No	No	No	No	No
TPB Acquisition Corporation I Warrant		No	No	No	No	No	No
TPI Composites Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
TRACON Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	Yes
Tractor Supply Company Common Stock	Other Specialty Stores	No	Yes	Yes	No	Yes	Yes
TradeUP Acquisition Corp. Common Stock		No	No	No	No	No	No
TradeUP Acquisition Corp. Unit		No	No	No	No	No	No
TradeUP Acquisition Corp. Warrant		No	No	No	No	No	No
TradeUP Global Corporation Class A Ordinary Shares	Business Services	No	No	No	No	No	No
TradeUP Global Corporation Unit	Business Services	No	No	No	No	No	No
TradeUP Global Corporation Warrant	Business Services	No	No	No	No	No	No
Tradeweb Markets Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	Yes	Yes
TransAct Technologies Incorporated Common Stock	Computer peripheral equipment	No	No	No	No	No	No
Transcat Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
TransCode Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
TransGlobe Energy Corporation Ordinary Shares (Canada)		No	No	No	No	No	No
TransMedics Group Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
TravelCenters of America Inc. 8.00% Senior Notes due 2029	Food Chains	No	No	No	No	No	No
TravelCenters of America Inc. 8.00% Senior Notes due 2030	Food Chains	No	No	No	No	No	No
TravelCenters of America Inc. 8.25% Senior Notes due 2028	Food Chains	No	No	No	No	No	No
TravelCenters of America Inc. Common Stock	Food Chains	No	No	No	No	No	No
Travelzoo Common Stock	EDP Services	No	No	No	No	No	Yes
Traverse Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	No	No
Treace Medical Concepts Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Trean Insurance Group Inc. Common Stock		No	No	No	No	No	No
Tremor International Ltd. American Depositary Shares		No	No	No	No	No	No
Trevena Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Trevi Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Tribe Capital Growth Corp I Class A common stock		No	No	No	No	No	No
Tribe Capital Growth Corp I Units		No	No	No	No	No	No
Tribe Capital Growth Corp I Warrant		No	No	No	No	No	No
Tricida Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
TriCo Bancshares Common Stock	Major Banks	No	Yes	No	No	Yes	Yes
Trillium Therapeutics Inc. Common Shares		No	No	No	No	No	No
TriMas Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Trimble Inc. Common Stock	Industrial Machinery/Components	No	Yes	No	Yes	No	No
Trinity Biotech plc American Depositary Shares	Biotechnology: In Vitro & In Vivo Diagnostic Substances	No	No	No	No	No	No
Trinity Capital Inc. Common Stock	Finance/Investors Services	No	No	No	No	No	No
Trip.com Group Limited American Depositary Shares	Business Services	No	No	No	No	No	No
TripAdvisor Inc. Common Stock		Yes	Yes	Yes	Yes	No	No
TriState Capital Holdings Inc. Common Stock	Major Banks	No	No	No	No	No	No
TriState Capital Holdings Inc. Dep Shs Rep 1/40th Int 6.75% Srs A Non-Cum Pfd Stock	Major Banks	No	No	No	No	No	No
TriState Capital Holdings Inc. Depositary Share representing a 1/40th Interest in a Share of 6.375% Fixed-to-Floating Rate Series B	Major Banks	No	No	No	No	No	No
Triterras Inc. Class A Ordinary Shares	EDP Services	No	No	No	No	No	No
Triterras Inc. Warrant	EDP Services	No	No	No	No	No	No
Triumph Bancorp Inc. Common Stock	Major Banks	No	Yes	No	Yes	Yes	No
Triumph Bancorp Inc. Depositary Shares Each Representing a 1/40th Interest in a Share of 7.125% Series C	Major Banks	No	Yes	No	Yes	Yes	No
trivago N.V. American Depositary Shares	EDP Services	No	No	No	No	No	No
Troika Media Group Inc. Common Stock	Advertising	No	No	No	No	No	No
Troika Media Group Inc. Warrant	Advertising	No	No	No	No	No	No
TrueCar Inc. Common Stock		No	Yes	Yes	Yes	No	No
Trupanion Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
TrustCo Bank Corp NY Common Stock	Major Banks	No	No	No	No	No	No
Trustmark Corporation Common Stock	Major Banks	No	No	No	No	No	No
TRxADE HEALTH Inc. Common Stock		No	No	No	No	No	No
TScan Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
TSR Inc. Common Stock	EDP Services	No	No	No	No	No	No
TTEC Holdings Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
TTM Technologies Inc. Common Stock	Electrical Products	No	No	No	No	No	No
TuanChe Limited American Depositary Shares	Business Services	No	No	No	No	No	No
Tuata Capital Acquisition Corporation Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Tuata Capital Acquisition Corporation Unit	Business Services	No	No	No	No	No	No
Tuata Capital Acquisition Corporation Warrant	Business Services	No	No	No	No	No	No
Tucows Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
Tuesday Morning Corp. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Tuniu Corporation American Depositary Shares		No	No	No	No	No	No
Turmeric Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Turmeric Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Turmeric Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Turning Point Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	Yes
Turtle Beach Corporation Common Stock	Consumer Electronics/Appliances	No	No	No	No	No	No
Tuscan Holdings Corp. II Common Stock		No	No	No	No	No	No
Tuscan Holdings Corp. II Warrant		No	No	No	No	No	No
TuSimple Holdings Inc. Class A Common Stock	Construction Equipment/Trucks	No	No	No	No	No	No
Twelve Seas Investment Company II Class A Common Stock		No	No	No	No	No	No
Twelve Seas Investment Company II Unit		No	No	No	No	No	No
Twelve Seas Investment Company II Warrant		No	No	No	No	No	No
Twin Disc Incorporated Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Twin Vee PowerCats Co. Common Stock	Other Specialty Stores	No	No	No	No	No	No
Twist Bioscience Corporation Common Stock	Managed Health Care	No	No	No	No	No	No
Tyme Technologies Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Tyra Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
TZP Strategies Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No
TZP Strategies Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
TZP Strategies Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
U.S. Century Bank Class A Common Stock	Major Banks	No	No	No	No	No	No
U.S. Energy Corp. Common Stock	Oil & Gas Production	No	No	No	No	No	No
U.S. Global Investors Inc. Class A Common Stock	Investment Managers	No	No	No	No	No	No
U.S. Gold Corp. Common Stock	Precious Metals	No	No	No	No	No	No
U.S. Well Services Inc. Class A Common Stock	Metal Fabrications	No	No	No	No	No	No
U.S. Well Services Inc. Warrants	Metal Fabrications	No	No	No	No	No	No
uCloudlink Group Inc. American Depositary Shares	Telecommunications Equipment	No	No	No	No	No	No
Ucommune International Ltd Ordinary Shares	Business Services	No	No	No	No	No	No
Ucommune International Ltd Warrant expiring 11/17/2025	Business Services	No	No	No	No	No	No
Udemy Inc. Common Stock		No	No	No	No	No	No
UFP Industries Inc. Common Stock	Forest Products	No	No	No	No	No	No
UFP Technologies Inc. Common Stock	Containers/Packaging	No	No	No	No	No	No
Ulta Beauty Inc. Common Stock		Yes	Yes	Yes	Yes	Yes	Yes
Ultra Clean Holdings Inc. Common Stock	Semiconductors	No	No	No	No	No	No
Ultragenyx Pharmaceutical Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	Yes	Yes	Yes	Yes
Ultralife Corporation Common Stock	Electronic Components	No	No	No	No	No	No
UMB Financial Corporation Common Stock	Commercial Banks	No	Yes	Yes	Yes	Yes	No
Umpqua Holdings Corporation Common Stock	Commercial Banks	No	Yes	No	Yes	No	No
Unico American Corporation Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
Unicycive Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Union Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
uniQure N.V. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
United Airlines Holdings Inc. Common Stock	Air Freight/Delivery Services	No	No	No	No	No	No
United Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
United Bancshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
United Bankshares Inc. Common Stock	Major Banks	No	No	No	No	No	No
United Community Banks Inc. Common Stock	Major Banks	No	No	No	No	No	No
United Community Banks Inc. Depositary Shares each representing 1/1000th interest in a share of Series I	Major Banks	No	No	No	No	No	No
United Fire Group Inc. Common Stock	Property-Casualty Insurers	No	Yes	Yes	Yes	No	No
United Insurance Holdings Corp. Common Stock	Property-Casualty Insurers	No	No	No	No	No	No
United Security Bancshares Common Stock	Major Banks	No	No	No	No	No	No
United States Lime & Minerals Inc. Common Stock	Other Metals and Minerals	No	Yes	Yes	Yes	Yes	Yes
United Therapeutics Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	No	No
United-Guardian Inc. Common Stock	Package Goods/Cosmetics	No	No	No	No	No	No
Uniti Group Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Unity Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Unity Biotechnology Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Universal Display Corporation Common Stock	Semiconductors	No	No	No	No	No	No
Universal Electronics Inc. Common Stock	Consumer Electronics/Appliances	No	Yes	Yes	Yes	Yes	Yes
Universal Logistics Holdings Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Universal Stainless & Alloy Products Inc. Common Stock	Steel/Iron Ore	No	No	No	No	No	No
Universe Pharmaceuticals Inc. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Univest Financial Corporation Common Stock	Major Banks	No	Yes	No	Yes	No	No
UP Fintech Holding Ltd American Depositary Share representing fifteen Class A Ordinary Shares	Investment Bankers/Brokers/Service	No	No	No	No	No	No
Upland Software Inc. Common Stock	EDP Services	No	No	No	No	No	No
Upstart Holdings Inc. Common stock	Finance: Consumer Services	No	No	No	No	No	No
Upwork Inc. Common Stock		No	No	No	No	No	No
Uranium Royalty Corp. Common Stock		No	No	No	No	No	No
Urban One Inc. Class A Common Stock	Broadcasting	No	Yes	Yes	No	No	No
Urban One Inc. Class D Common Stock	Broadcasting	No	Yes	Yes	No	No	No
Urban Outfitters Inc. Common Stock	Clothing/Shoe/Accessory Stores	No	No	No	No	No	No
urban-gro Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
UroGen Pharma Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
USEcology Inc Common Stock	Environmental Services	No	Yes	No	Yes	No	No
USEcology Inc. Warrant	Environmental Services	No	Yes	No	Yes	No	No
USA Truck Inc. Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Usio Inc. Common Stock	EDP Services	No	No	No	No	No	No
Utah Medical Products Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
UTime Limited Ordinary Shares	Consumer Electronics/Appliances	No	No	No	No	No	No
UTStarcom Holdings Corp.	Telecommunications Equipment	No	No	No	No	No	No
Uxin Limited ADS	Business Services	No	No	No	No	No	No
Vaccinex Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Vaccitech plc American Depositary Shares		No	No	No	No	No	No
Valley National Bancorp 5.50% Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock Series B	Major Banks	No	No	No	No	No	No
Valley National Bancorp 6.25% Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock Series A	Major Banks	No	No	No	No	No	No
Valley National Bancorp Common Stock	Major Banks	No	No	No	No	No	No
Vallon Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Valneva SE American Depositary Shares		No	No	No	No	No	No
Valor Latitude Acquisition Corp. Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Valor Latitude Acquisition Corp. Unit	Business Services	No	No	No	No	No	No
Valor Latitude Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Value Line Inc. Common Stock	Advertising	No	No	No	No	No	No
Vanda Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	No	No	No	Yes
Varex Imaging Corporation Common Stock	Medical Electronics	No	No	No	No	No	No
Varonis Systems Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Vascular Biogenics Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Vasta Platform Limited Class A Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
Vaxart Inc Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	Yes	Yes	Yes	No
Vaxcyte Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
VBI Vaccines Inc. New Common Stock (Canada)		No	No	No	No	No	No
VectivBio Holding AG Ordinary Shares	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
VectolQ Acquisition Corp. II Class A Common Stock		No	No	No	No	No	No
VectolQ Acquisition Corp. II Unit		No	No	No	No	No	No
VectolQ Acquisition Corp. II Warrant		No	No	No	No	No	No
Vector Acquisition Corporation II Class A Ordinary Shares	Business Services	No	No	No	No	No	No
Veeco Instruments Inc. Common Stock	Semiconductors	No	Yes	No	Yes	No	No
Velocity Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Velocity Acquisition Corp. Units		No	No	No	No	No	No
Velocity Acquisition Corp. Warrant		No	No	No	No	No	No
Velodyne Lidar Inc. Common Stock	Industrial Machinery/Components	No	Yes	Yes	Yes	Yes	No
Velodyne Lidar Inc. Warrants	Industrial Machinery/Components	No	Yes	Yes	Yes	Yes	No
Ventoux CCM Acquisition Corp. Common Stock		No	No	No	No	No	No
Ventoux CCM Acquisition Corp. Right	Business Services	No	No	No	No	No	No
Ventoux CCM Acquisition Corp. Unit		No	No	No	No	No	No
Ventoux CCM Acquisition Corp. Warrant		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Ventyx Biosciences Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Venus Acquisition Corporation Ordinary Shares	EDP Services	No	No	No	No	No	No
Venus Acquisition Corporation Rights	EDP Services	No	No	No	No	No	No
Venus Acquisition Corporation Warrant	EDP Services	No	No	No	No	No	No
Venus Concept Inc. Common Stock	Medical/Dental Instruments	No	No	No	No	No	No
VEON Ltd. ADS	Telecommunications Equipment	No	No	No	No	No	No
Vera Bradley Inc. Common Stock	Apparel	No	No	No	No	No	No
Vera Therapeutics Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Veracyte Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Verastem Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Verb Technology Company Inc. Common Stock	EDP Services	No	No	No	No	No	No
Verb Technology Company Inc. Warrant	EDP Services	No	No	No	No	No	No
Vericel Corporation Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	Yes	Yes	No	No
Vericity Inc. Common Stock	Life Insurance	No	No	No	No	No	No
VerifyMe Inc. Common Stock	Diversified Manufacture	No	No	No	No	No	No
VerifyMe Inc. Warrant	Diversified Manufacture	No	No	No	No	No	No
Verint Systems Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	No	No
VeriSign Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	No	No
Verisk Analytics Inc. Common Stock	Business Services	No	Yes	Yes	Yes	Yes	No
Veritex Holdings Inc. Common Stock	Major Banks	No	No	No	No	No	No
Veritone Inc. Common Stock	EDP Services	No	No	No	No	No	No
Verona Pharma plc American Depositary Share	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	Yes
Verra Mobility Corporation Class A Common Stock		No	Yes	Yes	Yes	No	No
Verrica Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	Yes	Yes	No
VersaBank Common Shares		No	No	No	No	No	No
Versus Systems Inc. Class A Warrants		No	No	No	No	No	No
Versus Systems Inc. Common Shares		No	No	No	No	No	No
Vertex Energy Inc Common Stock	Oilfield Services/Equipment	No	No	No	No	No	No
Vertex Inc. Class A Common Stock	EDP Services	No	Yes	Yes	No	No	No
Vertex Pharmaceuticals Incorporated Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	No	No
Veru Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Verve Therapeutics Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
Via Renewables Inc. 8.75% Series A Fixed-to-Floating Rate Cumulative Redeemable Perpetual Preferred Stock	Power Generation	No	No	No	No	No	No
Via Renewables Inc. Class A Common Stock	Power Generation	No	No	No	No	No	No
ViacomCBS Inc. 5.75% Series A Mandatory Convertible Preferred Stock	Movies/Entertainment	No	Yes	No	Yes	No	No
ViacomCBS Inc. Class A Common Stock	Movies/Entertainment	No	Yes	No	Yes	No	No
ViacomCBS Inc. Class B Common Stock	Movies/Entertainment	No	Yes	No	Yes	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Viant Technology Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No
ViaSat Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Viatris Inc. Common Stock	Specialty Chemicals	No	Yes	Yes	Yes	Yes	Yes
Viavi Solutions Inc. Common Stock	Radio And Television Broadcasting And Communications Equipment	No	No	No	No	No	No
Vicinity Motor Corp. Common Stock	Building Materials	No	No	No	No	No	No
Vickers Vantage Corp. I Ordinary Shares	Business Services	No	No	No	No	No	No
Vickers Vantage Corp. I Unit	Business Services	No	No	No	No	No	No
Vickers Vantage Corp. I Warrant	Business Services	No	No	No	No	No	No
Vicor Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Victory Capital Holdings Inc. Class A Common Stock	Investment Managers	No	No	No	No	No	No
Vidler Water Resources Inc. Common Stock	Water Supply	No	No	No	No	No	No
Viemed Healthcare Inc. Common Shares		No	No	No	No	No	No
View Inc. Class A Common Stock		No	No	No	No	No	No
View Inc. Warrant		No	No	No	No	No	No
ViewRay Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Viking Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Village Bank and Trust Financial Corp. Common Stock	Major Banks	No	Yes	Yes	Yes	No	No
Village Farms International Inc. Common Shares		No	No	No	No	No	No
Village Super Market Inc. Class A Common Stock	Food Chains	No	No	No	No	No	No
Vimeo Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Vincerox Pharma Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	Yes	No	Yes	No	Yes
Vinci Partners Investments Ltd. Class A Common Shares	Investment Managers	No	No	No	No	No	No
Vinco Ventures Inc. Common Stock	Recreational Products/Toys	No	Yes	Yes	No	No	Yes
Vintage Wine Estates Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Viomi Technology Co. Ltd American Depositary Shares	Home Furnishings	No	No	No	No	No	No
Viper Energy Partners LP Common Unit	Oil & Gas Production	No	No	No	No	No	No
VIQ Solutions Inc. Common Shares		No	No	No	No	No	No
Vir Biotechnology Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Viracta Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Virco Manufacturing Corporation Common Stock	Industrial Specialties	No	No	No	No	No	No
Virginia National Bankshares Corporation Common Stock	Major Banks	No	No	No	No	No	No
Viridian Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Virios Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Virpax Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	No	No	No	No	No
VirTra Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Virtu Financial Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	Yes	Yes	Yes	No	No
Virtuoso Acquisition Corp. Class A Common Stock		No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Virtuoso Acquisition Corp. Unit		No	No	No	No	No	No
Virtuoso Acquisition Corp. Warrant		No	No	No	No	No	No
Virtus Investment Partners Inc. Common Stock	Investment Managers	Yes	Yes	Yes	Yes	No	Yes
Vision Marine Technologies Inc. Common Shares		No	No	No	No	No	No
Vision Sensing Acquisition Corp. Unit		No	No	No	No	No	No
Vislink Technologies Inc. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
VistaGen Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Vistas Media Acquisition Company Inc. Class A Common Stock		No	No	No	No	No	No
Vistas Media Acquisition Company Inc. Warrant		No	No	No	No	No	No
Visteon Corporation Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Vital Farms Inc. Common Stock	Farming./Seeds/Milling	No	No	No	No	No	No
Vitru Limited Common Shares	Other Consumer Services	No	No	No	No	No	No
Viveve Medical Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Vivid Seats Inc. Class A Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Vivid Seats Inc. Warrant	Industrial Machinery/Components	No	No	No	No	No	No
VivoPower International PLC Ordinary Shares		No	No	No	No	No	No
Vivos Therapeutics Inc. Common Stock	Medical Specialties	No	No	No	No	No	No
VNET Group Inc. American Depositary Shares	EDP Services	No	No	No	No	No	No
Vodafone Group Plc American Depositary Shares	Telecommunications Equipment	No	No	No	No	No	No
Volcon Inc. Common stock		No	No	No	No	No	No
Vonage Holdings Corp. Common Stock	Telecommunications Equipment	No	No	No	No	No	No
Vor Biopharma Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
voxeljet AG American Depositary Shares	Industrial Machinery/Components	No	No	No	No	No	No
VOXX International Corporation Class A Common Stock	Consumer Electronics/Appliances	No	No	No	No	No	No
Voyager Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
VPC Impact Acquisition Holdings II Class A ordinary shre	Business Services	No	No	No	No	No	No
VPC Impact Acquisition Holdings II Unit	Business Services	No	No	No	No	No	No
VPC Impact Acquisition Holdings II Warrant	Business Services	No	No	No	No	No	No
Vroom Inc. Common Stock	Other Specialty Stores	No	Yes	Yes	No	Yes	Yes
VSE Corporation Common Stock		No	No	No	No	No	No
vTv Therapeutics Inc. Class A Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Vuzix Corporation Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
Vyant Bio Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
VYNE Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Wah Fu Education Group Limited Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
Waitr Holdings Inc. Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Waldencast Acquisition Corp. Class A Ordinary Share	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Waldencast Acquisition Corp. Units	Business Services	No	No	No	No	No	No
Waldencast Acquisition Corp. Warrant	Business Services	No	No	No	No	No	No
Walgreens Boots Alliance Inc. Common Stock	Medical/Nursing Services	No	Yes	No	No	No	No
WalkMe Ltd. Ordinary Shares		No	No	No	No	No	No
Warner Music Group Corp. Class A Common Stock	Movies/Entertainment	No	Yes	No	Yes	No	No
Washington Federal Inc. Common Stock	Major Banks	No	No	No	No	No	No
Washington Federal Inc. Depositary Shares	Major Banks	No	No	No	No	No	No
Washington Trust Bancorp Inc. Common Stock	Major Banks	No	No	No	No	No	No
Waterstone Financial Inc. Common Stock (MD)	Savings Institutions	No	No	No	No	No	No
Wave Life Sciences Ltd. Ordinary Shares	Biotechnology: Pharmaceutical Preparations	No	Yes	No	Yes	Yes	Yes
Wayside Technology Group Inc. Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
WD-40 Company Common Stock	Industrial Specialties	No	No	No	No	No	No
Weatherford International plc Ordinary Shares	Metal Fabrications	No	No	No	No	No	No
Weibo Corporation American Depositary Share	Internet and Information Services	No	No	No	No	No	No
Wendy's Company (The) Common Stock	Restaurants	No	No	No	No	No	No
Werewolf Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Werner Enterprises Inc. Common Stock	Trucking Freight/Courier Services	No	Yes	Yes	Yes	No	No
WesBanco Inc. Common Stock	Major Banks	No	Yes	No	Yes	Yes	No
WesBanco Inc. Depositary Shares	Major Banks	No	Yes	No	Yes	Yes	No
West Bancorporation Common Stock	Major Banks	No	No	No	No	No	No
Westamerica Bancorporation Common Stock	Major Banks	No	No	No	No	No	No
Western Digital Corporation Common Stock	Electronic Components	No	Yes	No	No	No	No
Western New England Bancorp Inc. Common Stock	Savings Institutions	No	No	No	No	No	No
Westport Fuel Systems Inc Common Shares	Multi-Sector Companies	No	No	No	No	No	No
Weyco Group Inc. Common Stock	Shoe Manufacturing	No	No	No	No	No	No
Wheeler Real Estate Investment Trust Inc. Class B Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Wheeler Real Estate Investment Trust Inc. Common Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Wheeler Real Estate Investment Trust Inc. Series D Cumulative Preferred Stock	Real Estate Investment Trusts	No	No	No	No	No	No
Where Food Comes From Inc. Common Stock	Computer Software: Prepackaged Software	No	Yes	Yes	No	Yes	No
WhiteHorse Finance Inc. 6.50% Notes due 2025	Finance Companies	No	No	No	No	No	No
WhiteHorse Finance Inc. Common Stock	Finance Companies	No	No	No	No	No	No
Whole Earth Brands Inc. Class A Common Stock	Home Furnishings	No	No	No	No	No	No
Whole Earth Brands Inc. Warrant	Home Furnishings	No	No	No	No	No	No
Wilhelmina International Inc. Common Stock	Business Services	No	No	No	No	No	No
Willamette Valley Vineyards Inc. Common Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Willamette Valley Vineyards Inc. Series A Redeemable Preferred Stock	Beverages (Production/Distribution)	No	No	No	No	No	No
Willdan Group Inc. Common Stock	Military/Government/Technical	No	Yes	No	Yes	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
William Penn Bancorporation Common Stock	Savings Institutions	No	No	No	No	No	No
Willis Lease Finance Corporation Common Stock	Aerospace	No	No	No	No	No	No
Willis Towers Watson Public Limited Company Ordinary Shares	Specialty Insurers	No	Yes	Yes	No	Yes	No
WillScot Mobile Mini Holdings Corp. Class A Common Stock	Diversified Commercial Services	No	Yes	No	No	No	Yes
WiMi Hologram Cloud Inc. American Depositary Share	Computer Software: Prepackaged Software	No	No	No	No	No	No
Windtree Therapeutics Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
Wingstop Inc. Common Stock	Restaurants	No	Yes	Yes	Yes	No	Yes
Winmark Corporation Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Wintrust Financial Corporation Common Stock	Major Banks	No	No	No	No	No	No
Wintrust Financial Corporation Depositary Shares Each Representing a 1/1000th Interest in a Share of 6.875% Fixed-Rate Reset Non-Cumulative Perpetual Preferred Stock Series E	Major Banks	No	No	No	No	No	No
Wintrust Financial Corporation Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock Series D	Major Banks	No	No	No	No	No	No
WinVest Acquisition Corp. Common Stock		No	No	No	No	No	No
WinVest Acquisition Corp. Right		No	No	No	No	No	No
WinVest Acquisition Corp. Unit		No	No	No	No	No	No
WinVest Acquisition Corp. Warrant		No	No	No	No	No	No
WisdomTree Investments Inc. Common Stock	Investment Managers	Yes	Yes	Yes	Yes	No	Yes
WiseKey International Holding AG American Depositary Shares	EDP Services	No	No	No	No	No	No
Wix.com Ltd. Ordinary Shares		No	No	No	No	No	No
WM Technology Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
WM Technology Inc. Warrants	Computer Software: Prepackaged Software	No	No	No	No	No	No
Woodward Inc. Common Stock	Military/Government/Technical	No	No	No	No	No	No
Workday Inc. Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Workhorse Group Inc. Common Stock	Auto Manufacturing	No	No	No	No	No	No
Workspart Ltd. Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Workspart Ltd. Warrant	Auto Parts:O.E.M.	No	No	No	No	No	No
World Acceptance Corporation Common Stock	Finance: Consumer Services	Yes	Yes	Yes	Yes	Yes	Yes
Worldwide Webb Acquisition Corp. Unit		No	No	No	No	No	No
Wrap Technologies Inc. Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
WSFS Financial Corporation Common Stock	Major Banks	No	Yes	No	Yes	Yes	No
Wunong Net Technology Company Limited Ordinary Shares	Catalog/Specialty Distribution	No	No	No	No	No	No
WVS Financial Corp. Common Stock	Banks	No	No	No	No	No	No
WW International Inc. Common Stock	Other Consumer Services	No	No	No	No	No	No
Wynn Resorts Limited Common stock	Hotels/Resorts	No	Yes	Yes	Yes	No	No
X4 Pharmaceuticals Inc. Common Stock	Biotechnology: Biological Products (No Diagnostic Substances)	No	No	No	No	No	No
XBiotech Inc. Common Stock		No	No	No	No	No	Yes
Xcel Brands Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Xcel Energy Inc. Common Stock	Power Generation	No	Yes	Yes	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Xencor Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	Yes
Xenetic Biosciences Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Xenetic Biosciences Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Xenon Pharmaceuticals Inc. Common Shares		No	No	No	No	No	No
Xeris Biopharma Holdings Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Xerox Holdings Corporation Common Stock	EDP Services	No	Yes	No	No	No	No
Xiaobai Maimai Inc. ADR	Finance: Consumer Services	No	No	No	No	No	No
Xilinx Inc. Common Stock	Semiconductors	No	Yes	Yes	Yes	No	No
Xilio Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
XOMA Corporation 8.625% Series A Cumulative Perpetual Preferred Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	No	Yes	No	Yes
XOMA Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	No	Yes	No	Yes
XOMA Corporation Depositary Shares Rep Series B 8.375% Cumulative Preferred Stock	Biotechnology: Pharmaceutical Preparations	Yes	Yes	No	Yes	No	Yes
Xometry Inc. Class A Common Stock	Industrial Machinery/Components	No	No	No	No	No	No
XORTX Therapeutics Inc. Common Stock		No	No	No	No	No	No
Xos Inc. Common Stock	ConstructionNog Equipment/Trucks	No	No	No	No	No	No
Xos Inc. Warrants	ConstructionNog Equipment/Trucks	No	No	No	No	No	No
XP Inc. Class A Common Stock	Investment Bankers/Brokers/Service	No	No	No	No	No	No
XPAC Acquisition Corp. Class A Ordinary Shares		No	No	No	No	No	No
XPAC Acquisition Corp. Unit		No	No	No	No	No	No
XPAC Acquisition Corp. Warrant		No	No	No	No	No	No
XPEL Inc. Common Stock	Auto Parts:O.E.M.	No	No	No	No	No	No
Xperi Holding Corporation Common Stock	Semiconductors	No	No	No	No	No	No
XpresSpa Group Inc. Common Stock	Other Consumer Services	No	Yes	Yes	No	Yes	No
XTL Biopharmaceuticals Ltd. American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Xunlei Limited American Depositary Receipts	Computer Software: Prepackaged Software	No	No	No	No	No	No
Y-mAbs Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Yandex N.V. Class A Ordinary Shares	Internet and Information Services	No	No	No	No	No	No
Yatra Online Inc. Ordinary Shares		No	No	No	No	No	No
Yellow Corporation Common Stock	Trucking Freight/Courier Services	No	No	No	No	No	No
Yellowstone Acquisition Company Class A Common Stock		No	No	No	No	No	No
Yellowstone Acquisition Company Units		No	No	No	No	No	No
Yellowstone Acquisition Company Warrants to purchase Class A common stock		No	No	No	No	No	No
Yield10 Bioscience Inc. Common Stock		No	No	No	No	No	No
York Water Company (The) Common Stock	Water Supply	Yes	Yes	Yes	Yes	Yes	Yes
Yumunity Therapeutics Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Yunhong CTI Ltd. Common Stock	Diversified Commercial Services	No	No	No	No	No	No
Yunhong International Class A Ordinary Shares	Business Services	No	No	No	No	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Yunhong International Right	Business Services	No	No	No	No	No	No
Yunhong International Unit	Business Services	No	No	No	No	No	No
Yunhong International Warrant	Business Services	No	No	No	No	No	No
Yunji Inc. American Depositary Shares	Other Specialty Stores	No	No	No	No	No	No
Z-Work Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Z-Work Acquisition Corp. Units		No	No	No	No	No	No
Z-Work Acquisition Corp. Warrant		No	No	No	No	No	No
Zai Lab Limited American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zanite Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Zanite Acquisition Corp. Unit		No	No	No	No	No	No
Zanite Acquisition Corp. Warrant		No	No	No	No	No	No
Zealand Pharma A/S American Depositary Shares	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zebra Technologies Corporation Class A Common Stock	Computer peripheral equipment	No	Yes	Yes	Yes	Yes	Yes
Zentalis Pharmaceuticals Inc. Common Stock	Specialty Chemicals	No	Yes	No	No	Yes	Yes
Zenvia Inc. Class A Common Stock		No	No	No	No	No	No
Zhongchao Inc. Class A Ordinary Shares	Other Consumer Services	No	No	No	No	No	No
Ziff Davis Inc. Common Stock	Advertising	No	Yes	Yes	Yes	Yes	Yes
Zillow Group Inc. Class A Common Stock	Business Services	No	No	No	No	No	No
Zillow Group Inc. Class C Capital Stock	Business Services	No	No	No	No	No	No
Zimmer Energy Transition Acquisition Corp. Class A Common Stock		No	No	No	No	No	No
Zimmer Energy Transition Acquisition Corp. Units		No	No	No	No	No	No
Zimmer Energy Transition Acquisition Corp. Warrants		No	No	No	No	No	No
Zions Bancorporation 6.95% Fixed-to-Floating Rate Subordinated Notes	Major Banks	No	No	No	No	No	No
Zions Bancorporation N.A. Common Stock	Major Banks	No	No	No	No	No	No
Zions Bancorporation N.A. Dep Shs Repstg 1/40th Perp Pfd Ser G	Major Banks	No	No	No	No	No	No
Zions Bancorporation N.A. Depositary Shares	Major Banks	No	No	No	No	No	No
ZIOPHARM Oncology Inc Common Stock	Biotechnology: Pharmaceutical Preparations	No	Yes	Yes	No	Yes	No
Zivo Bioscience Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zivo Bioscience Inc. Warrants	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zix Corporation Common Stock	Retail: Computer Software & Peripheral Equipment	No	No	No	No	No	No
ZK International Group Co. Ltd Ordinary Share	Metal Fabrications	No	No	No	No	No	No
Zogenix Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zoom Video Communications Inc. Class A Common Stock	Computer Software: Prepackaged Software	Yes	Yes	Yes	Yes	No	Yes
ZoomInfo Technologies Inc Class A Common Stock	Computer Software: Prepackaged Software	No	No	No	No	No	No
Zosano Pharma Corporation Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zovio Inc. Common Stock	Other Consumer Services	No	No	No	No	No	No
Zscaler Inc. Common Stock	EDP Services	No	Yes	Yes	Yes	No	No

Company Name	Industry	LGBTQ+	Gender	Race	Ethnicity	National Origin	Age
Zumiez Inc. Common Stock		No	No	No	No	No	No
ZW Data Action Technologies Inc. Common Stock	Advertising	No	No	No	No	No	No
Zymergen Inc. Common Stock	Industrial Specialties	No	No	No	No	No	No
Zynerba Pharmaceuticals Inc. Common Stock	Biotechnology: Pharmaceutical Preparations	No	No	No	No	No	No
Zynex Inc. Common Stock	Biotechnology: Electromedical & Electrotherapeutic Apparatus	No	No	No	No	No	No
Zynga Inc. Class A Common Stock	EDP Services	No	No	No	No	No	No



info@outleadership.com